

Enhancing the Efficiency of Somatic  
Embryogenesis and Genetic  
Transformation in *Theobroma cacao* L.

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Public Dissertation Defense

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# *Theobroma* (Greek) – “food of the gods”



Young Carl Linnaeus (1792)





unopened immature flower &  
open mature flower



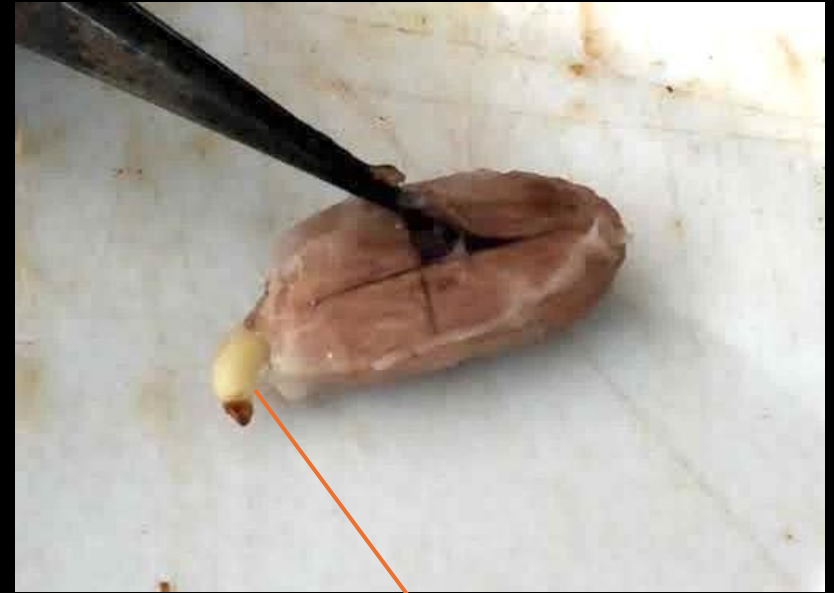




cacao pod



zygotic embryos



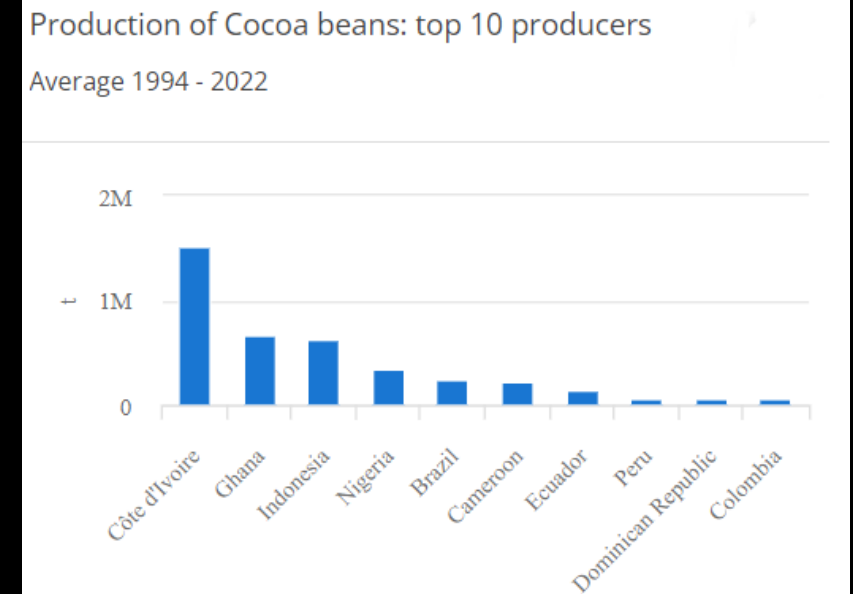
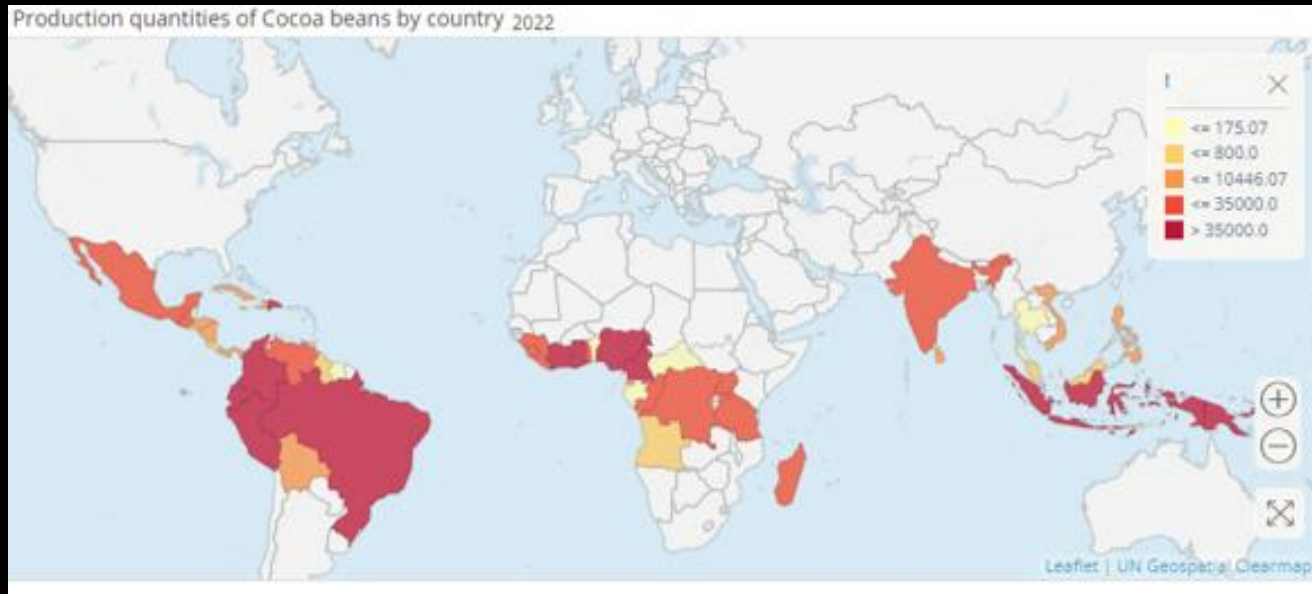
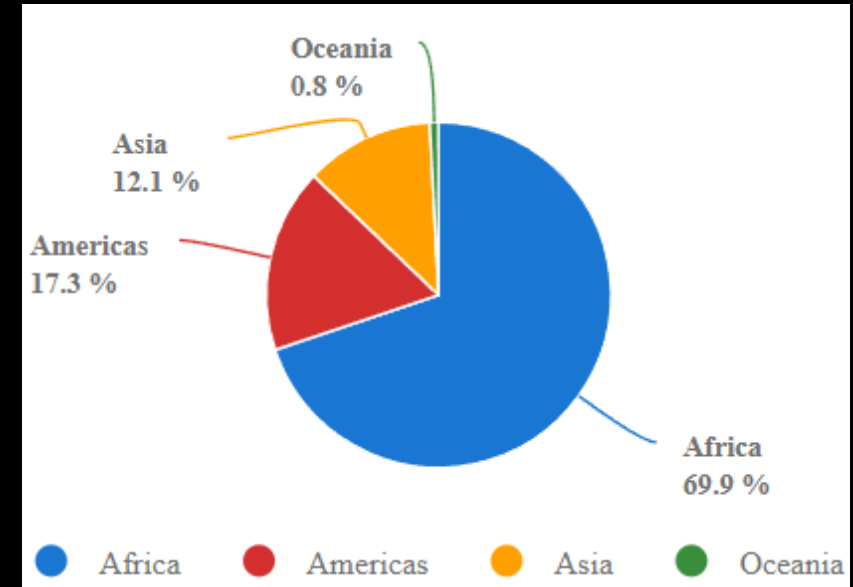
emerging root





# Cacaos' Economic Importance

- UN Food and Agriculture statistics (FAOSTAT) estimates ~5,874,582 million tons of cacao beans (2022)
- Gross production value ~ \$8.33 billion
- Retail market expected to grow to ~\$189.89 billion in 2026



# Cacao Diseases

- Cumulative losses of productivity from pests and diseases – **20-38% globally**
- **Three diseases** responsible for ~87% of global annual losses
- Biologically superior varieties are the preferred strategy
- **Annual global replanting requirement of ~1 billion plants/year**

Black Pod Rot



<https://bugwoodcloud.org/images/768x512/1317030.jpg>

Witches' Broom Disease



<https://www.nybg.org/blogs/science-talk/content/uploads/2015/04/0415-pink-mushrooms296x460.jpg>

Cacao Swollen Shoot Virus



[https://upload.wikimedia.org/wikipedia/commons/e/ef/Cocoa\\_Swollen\\_Shoot\\_Stem\\_symptom.jpg](https://upload.wikimedia.org/wikipedia/commons/e/ef/Cocoa_Swollen_Shoot_Stem_symptom.jpg)



# Cacao Propagation Strategies



- Seedlings are most commonly used
- Low labor input
- High % of healthy plantlets
- Variation in traits
- Loss of breeding improvements



# Cacao Asexual Propagation Strategies



Cuttings

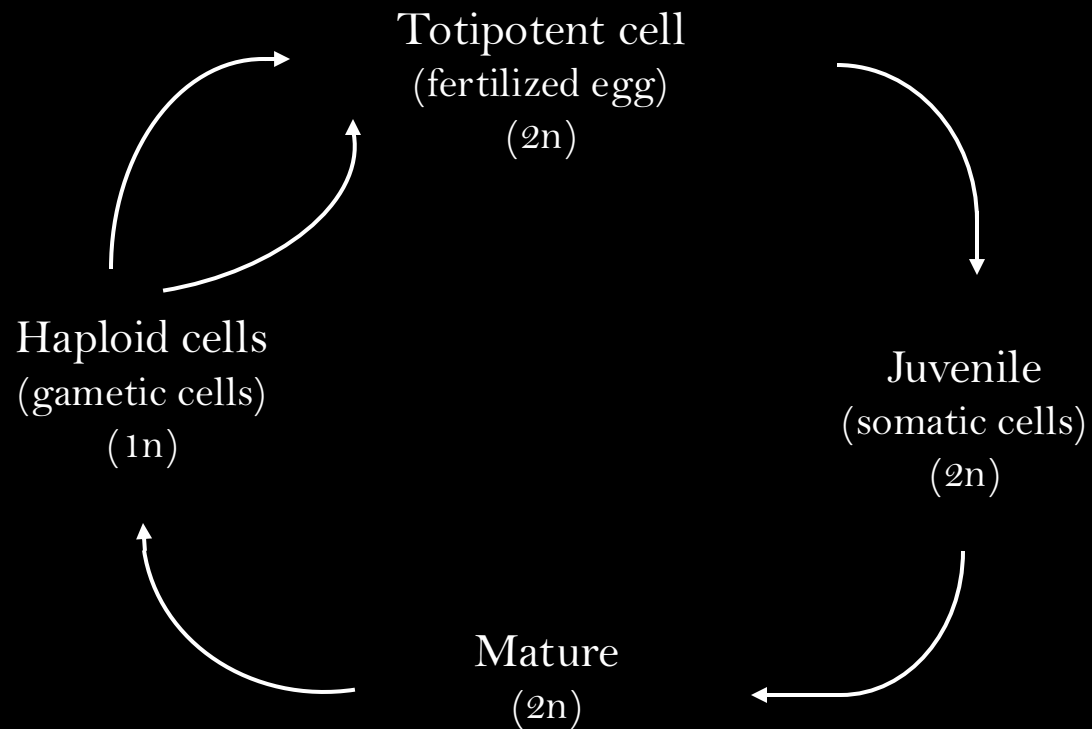


Air Layering



Grafting

# Lifecycle of a sexually reproducing organism

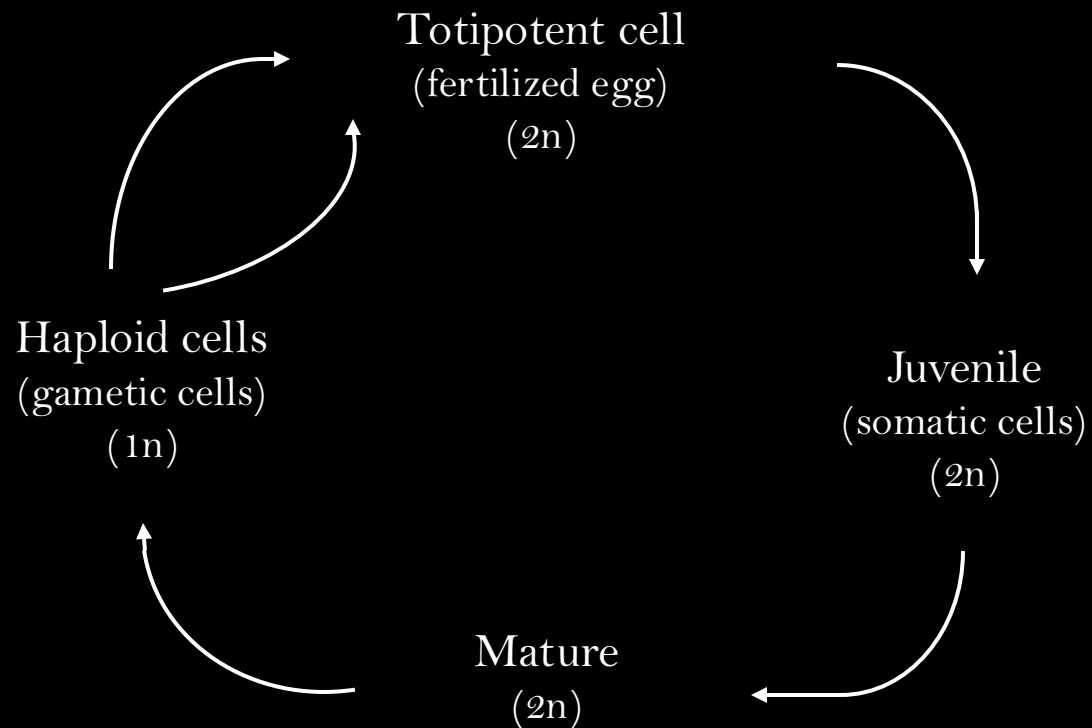


Totipotency ~ the ability to give rise to **all** the different cell-types and tissues to fully regenerate an organism in a permissive environment

Pluripotency ~ the ability to give rise to **multiple** different cell-types and tissues of an organism in a permissive environment



# Lifecycle of a sexually reproducing organism



Differentiation ~ the process by which unspecialized cells transform into specialized cells with defined structures, functions, and roles

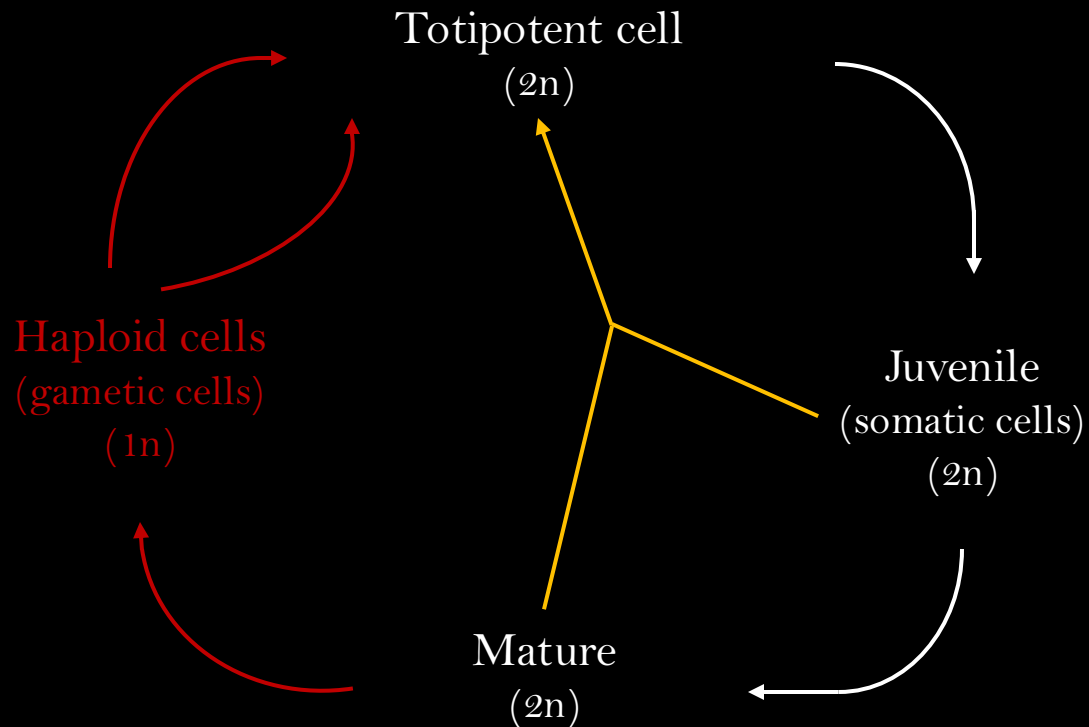
Somatic cell ~ any cell of an organism apart from its' reproductive cells

# Somatic Embryogenesis

The process of induced totipotency where embryos are formed from somatic cells rather than zygotic germline cells



*Kalanchoe sp.*



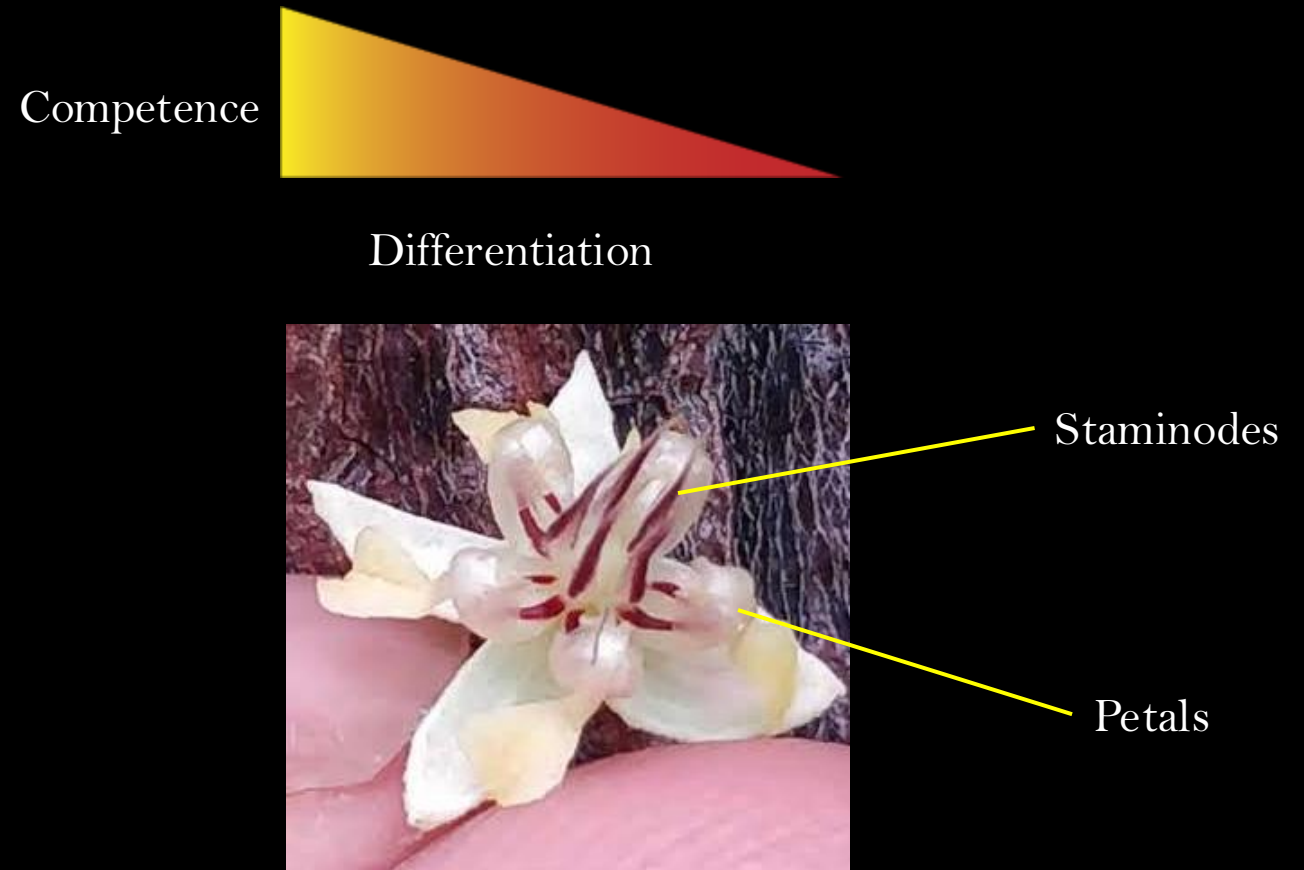
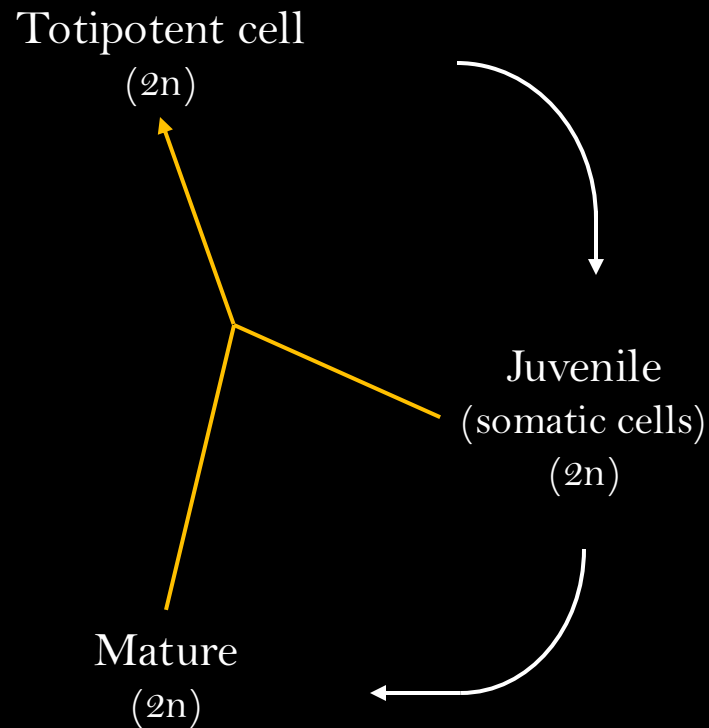
- Occurs in over 500 species of higher plants
- Can be induced *in-vitro*
- Uses genetic & developmental pathways of seed development



# Cacao Somatic Embryogenesis Induction

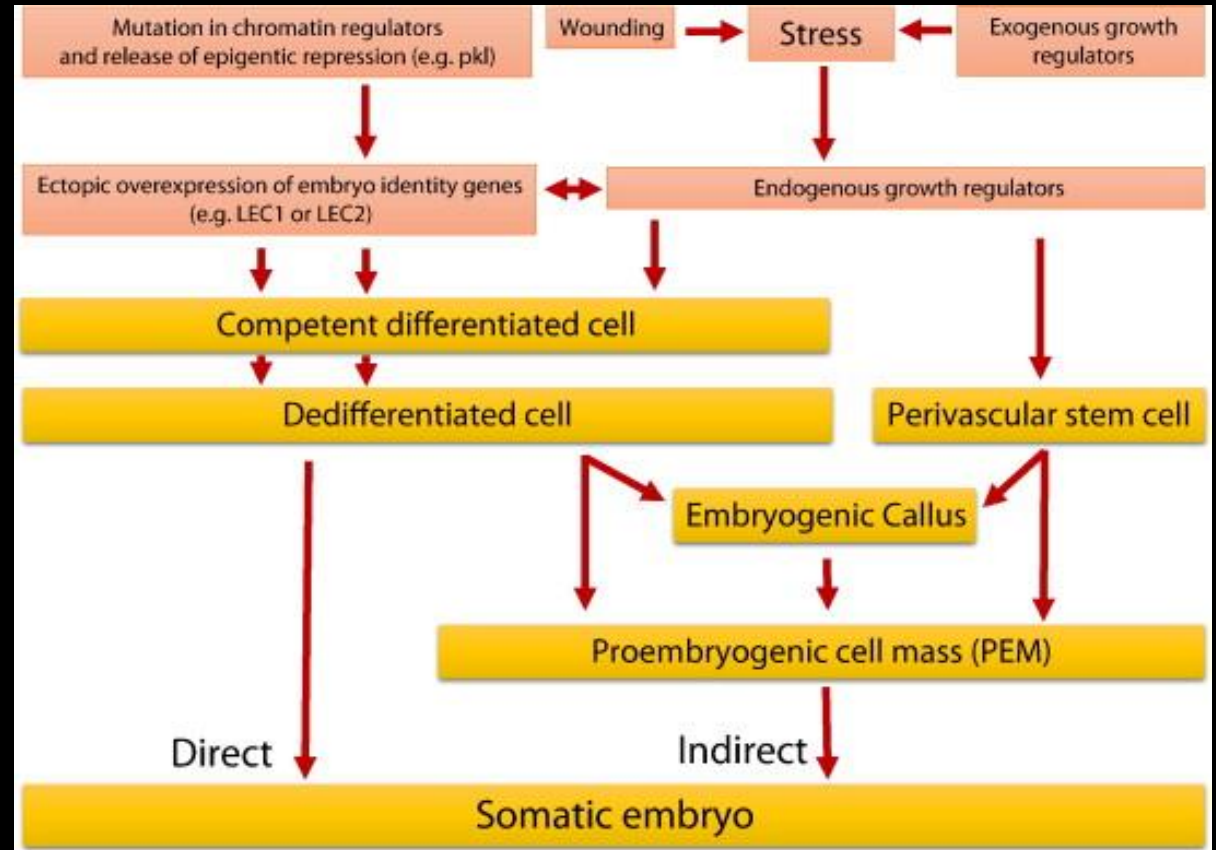
Competent cell ~ capable of de-differentiating towards totipotency

*In-vitro* recalcitrance ~ when plants fail to induce somatic embryogenesis in tissue culture despite extensive optimization of conditions



# Cacao Somatic Embryogenesis Induction

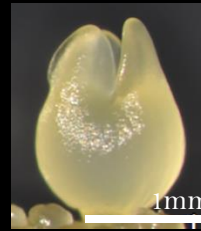
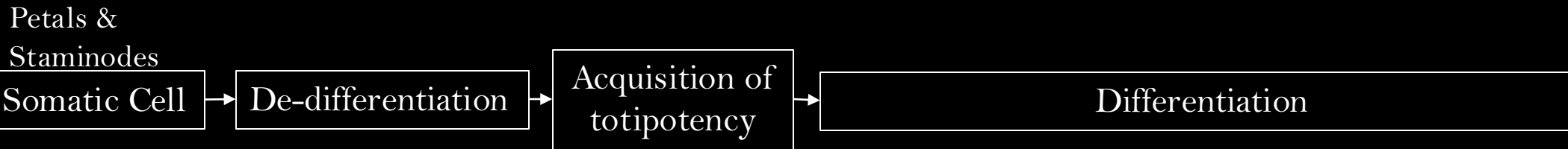
- Induction occurs through stress
- Most tissue culture systems use plant growth regulating hormones like 2,4-dichlorophenoxyacetic acid (auxin) & thidiazuron (TDZ) (cytokinin)
- Results in endogenous auxin production
- Expression of totipotency-promoting and embryo-identity genes
- Removal of stress allows for somatic embryo development





# Cacao Somatic Embryogenesis (SE)

PCG Two-week TDZ SCG Two-week 2,4-D EDN



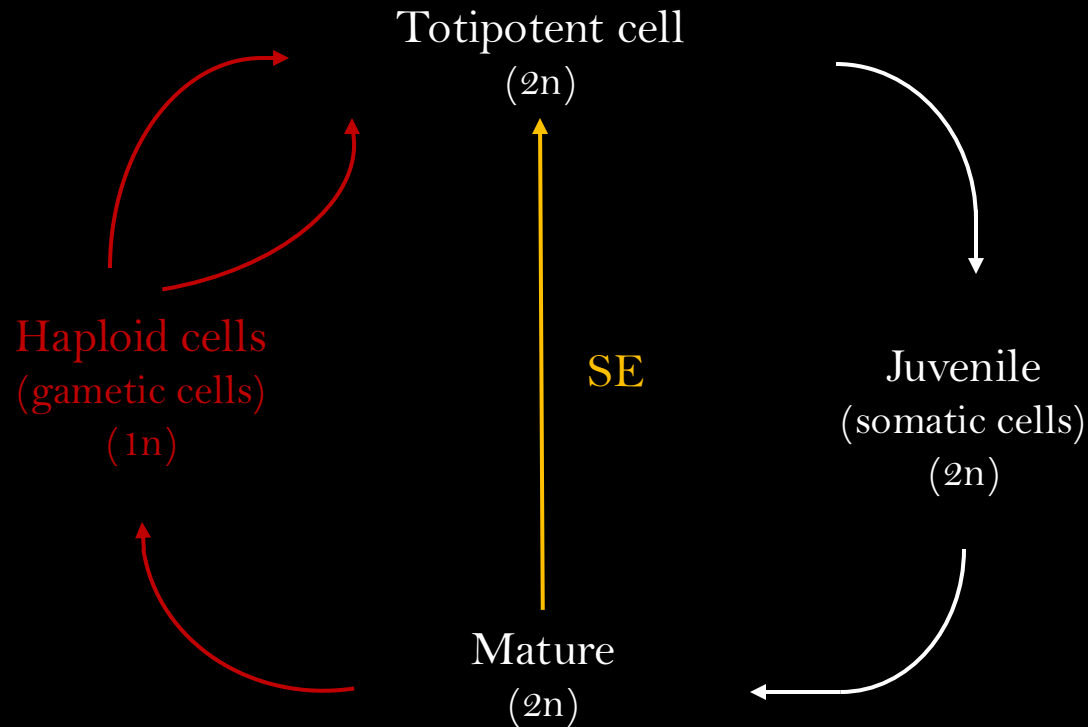
Indirect SE → Callus proliferation → Proembryogenic mass → Globular Embryo → Heart-stage → Torpedo-stage → Cotyledonary stage → Plantlet conversion

4 weeks after culture initiation (ACI)      8-16 weeks ACI

Callus ~ unorganized mass of cells in various states of differentiation

Proembryogenic masses (PEMs) ~ Cluster of proembryogenic cells from which embryos emerge

# Propagation via Somatic Embryogenesis



## Advantages:

- Highest multiplication ratio for asexual propagation
- Maintain agronomic characteristics of the parent
- Virus and disease-free
- SE-derived plants are just as healthy as seed-derived

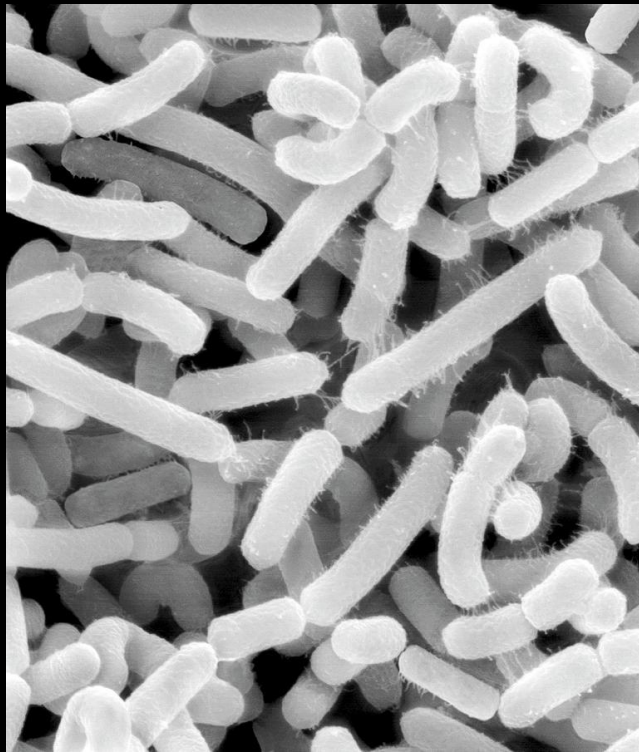
## Disadvantages:

- Highly skilled labor
- Expensive infrastructure
- Optimization of tissue culture protocol
- Genotype dependent
- Requires mature trees to initiate SE (2-6 years)
- Abnormalities of somatic embryos



# Genetic Transformation

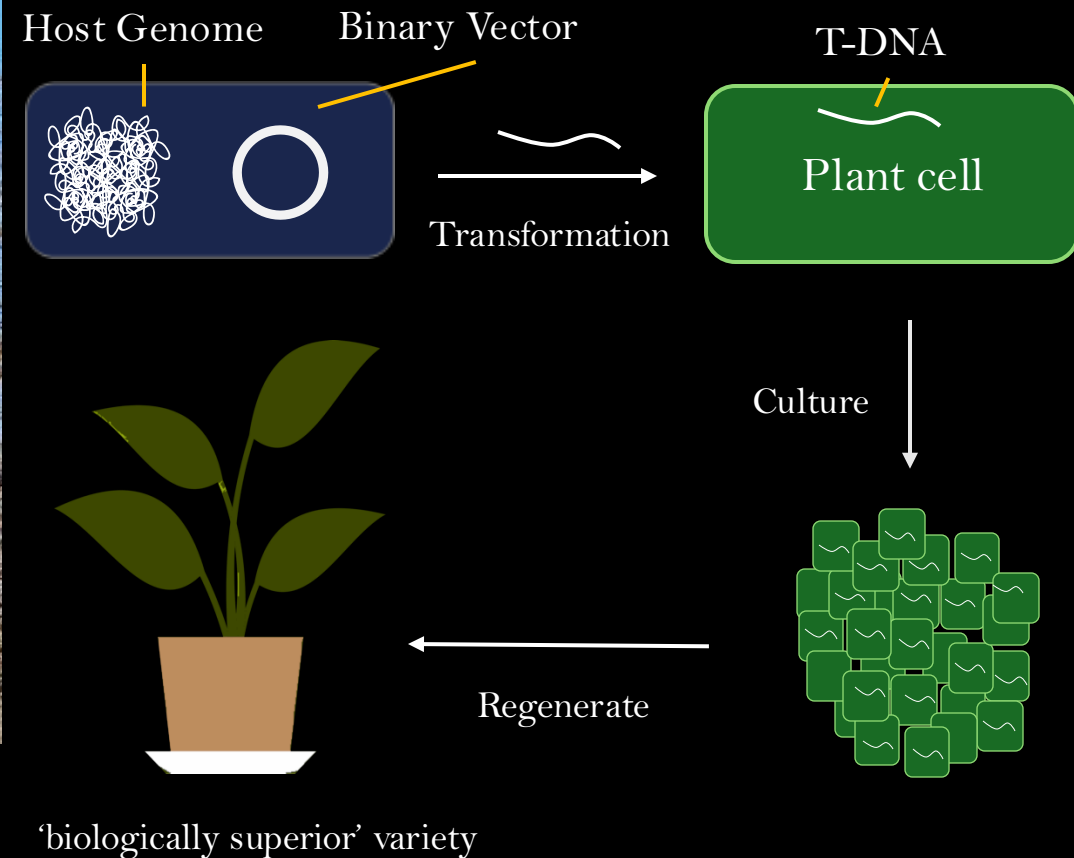
The process of altering an organisms' DNA by adding, removing or changing sequences for the purposes of affecting changes within the host cell



*Agrobacterium tumefaciens*



Crown-gall disease

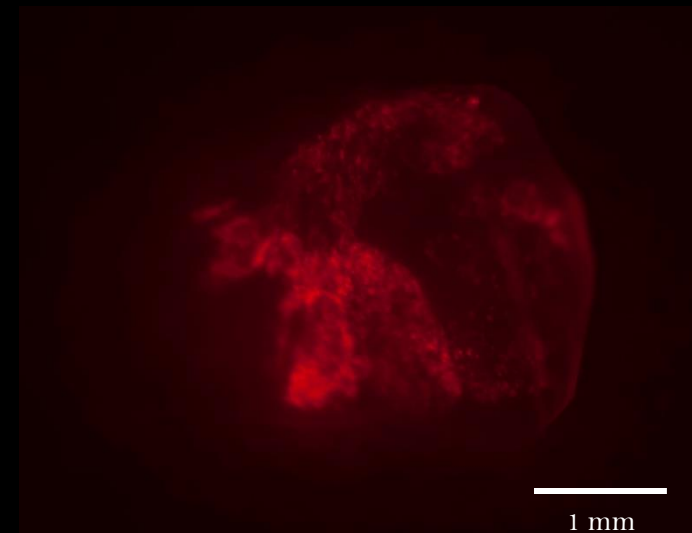


# Cacao Genetic Transformation

**Somatic embryo cotyledons** are the only tissues **amenable** to stable *Agrobacterium* transformation and subsequent regeneration



*Agrobacterium* mediated  
transformation



cacao SE cotyledon transformed  
with mScarlet fluorescent gene



# Cacao Genetic Transformation



Cacao somatic embryos



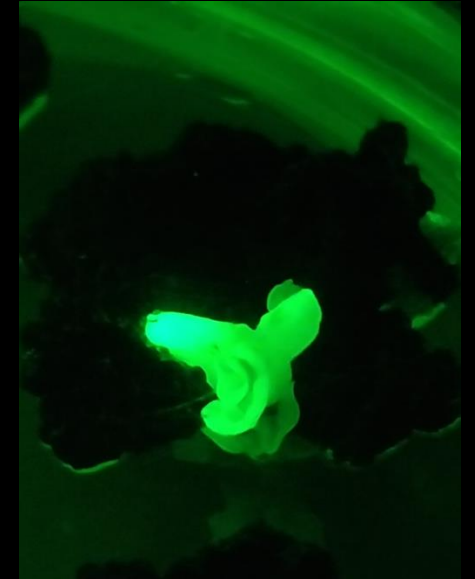
SE cotyledon explants



*Agro*-mediated transformation

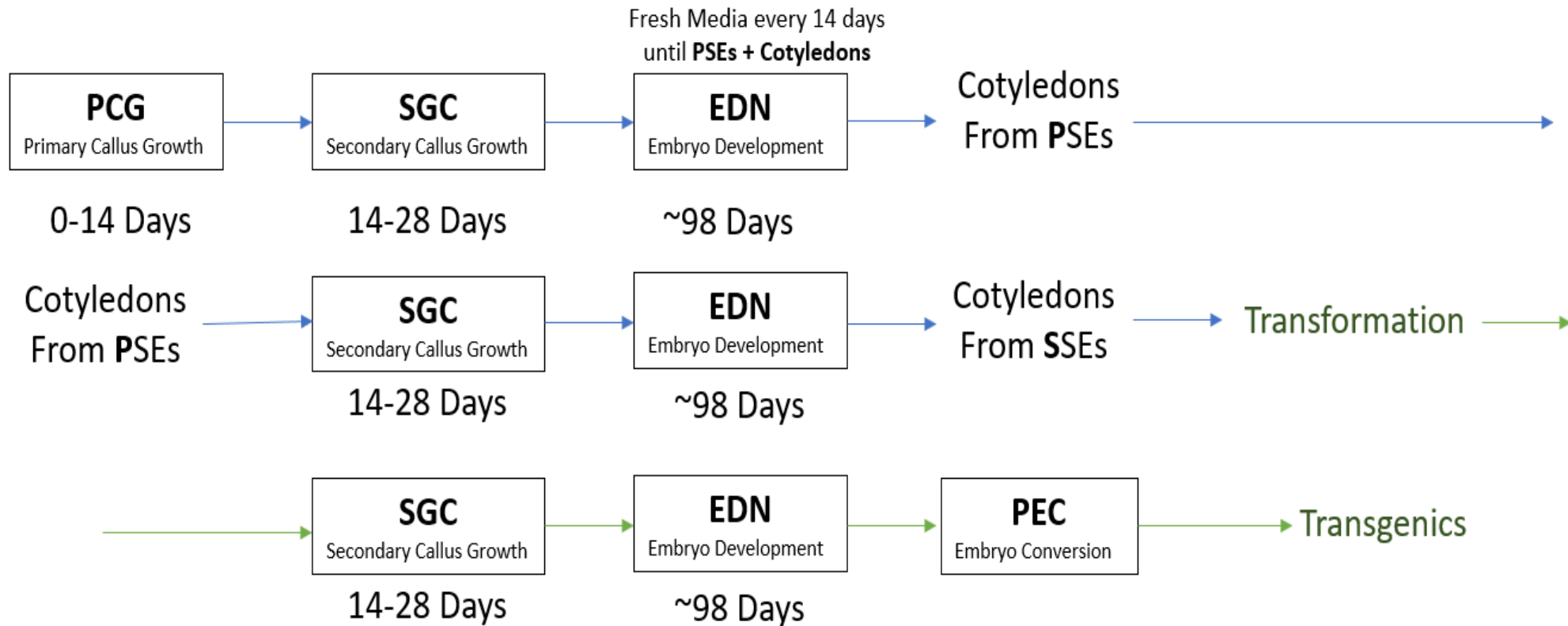


Culture and regenerate SEs



Transgenic somatic embryo expressing EGFP (enhanced green fluorescent protein)

# Cacao Genetic Transformation

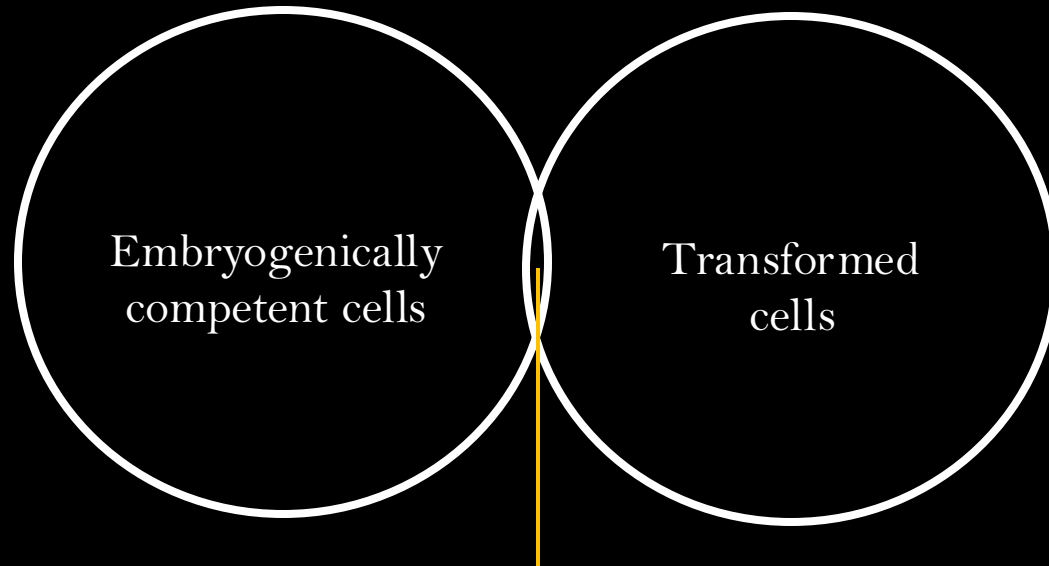




# Cacao Transformation Efficiency

Transformation Recalcitrance ~ Inability to incorporate foreign DNA into the host plants' genome

- Transient ~ The T-DNA is not reaching and expressing within the host cells' nucleus
- Stable ~ T-DNA is not integrating within the hosts' nucleus and regenerating transgenic SEs
  - Transgenic embryo regeneration efficiency ~0-4%



Recovery of a transgenic cacao SE

# Two Major Bottlenecks

## *In-vitro* recalcitrance:

- Most tissues of cacao trees are not capable of regenerating SEs. This limits all SE work to mature, flowering, trees

## Transformation recalcitrance:

- *Agro*-mediated transformation efficiency is insufficient to increase the number of stable transgenics regenerated



# Dissertation Chapters Overview

Chapter 2:  
Ectopic Activation of TcLEC2 Increases Explant  
Competence for Somatic Embryogenesis



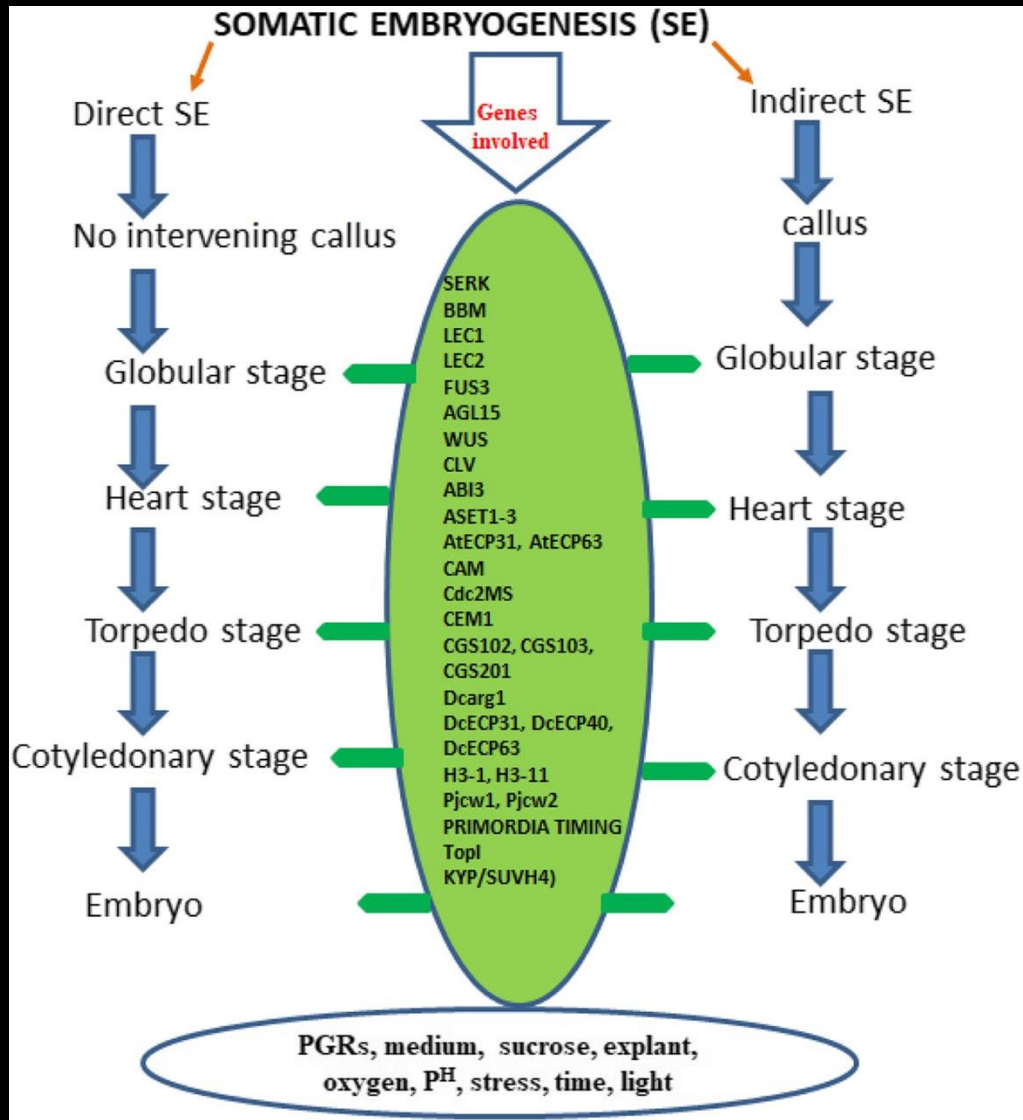
Chapter 3:  
Binary Vector Effects on *Agrobacterium tumefaciens*-  
Mediated Cacao Transformation



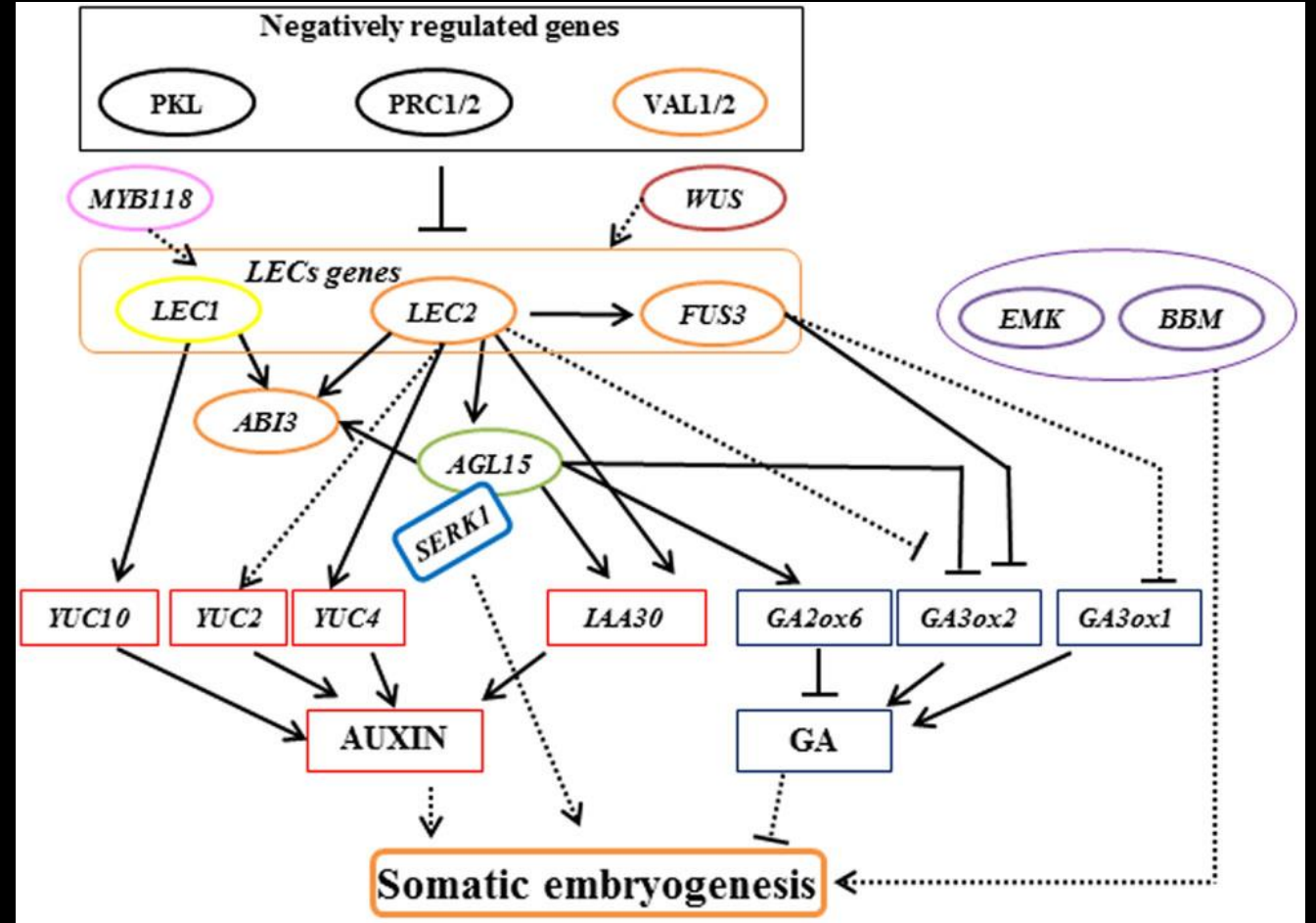
Chapter 4:  
Ectopic Expression of Developmental Regulator Genes  
and Characterization of Cacao Transformation and  
Somatic Embryogenesis

Chapter 2:

Ectopic Activation of TcLEC2 Increases Explant  
Competence for Somatic Embryogenesis



(Gulzar *et al.*, 2020)

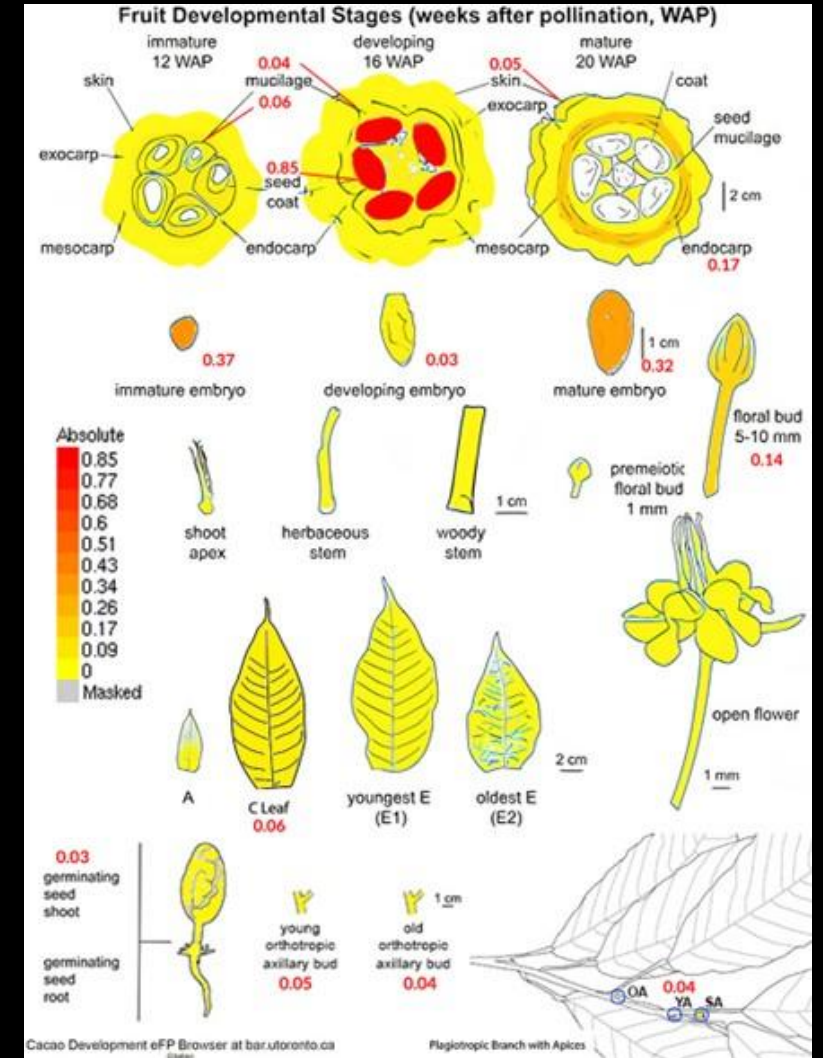


(Guan *et al.*, 2016)

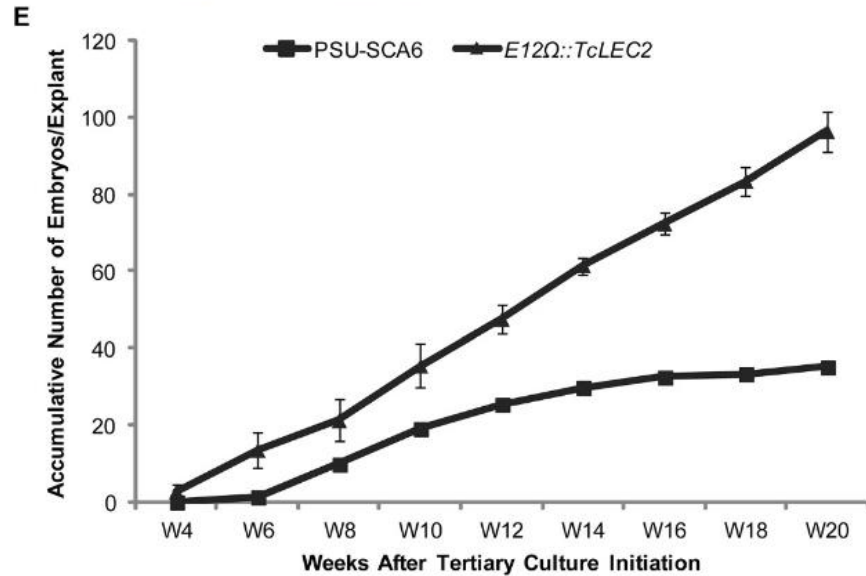
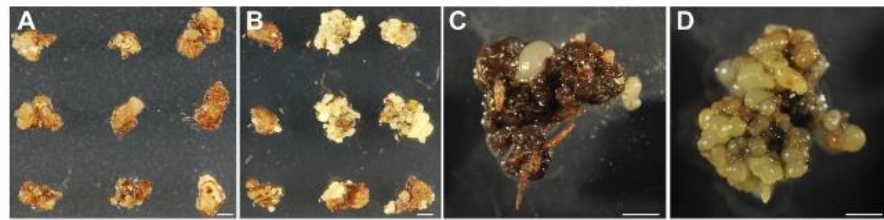


# Cacao *Leafy Cotyledon 2* (*TcLEC2*) Transcription Factor

- Master regulator of somatic embryogenesis
- Expressed early in embryonic development and asexual tissues
- Establishes the ideal cellular environment for zygotic embryo development



# Cacao *Leafy Cotyledon 2* (*TcLEC2*) Transcription Factor

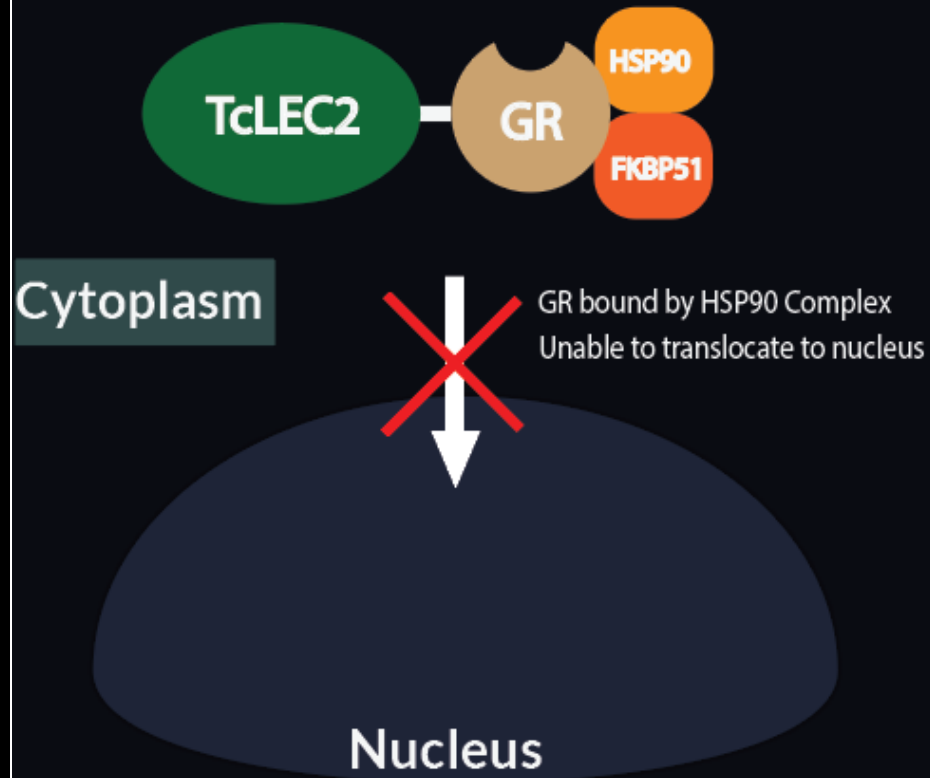


**Figure 6** Overexpression of *TcLEC2* increases tertiary somatic embryogenesis efficiency. **A.** Tertiary *PSU-SCA6* culture on hormone free medium at 4 weeks ACI. **B.** Tertiary stable transgenic *E12Ω::TcLEC2* culture on hormone free medium at 4 weeks ACI. **C.** Tertiary *PSU-SCA6* culture on hormone free medium 20 weeks after culture initiation. **D.** Tertiary stable transgenic *E12Ω::TcLEC2* culture on hormone free medium at 20 weeks ACI. **E.** Average number of tertiary embryos produced per explant from *PSU-SCA6* and stable transgenic *E12Ω::TcLEC2* explants (n = 4, mean ± SE) (Bars = 2 mm).

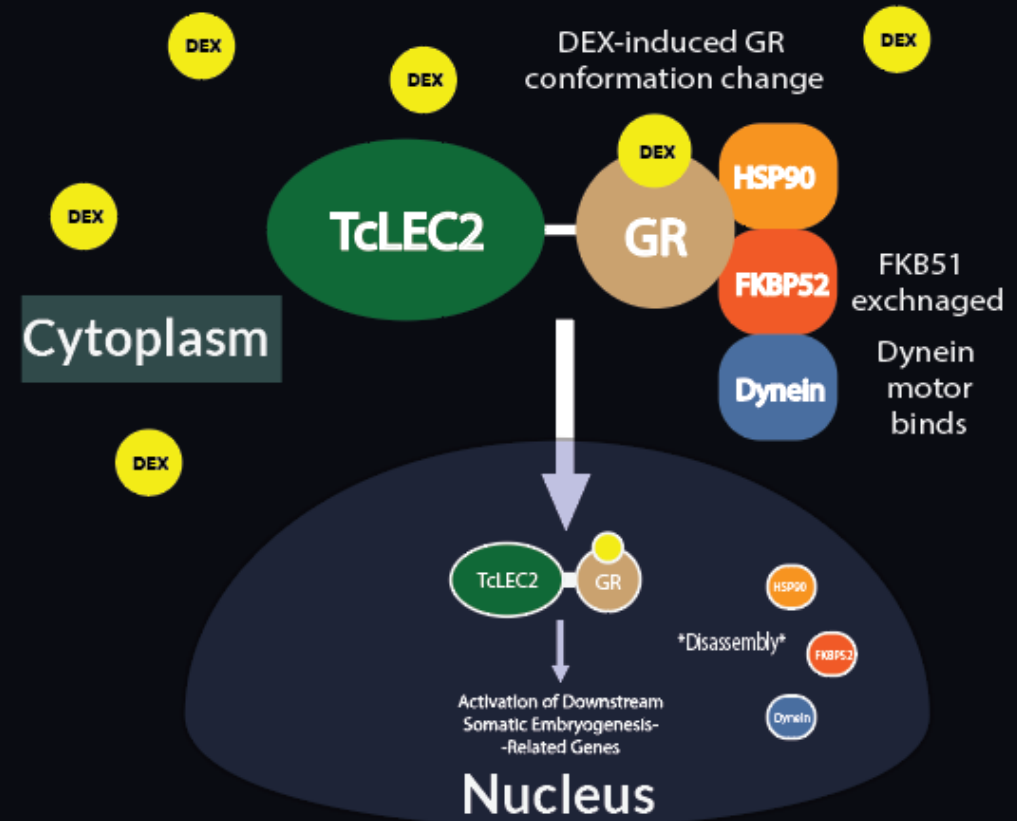
- Constitutive expression results in cyclic embryogenesis
- Transgenic embryos are unable to develop properly

# LEAFY Cotyledon 2-Glucocorticoid Receptor (TcLEC2-GR) Fusion System

(-) Dexamethasone



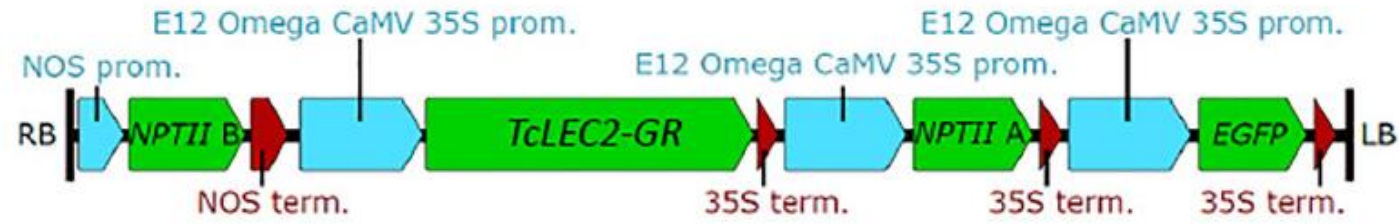
(+) Dexamethasone



(+) DEX = ACTIVATED



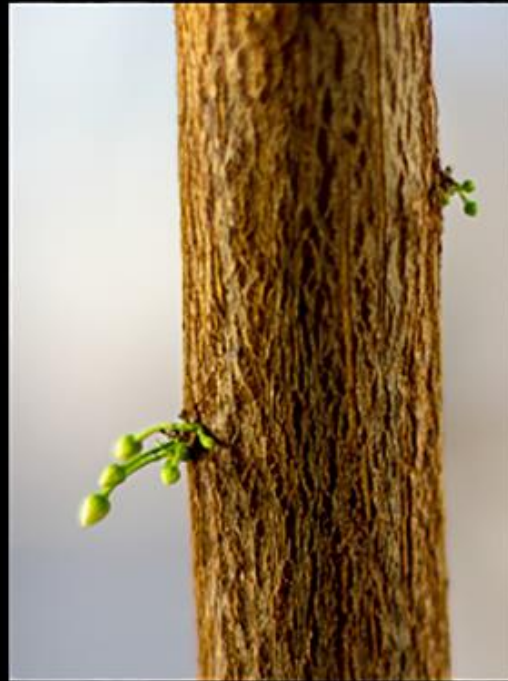
A

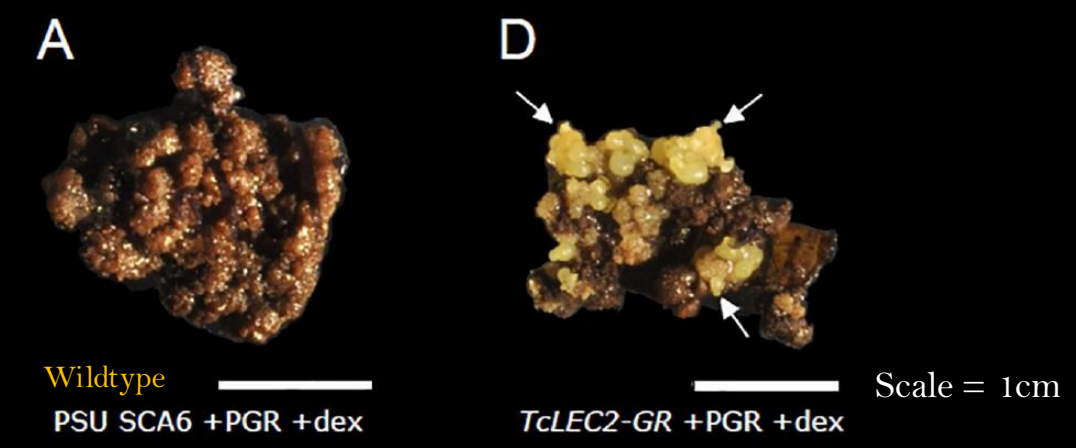
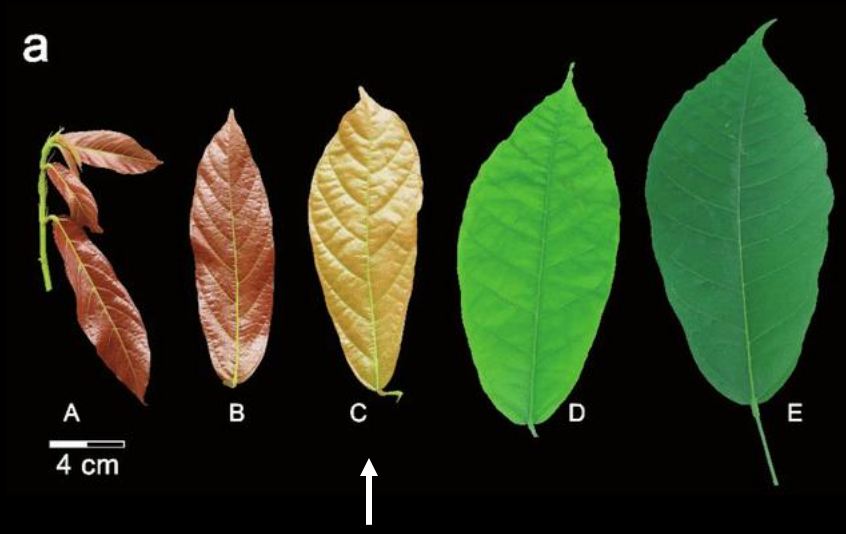
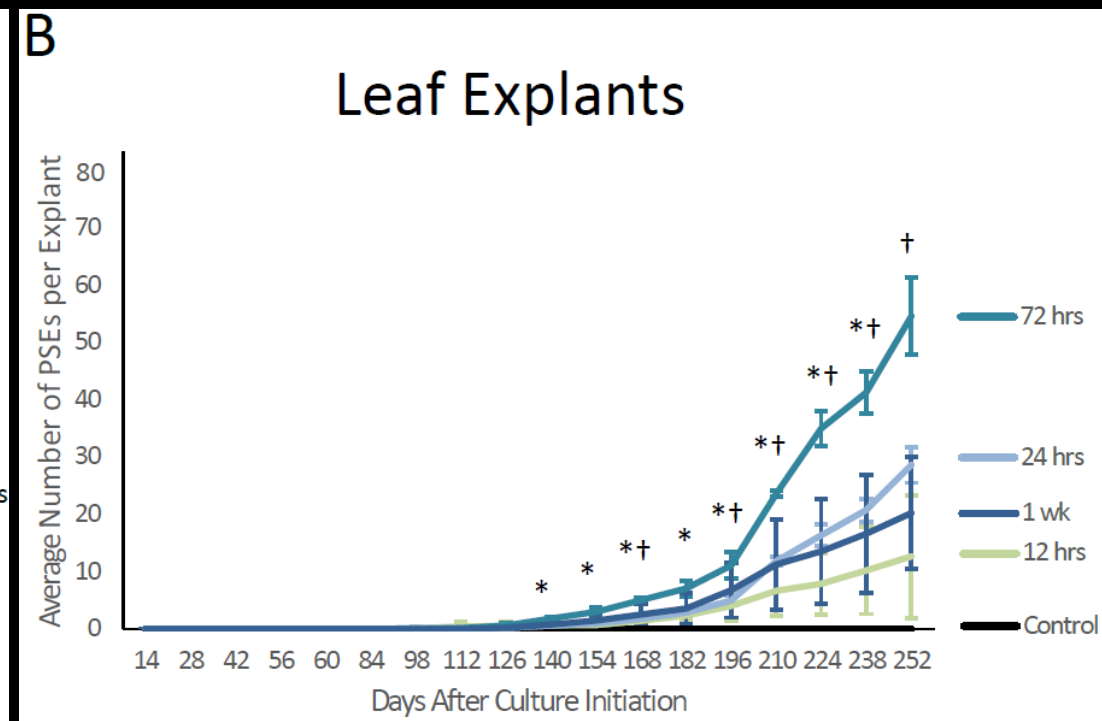
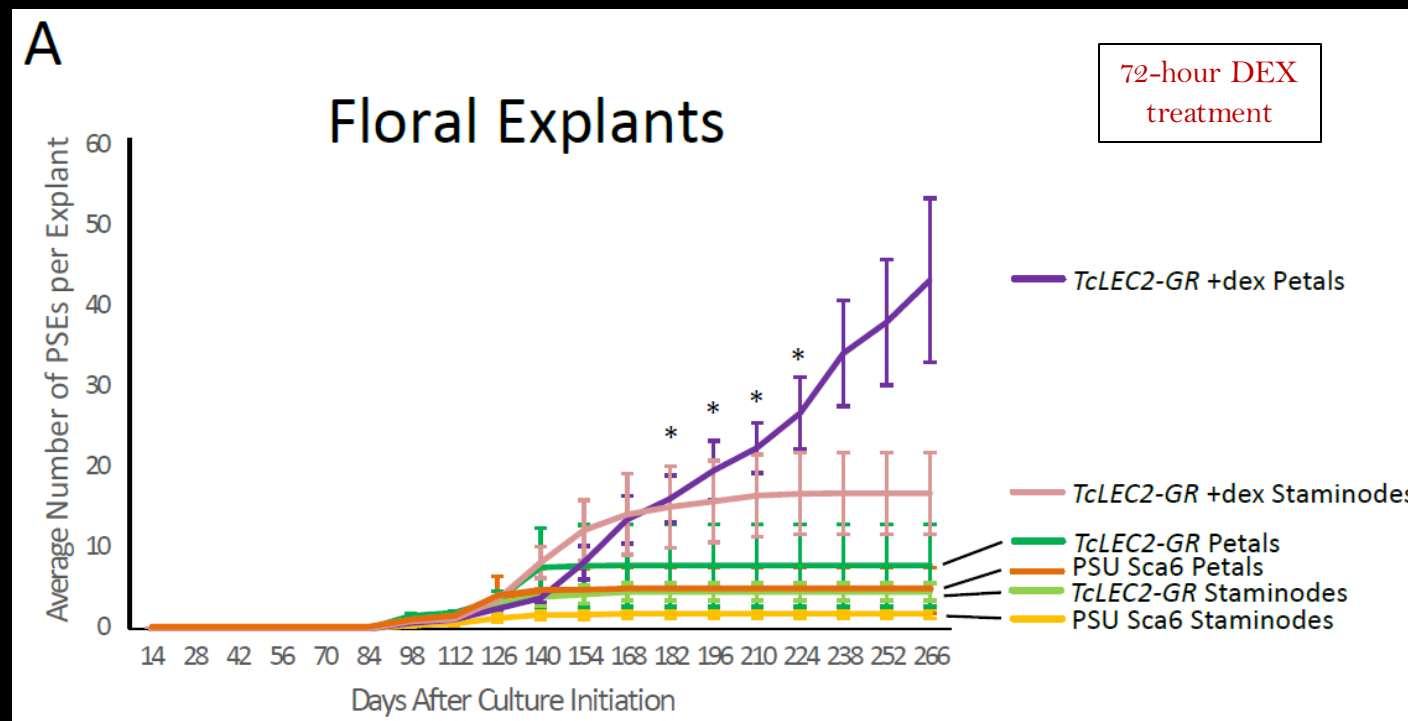


B



C





(Fister et al., 2018)

What other cacao tissues might be amenable to somatic embryogenesis via LEC2 activation?



# Pilot Experiment

Two Genotypes: PSU Sca6 (wild-type) & TcLEC2-GR



Whole Flowers



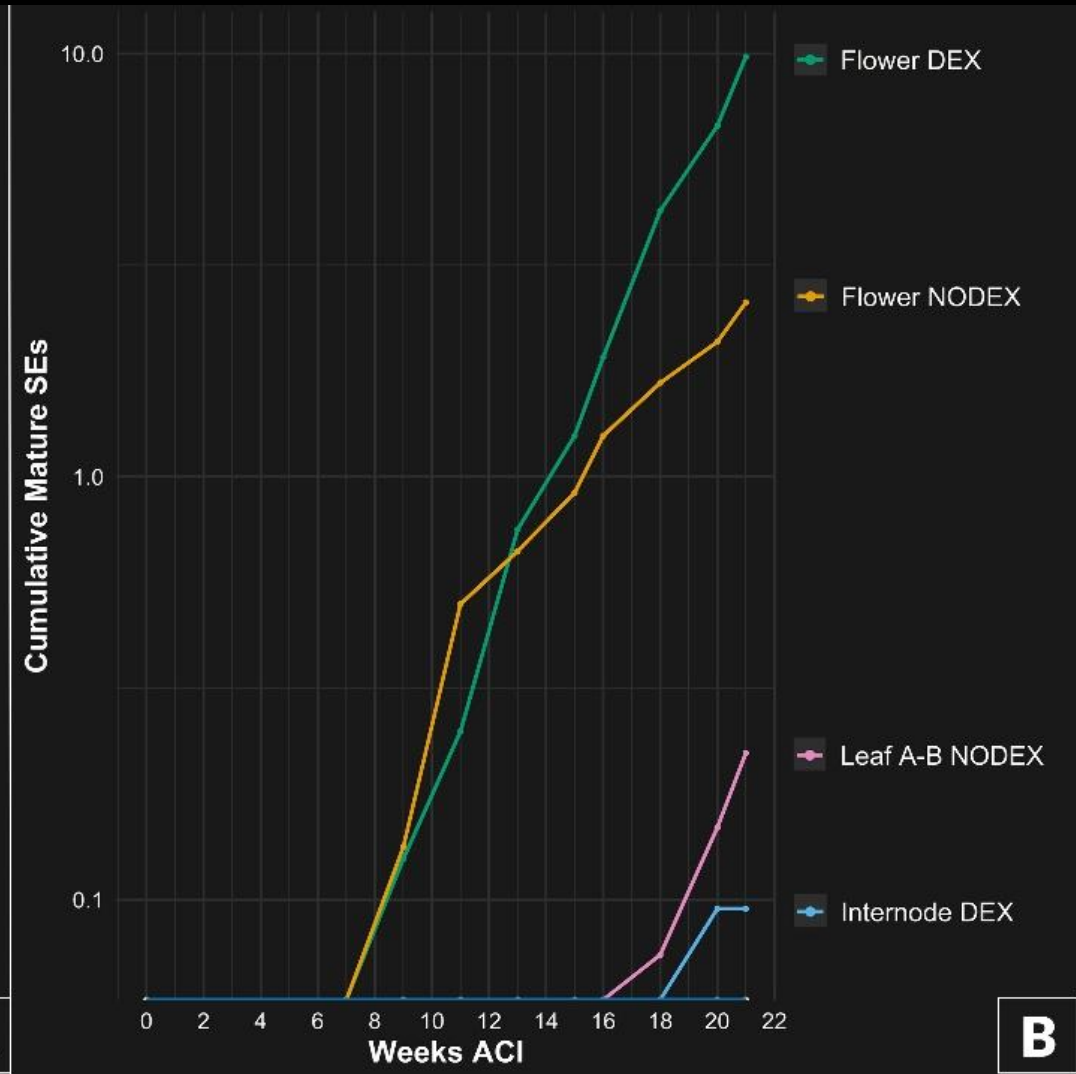
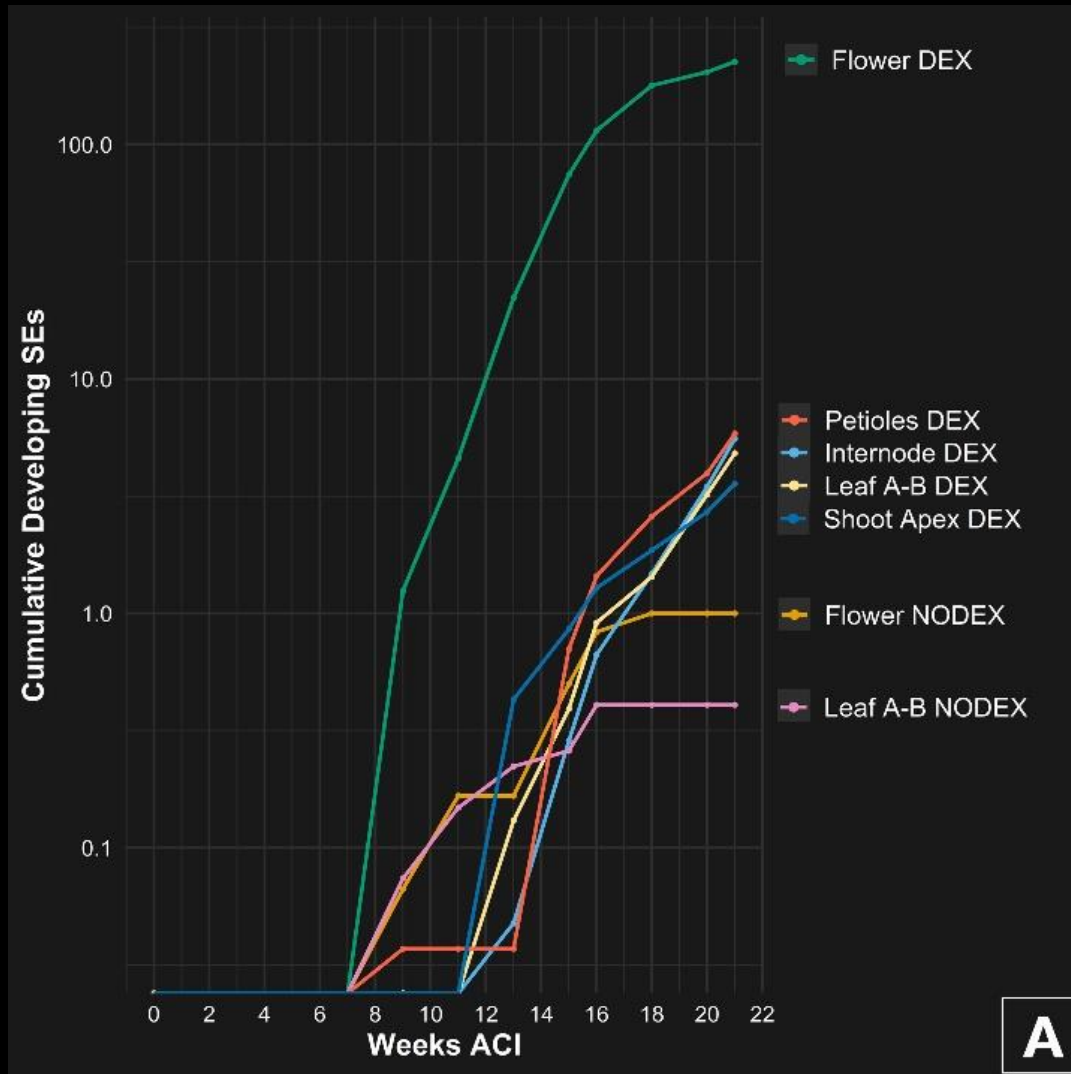
Internodes

Petioles

Leaf Stage  
A-B




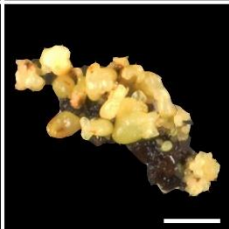



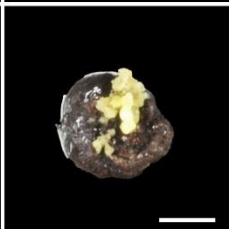
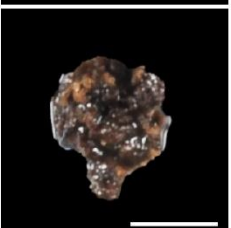

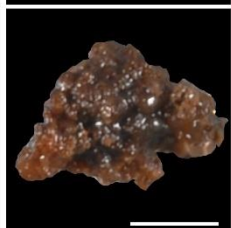
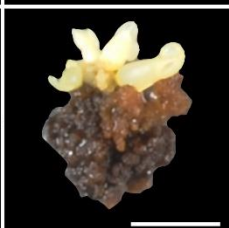



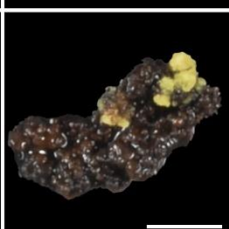
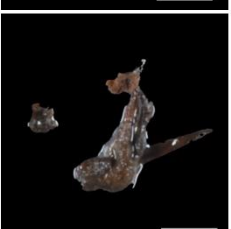

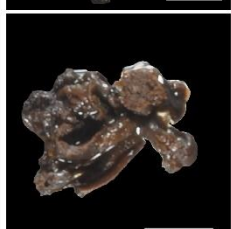

Shoot  
Apex

# LEC2 Tissues



Developing ~ globular → torpedo stage

Mature ~ cotyledonary stage

|                   | <b>PSU SCA 6</b>  |   | <b>TcLEC2-GR</b>   |   |
|-------------------|---|---|--|---|
|                   | (-) DEX   | (+) DEX   | (-) DEX  | (+) DEX   |
| <b>Flower</b>     |    |    |    |    |
| <b>Internode</b>  |    |    |    |    |
| <b>Petiole</b>    |    |    |    |    |
| <b>Leaf A-B</b>   |   |   |   |   |
| <b>Shoot Apex</b> |  |  |  |  |

Result:

LEC2 activation allows juvenile somatic tissues to regenerate SEs



# Large Scale Experiment – replicated twice



Petals



Leaf Stage  
E

Internodes

Petioles

Leaf Stage  
C-D

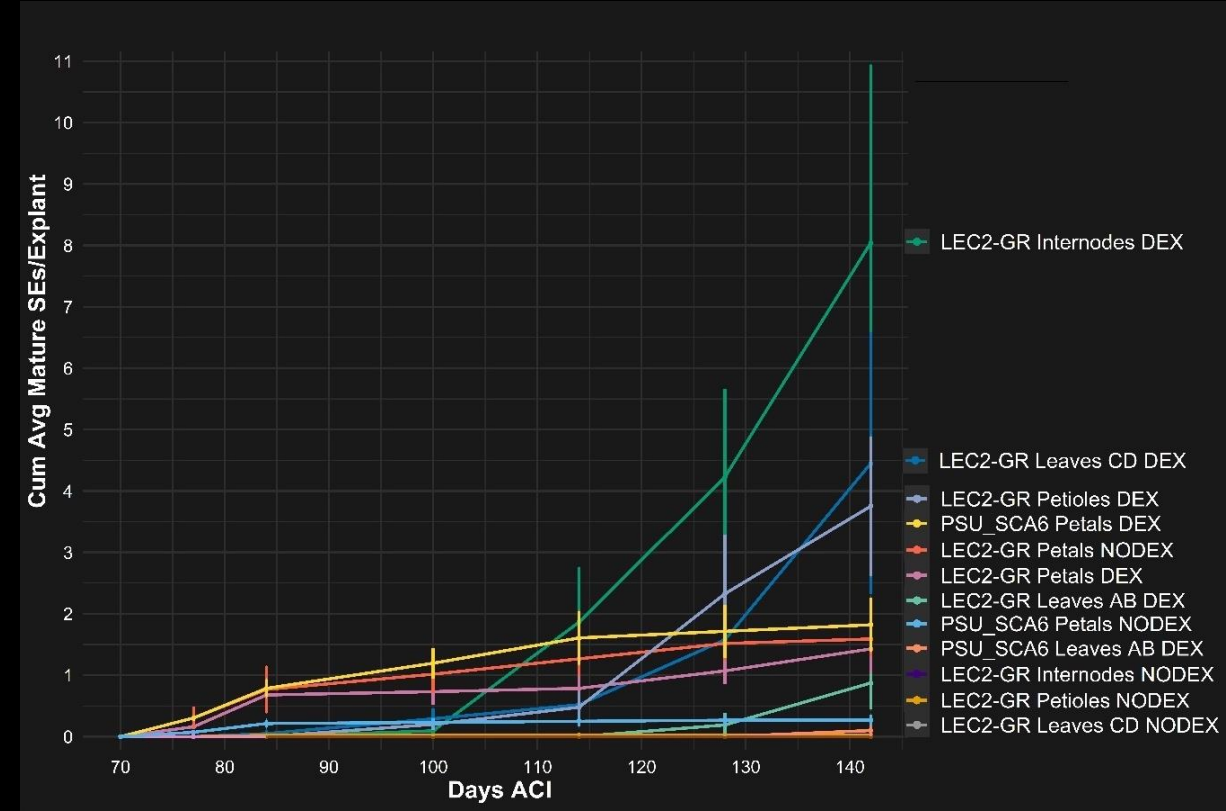
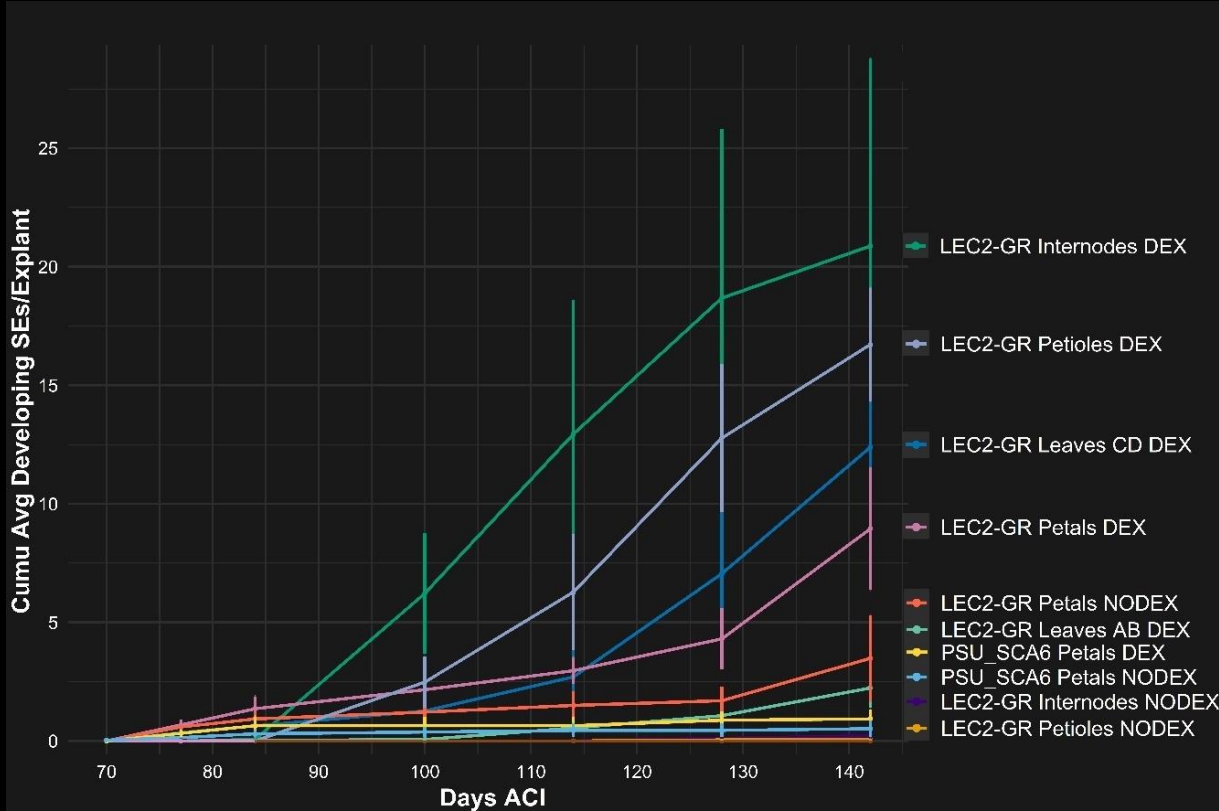
Leaf Stage  
A-B

## Two Genotypes:

- PSU Sca6 (wild-type)
- TcLEC2-GR

## Explant Numbers:

- 5-9 plates/treatment
- 56-90 explants/treatment



## Results:

### Petals:

- PSU SCA6 & L2-GR regenerated similar numbers of mature SEs (+/- DEX)
- L2GR (+/- DEX) had a significantly higher number of developing SEs

### L2GR Internodes (+DEX):

- Regenerated the most SEs

### L2GR Petioles (+DEX):

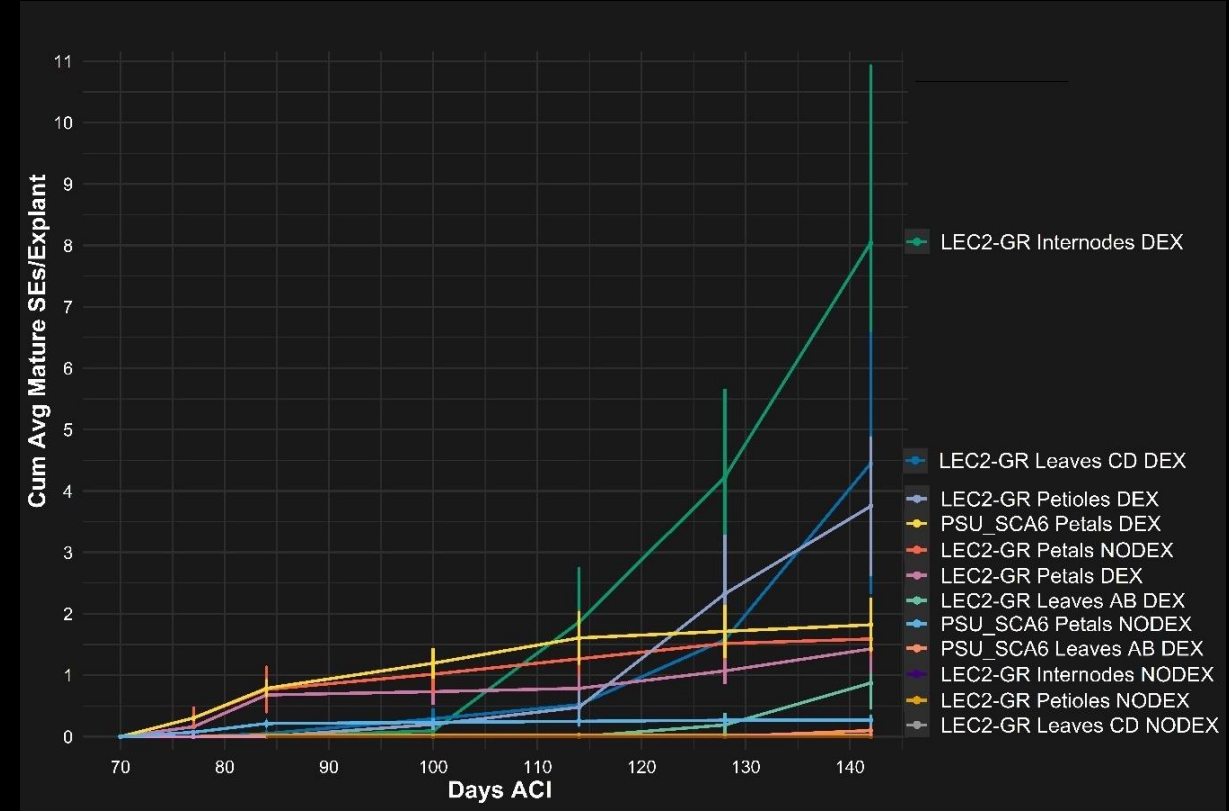
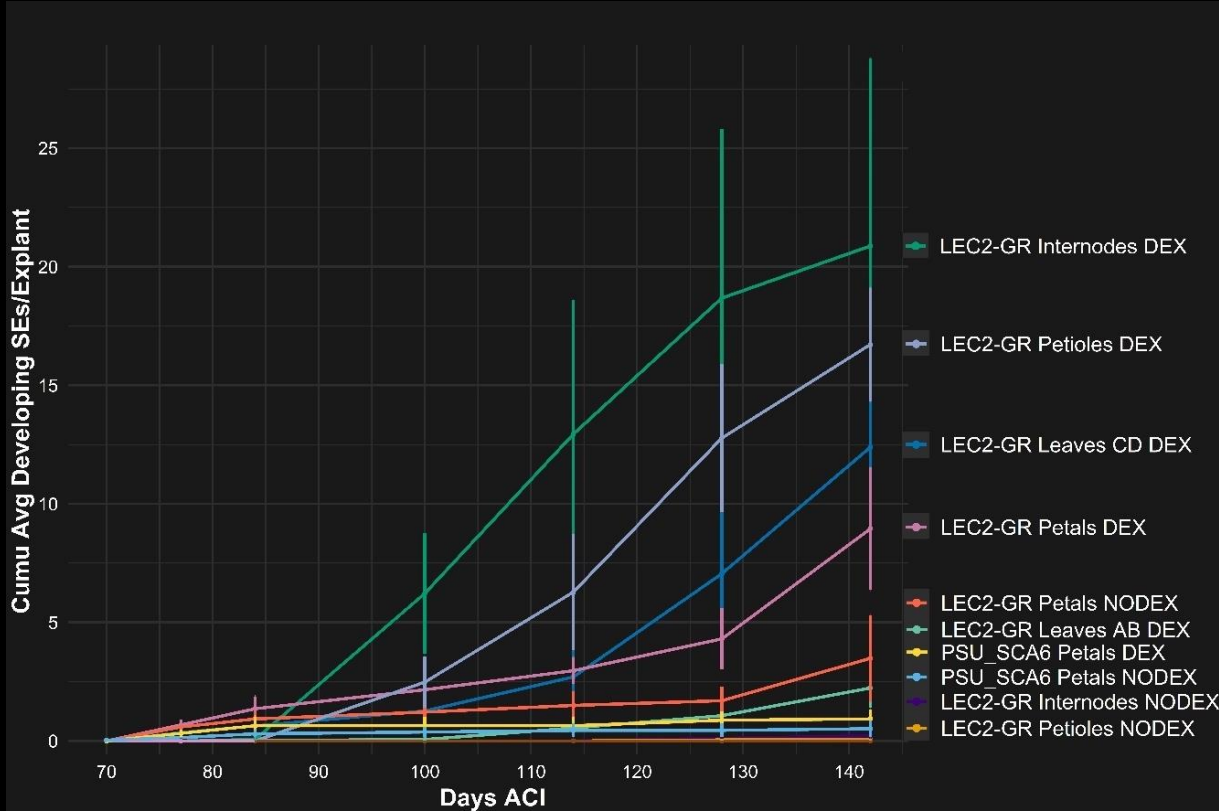
- Tied second best at SE regeneration

### L2GR C-D Stage Leaves (+DEX):

- Tied second best at SE regeneration

### L2GR A-B Stage Leaves(+DEX):

- Regenerated the fewest compared to other juvenile somatic tissues



L2GR E Stage Leaves:

- Regenerated no SEs (+/- DEX) treatment

PSU SCA6 juvenile tissues

- Regenerated no SEs








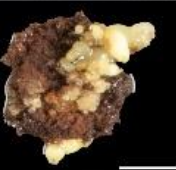


Outliers:

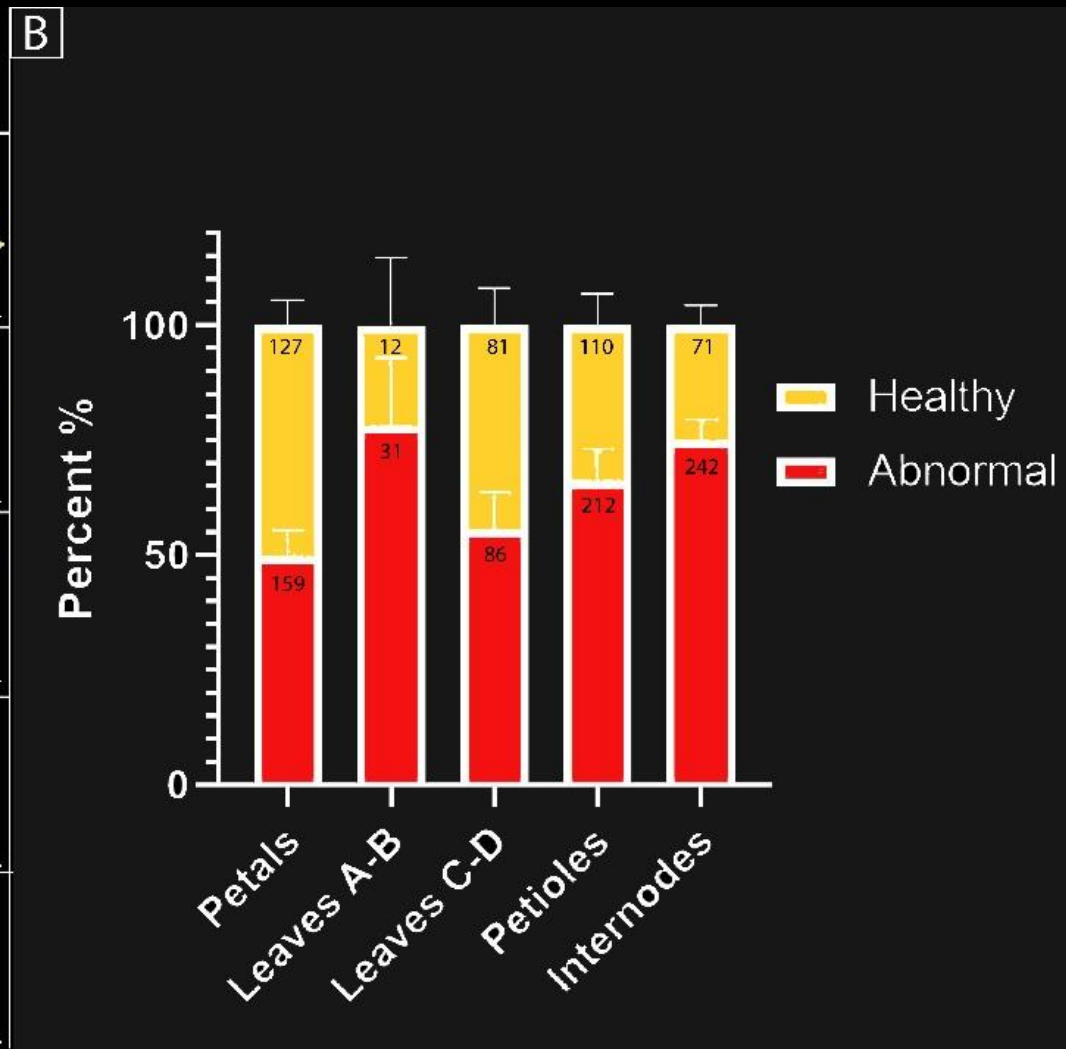
- PSU Sca6 Leaves AB
- L2GR Internodes (-DEX)
- L2GR petioles (-DEX)
- L2GR Leaf Stage C-D (-DEX)

L2GR Leakiness

Developing ~0.60%  
Mature ~0.30%



| A | LEC2-GR Explants | (-) DEX  | (+) DEX  |
|---|------------------|--|--|
|   | Leaves AB        |   |   |
|   | Leaves CD        |   |   |
|   | Leaves E         |   |   |
|   | Internodes       |   |   |
|   | Petioles         |  |  |



### Health of L2-GR Explants

- Petals ~ 49%
- C-D leaves ~ 39%
- Petioles ~ 30%
- Internodes ~ 25%
- A-B leaves ~ 8%

## Discussion:

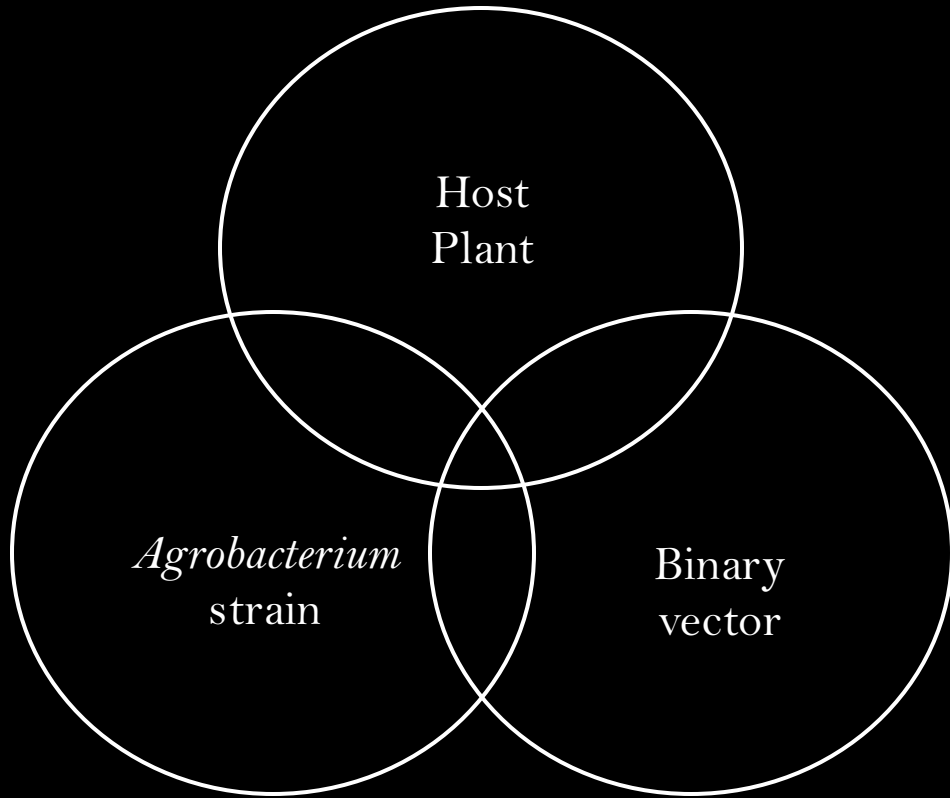
- Activation of TcLEC2 in cacao tissues allows internodes, petioles, leaves staged A-D, shoot apices, and whole flowers to regenerate SEs
- Developmental regulating genes such as LEC2 can help overcome *in-vitro* recalcitrance of highly recalcitrant tissues
- Pre-competent/competent cells exist throughout the tree

## Future Directions:

- Identification of pre-competent and competent cell-types in cacao
- Transformation of juvenile tissues to recover stable transgenics

Chapter 3:  
Binary Vector Effects on *Agrobacterium tumefaciens*-Mediated Cacao Transformation

# Successful *Agrobacterium*-mediated Transformation



Host:

Cacao (PSU Scavina 6)

*Agrobacterium* strain:

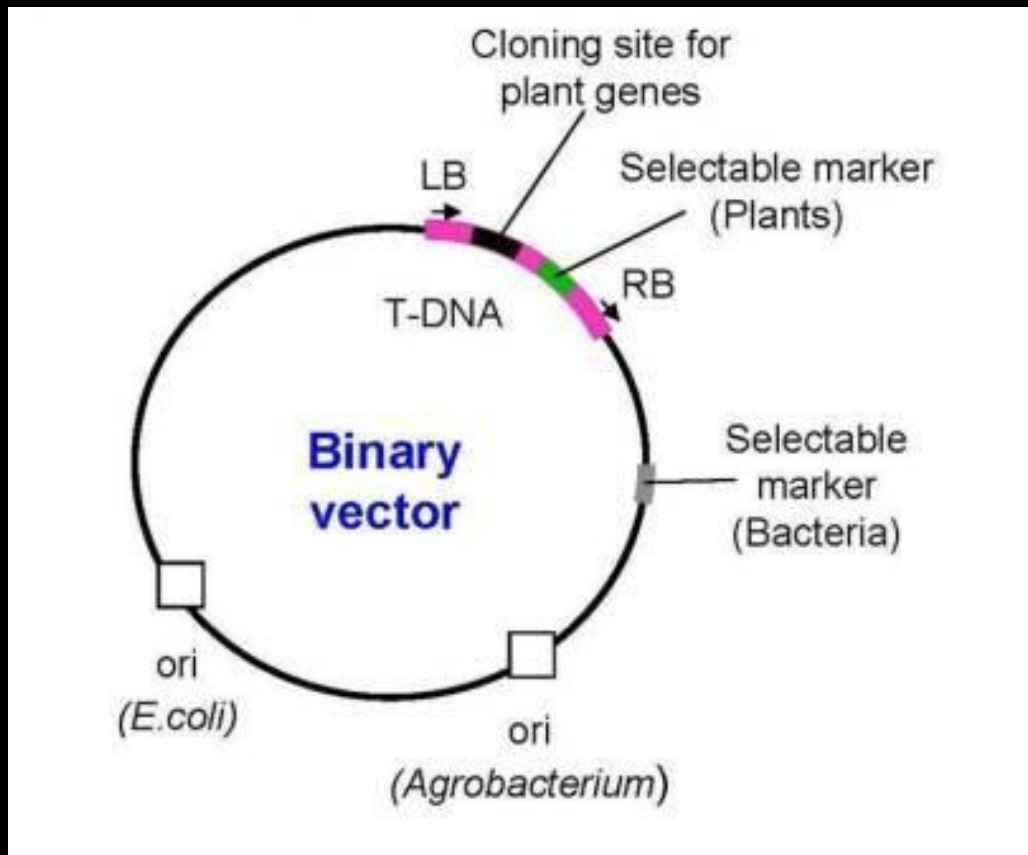
-AGL1 (hyper-virulent)

Binary vector:

-pCambia based backbone



# Components of a binary vector



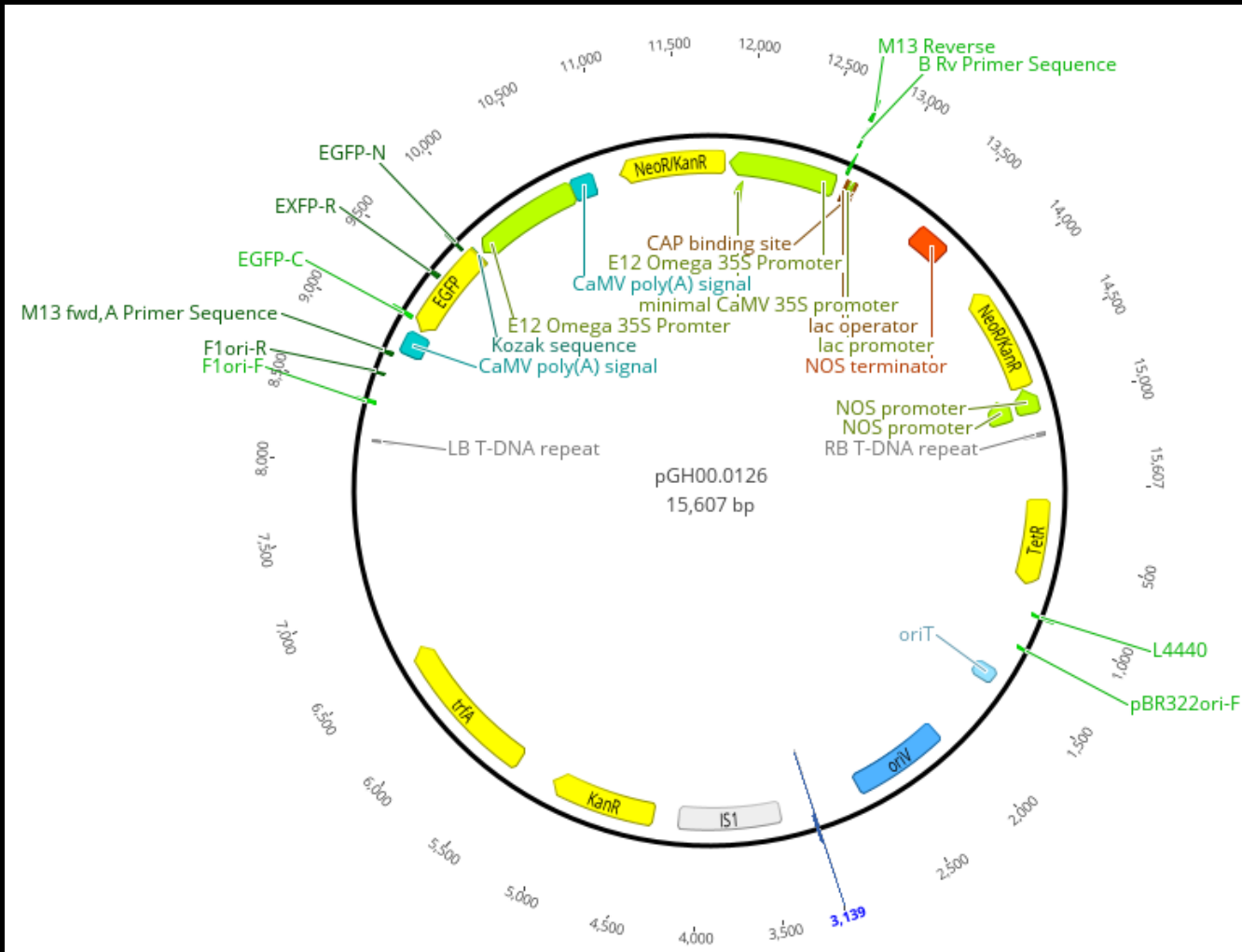
## T-DNA region

- Defined by left border and right border sequences
- Plant selectable marker
- Gene of interest/payload region
- Multiple cloning site

## Backbone region

- Bacterial selectable marker
- *E. coli* origin of replication
- *Agrobacterium* origin of replication

# What binary vector is used for cacao transformation?



pGH00.0126 ('p126')

Backbone – pCambia line

*E. coli* ori – ColE1

*Agrobacterium* ori – pVS1

Backbone ~6.2 kbp

Total size ~ 15.6 kbp

# Two small binary vectors identified from the literature

| Vector         | Backbone | Origin of Replication    | Backbone Size |
|----------------|----------|--------------------------|---------------|
| pGH00.0126     | pCAMBIA  | pVS1+ ColE1              | 6.2 kb        |
| pMAP<br>EGFP   | pLX      | WKS1-pUC19               | 4.3 kb        |
| pLSU-1<br>EGFP | pLSU     | Truncated<br>pVS1+ ColE1 | 4.6 kb        |

‘p126’

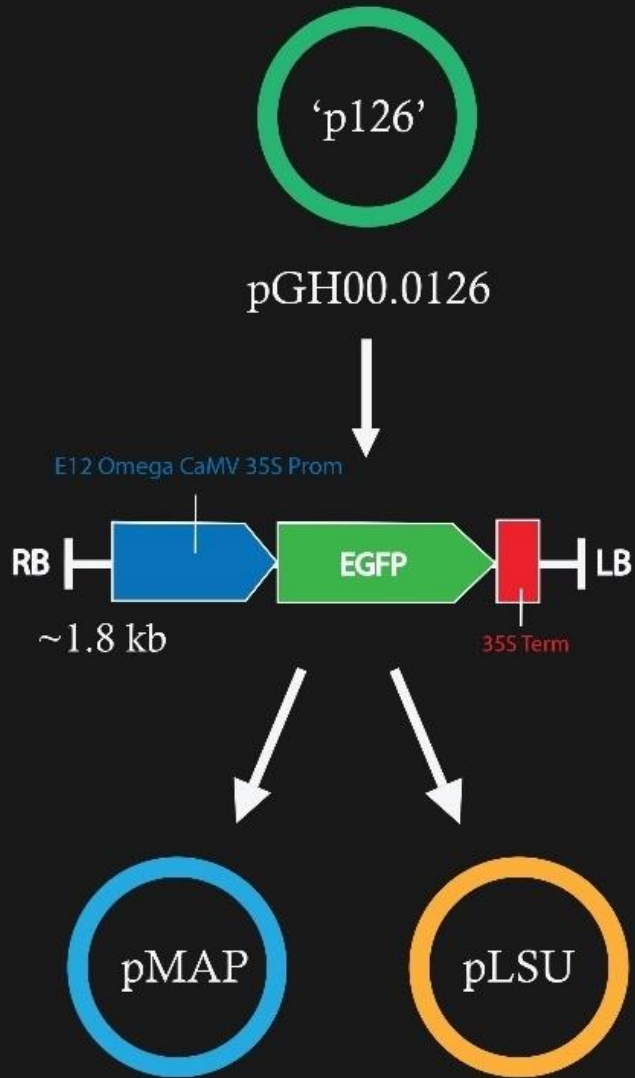
(Andreou *et al.*, 2021)

(Lee *et al.*, 2011)

Can changing vector backbone influence  
*Agrobacterium*-mediated transformation efficiency?

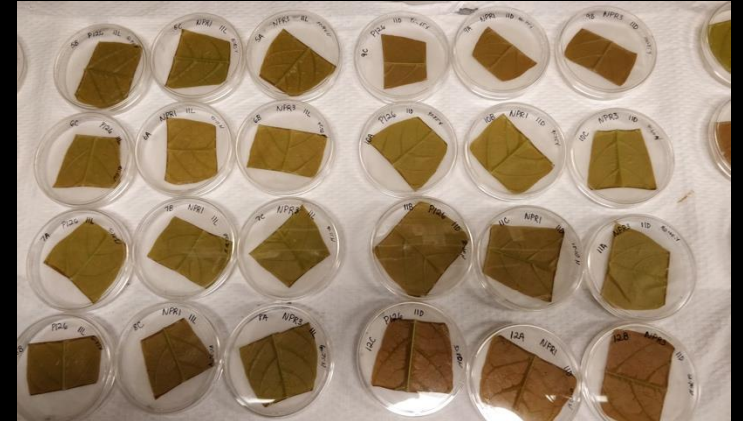
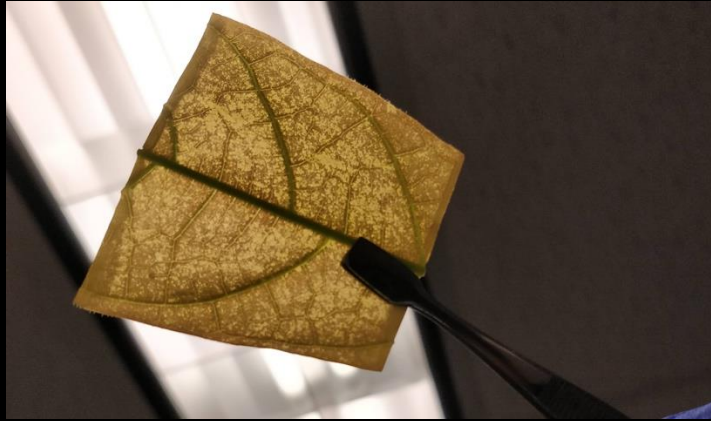
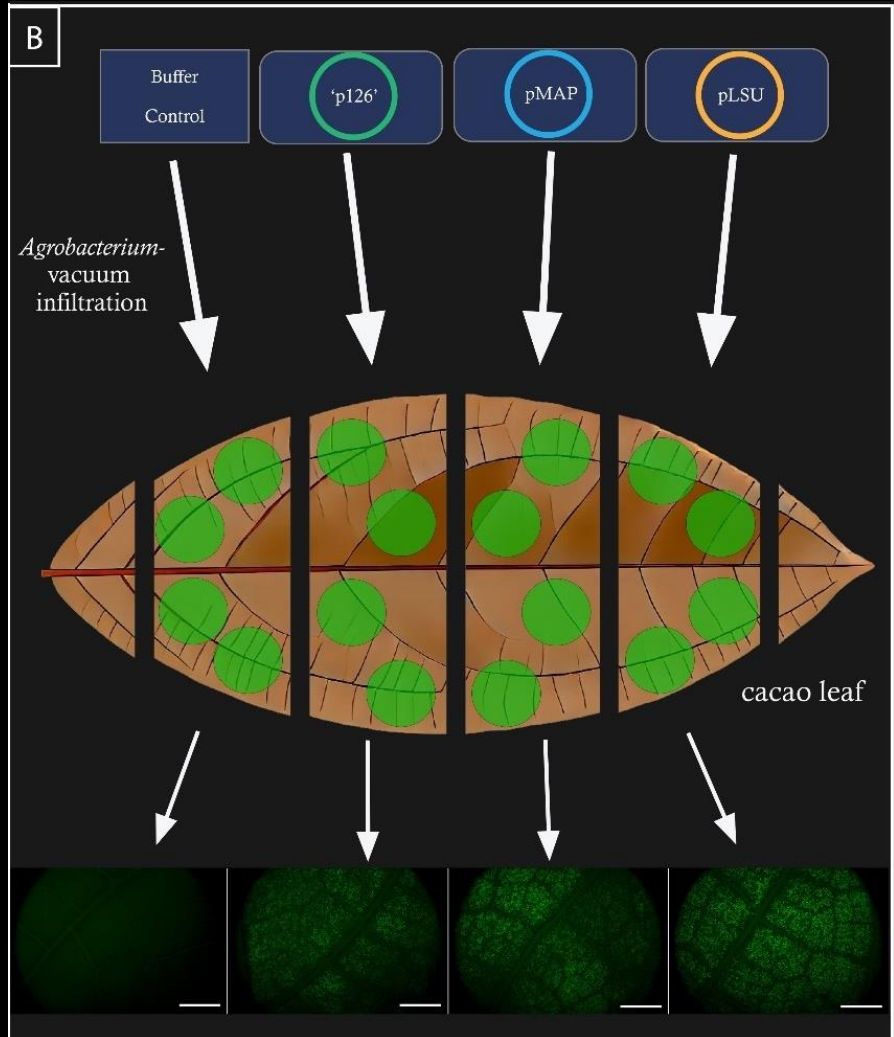


A

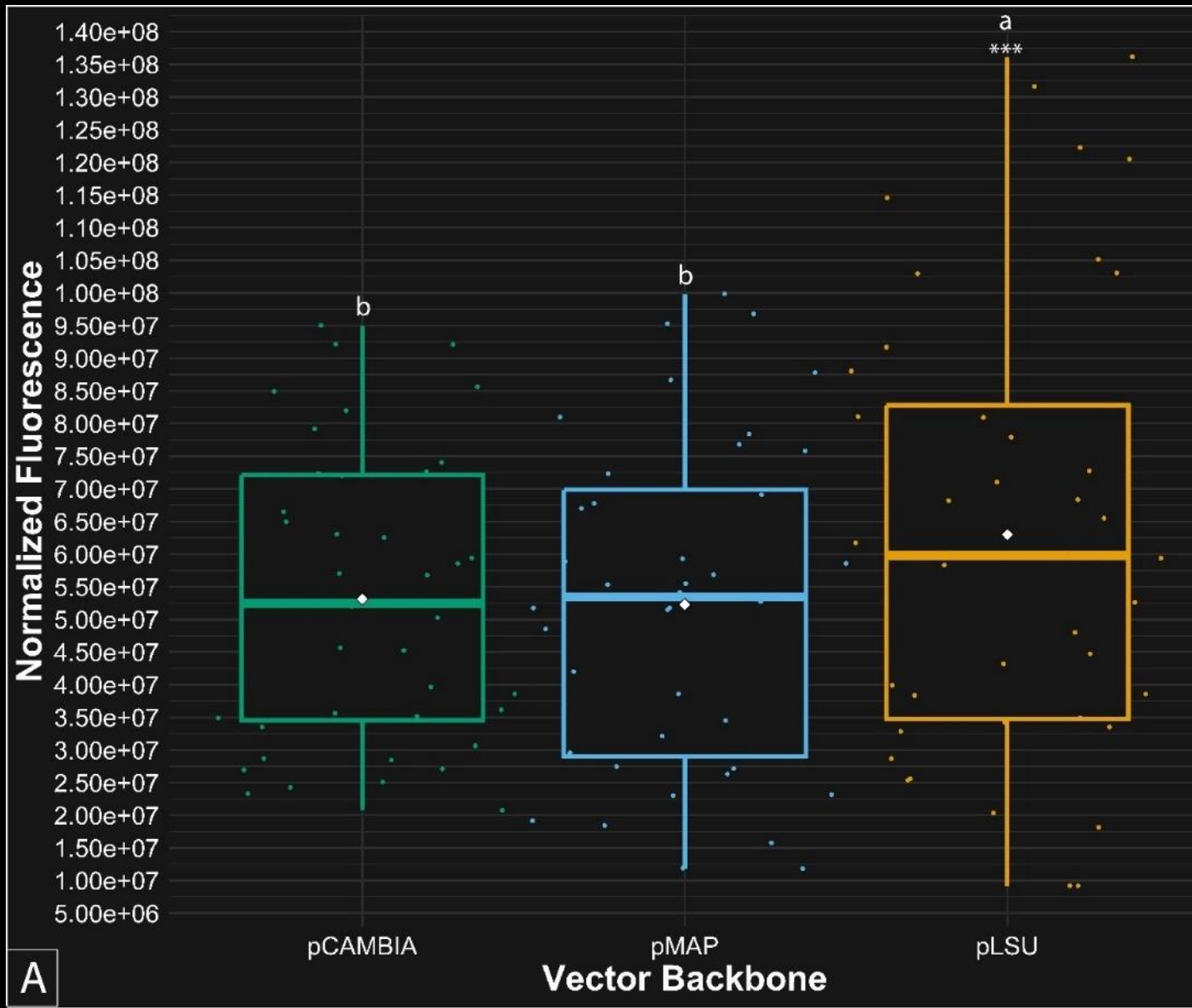


Clone the EGFP cassette from the 'p126' vector and insert it into the two empty small binary vectors

# Detached Cacao Leaf Transient Expression Assay



- Insert binary vectors with EGFP cassettes into *Agrobacterium*
- Infiltrate 11 leaf sections/vector
- Allow infection for 48 hours
- Photograph leaves under a fluorescence macro-scope
- Use ImageJ (software) to quantify fluorescence



Result:

pLSU normalized fluorescence was  
~18% higher than pCambia (p126)  
~20% higher than pMAP

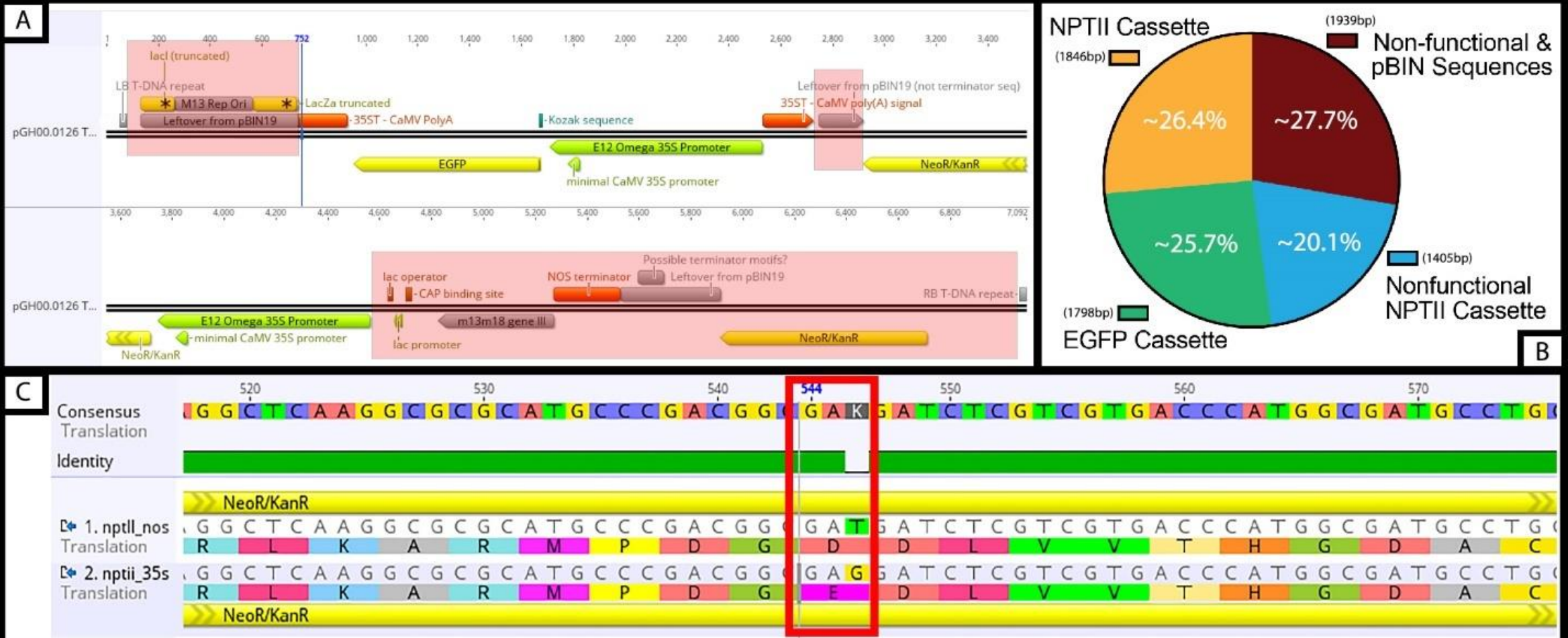
\*\*\* p-value <0.0001, one-way ANOVA

# What does normalized fluorescence measure?



- Indirect measurement of the number of EGFP protein molecules expressed in the cacao leaf
- Transient expression of T-DNA molecules
- ~18% more T-DNA molecules from *Agro*-mediated transformation of the pLSU vector entered the nucleus of cacao leaf cells and were transcribed and translated





- Analyzing p126 T-DNA Region
- 47.8% of sequences – leftover/non-functional/superfluous
  - NPTII – mutation (Yenofsky *et al.*, 1990)
  - Very few unique restriction sites
  - Redundant genetic parts (promoters, terminators)

# T-DNA region design criteria

## Required cassettes:

- Reporter gene
- Plant selectable marker
- Easy 'drop-in' multiple cloning site

## Rules:

1. No redundant genetic parts
2. No superfluous DNA
3. Every genetic component flanked by a unique restriction site
4. Restriction enzymes must be of the highest efficiency available

# 1) No redundant genetic parts

## 1) Reporter cassette: E12 35S Prom : EGFP/reporter : 35S Term

- Functions well, being tested in cacao for ~2 decades

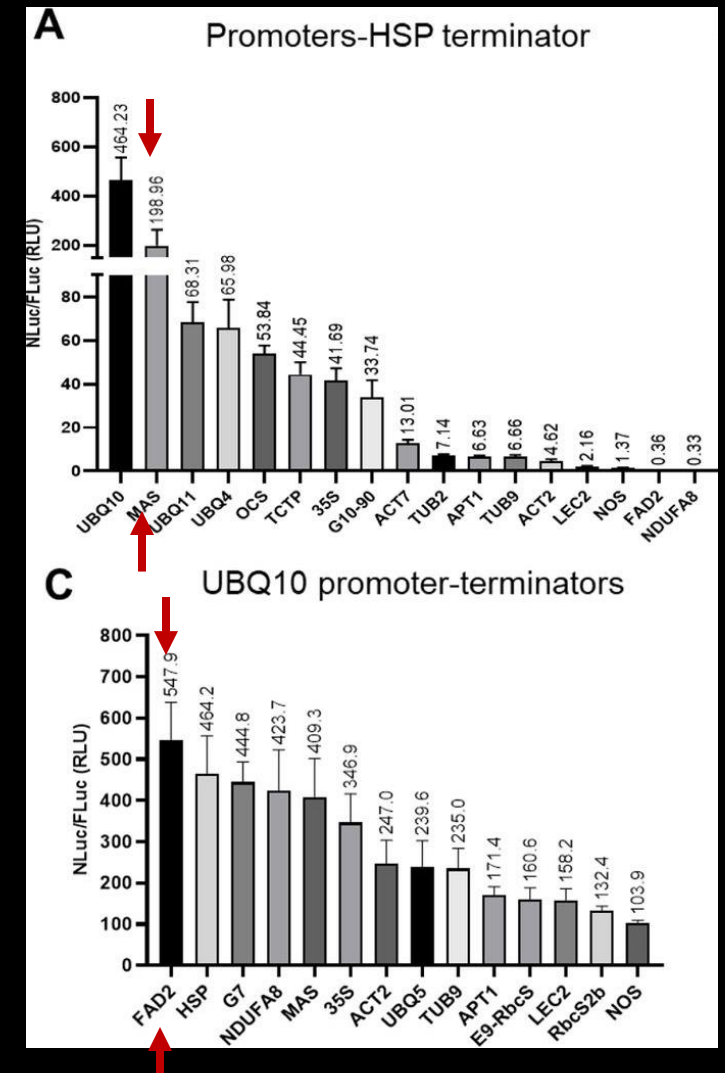
## 2) Plant selection cassette: Nos Prom : NPTII : Nos Term

- Agrobacterium promoter and terminator – used since 1985
- Tested in a wide variety of plant species

## 3) ‘Drop-in’ cassette

### MAS Prom : (Drop-in site) : AtFAD2 Term

- Promoter and terminator pair functions well in *Arabidopsis thaliana*
- Golden-gate cloning ‘drop-in’ site.



## 2) No superfluous DNA

NCBI BLAST every genetic piece & identify minimal correct sequence

**E12 Omega 35S Promoter**

**EGFP**

**CaMV 35S Terminator**

**NOS Promoter**

**NPTII (\*no mut\*)**

**NOS Terminator**

**MAS Promoter**

**GG Drop-in site**

**AtFAD2 Terminator**



3) Every genetic component flanked by a unique restriction site

4) Restriction enzymes must be of the highest efficiency available

| Enzyme   | Sequence      | Supplied NEBuffer | % Activity in NEBuffer |      |      |         | Heat Inac. | Incu. Temp. | Dilue | Dam | Dcm    | CpG    | nit substrate  | Notes   |
|----------|---------------|-------------------|------------------------|------|------|---------|------------|-------------|-------|-----|--------|--------|----------------|---------|
|          |               |                   | r1.1                   | r2.1 | r3.1 | rCutSma |            |             |       |     |        |        |                |         |
| AatII    | GACGT/C       | rCutSmart™ Buffer | <10                    | 50*  | 50   | 100     | 80°C       | 37°C        | B     | ●   | ●      | ■      | λ DNA          |         |
| Acc65I   | G/GTACC       | NEBuffer™ r3.1    | 10                     | 75*  | 100  | 25      | 65°C       | 37°C        | A     | ●   | □ scol | □ scol | pBC4 DNA       |         |
| AccI     | GT/MKAC       | rCutSmart™ Buffer | 50                     | 50   | 10   | 100     | 80°C       | 37°C        | A     | ●   | ●      | □ ol   | λ DNA          |         |
| Acil     | CCGC(-3/-1)   | rCutSmart™ Buffer | <10                    | 25   | 100  | 100     | 65°C       | 37°C        | A     | ●   | ●      | ■      | λ DNA          |         |
| AcII     | AA/CGTT       | rCutSmart™ Buffer | <10                    | <10  | <10  | 100     | No         | 37°C        | B     | ●   | ●      | ■      | λ DNA          |         |
| AcuI     | CTGAAG(16/14) | rCutSmart™ Buffer | 50                     | 100  | 50   | 100     | 65°C       | 37°C        | B     | ●   | ●      | ●      | λ DNA          | 1, b, d |
| AfeI     | AGC/GCT       | rCutSmart™ Buffer | 25                     | 100  | 25   | 100     | 65°C       | 37°C        | B     | ●   | ●      | ■      | pXba DNA       |         |
| AflII    | C/TTAAG       | rCutSmart™ Buffer | 50                     | 100  | 10   | 100     | 65°C       | 37°C        | A     | ●   | ●      | ●      | ΦX174 RF I DNA |         |
| AflIII   | A/CRYGT       | NEBuffer™ r3.1    | 10                     | 50   | 100  | 50      | 80°C       | 37°C        | B     | ●   | ●      | ●      | λ DNA          |         |
| AgeI-HF® | A/CCGGT       | rCutSmart™ Buffer | 100                    | 50   | 10   | 100     | 65°C       | 37°C        | A     | ●   | ●      | ■      | λ DNA          |         |
| AhdI     | GACNNN/NGTC   | rCutSmart™ Buffer | 25                     | 25   | 10   | 100     | 65°C       | 37°C        | A     | ●   | ●      | ◆ scol | λ DNA          | a       |
| AleI-v2  | CACNN/NGTG    | rCutSmart™ Buffer | <10                    | <10  | <10  | 100     | 65°C       | 37°C        | B     | ●   | ●      | ◆ ol   | λ DNA          |         |
| AluI     | AG/CT         | rCutSmart™ Buffer | 25                     | 100  | 50   | 100     | 80°C       | 37°C        | B     | ●   | ●      | ●      | λ DNA          | b       |
| AlwI     | GGATC(4/5)    | rCutSmart™ Buffer | 50                     | 50   | 10   | 100     | No         | 37°C        | A     | ■   | ●      | ●      | λ DNA (dam-)   | 1, b, d |
| AlwNI    | CAGNNN/CTG    | rCutSmart™ Buffer | 10                     | 100  | 50   | 100     | 80°C       | 37°C        | A     | ●   | □ ol   | ●      | λ DNA          |         |
| ApaI     | GGGCC/C       | rCutSmart™ Buffer | 25                     | 25   | <10  | 100     | 65°C       | 37°C        | A     | ●   | □ ol   | □ ol   | pXba DNA       |         |

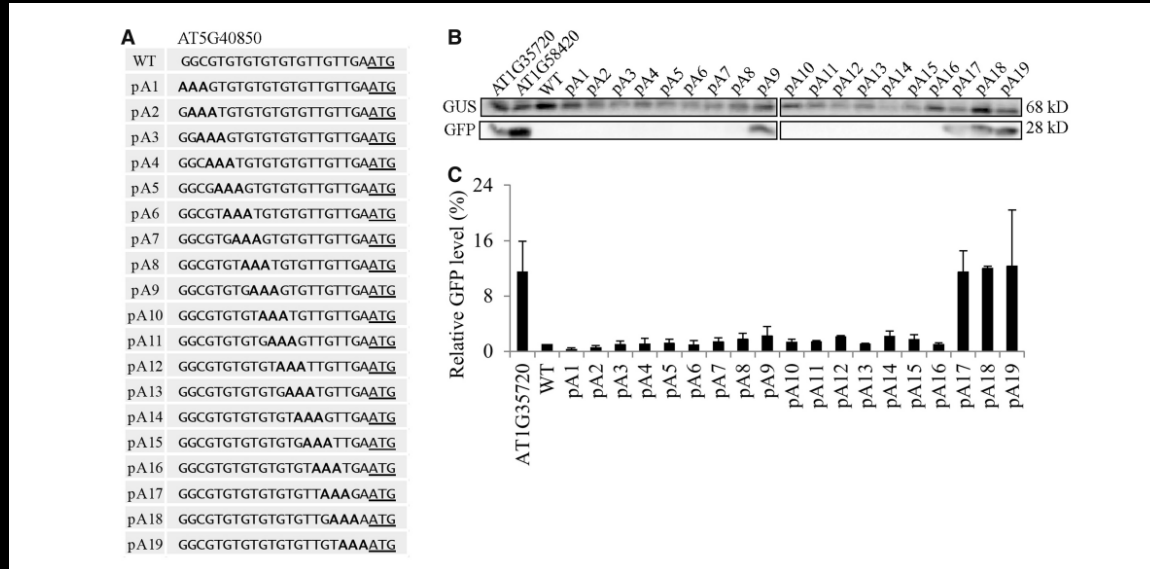
## 210 Restriction Enzymes on NEB

- Not present in 9 genetic pieces
- Activity % in rCutSmart must be 100%
- Incubation temperature at 37C
- No Methylation sensitivity
- Low - minimal star activity

| Legend |  |
|--------|--|
| ●      | Not Sensitive                                |
| ■      | Blocked                                      |
| □ ol   | Blocked by Overlapping                       |
| □ scol | Blocked by Some Combinations of Overlapping  |
| ◆      | Impaired                                     |
| ◆ ol   | Impaired by Overlapping                      |
| ◆ scol | Impaired by Some Combinations of Overlapping |

# The immediate upstream region of the 5'-UTR from the AUG start codon has a pronounced effect on the translational efficiency in *Arabidopsis thaliana*

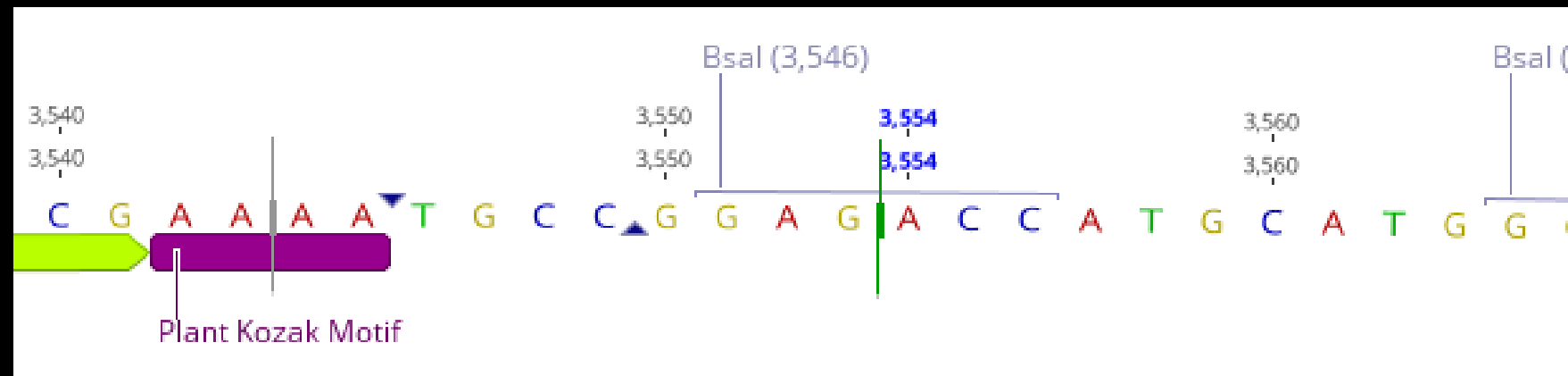
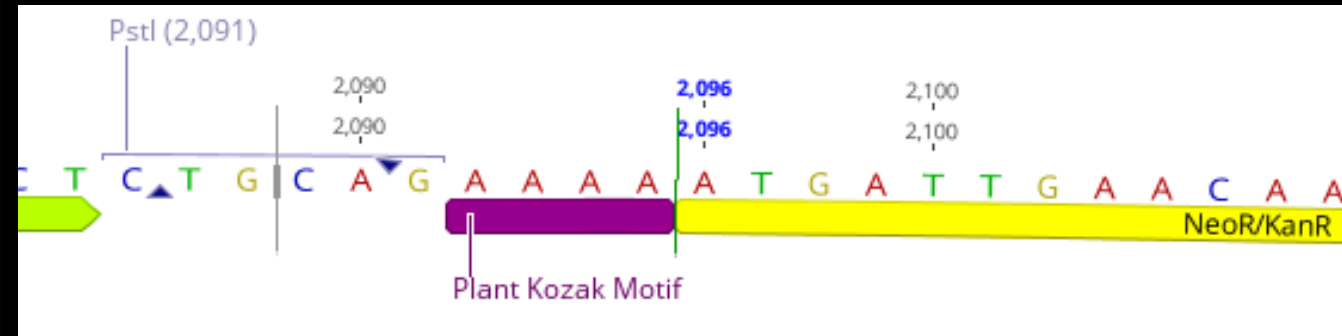
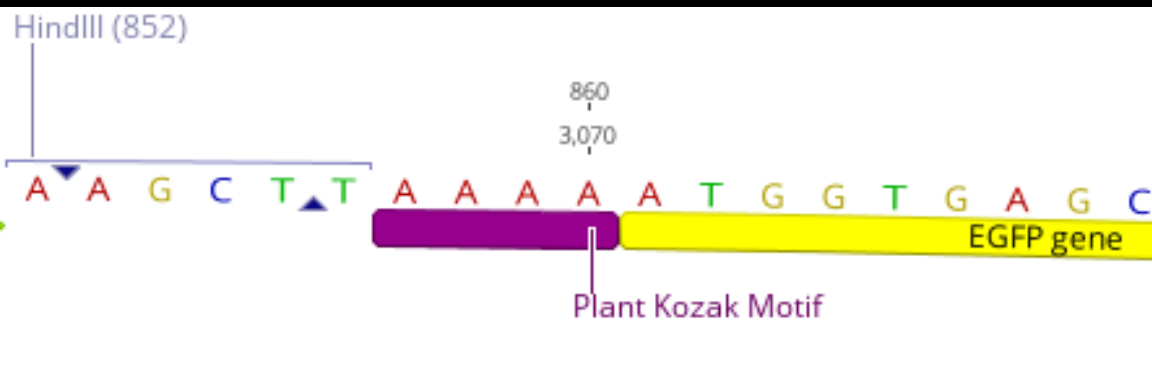
Younghyun Kim<sup>1</sup>, Goeun Lee<sup>2</sup>, Eunhyun Jeon<sup>1</sup>, Eun ju Sohn<sup>3</sup>, Yongjik Lee<sup>3</sup>, Hyangju Kang<sup>1</sup>, Dong wook Lee<sup>3</sup>, Dae Heon Kim<sup>1</sup> and Inhwan Hwang<sup>1,2,3,\*</sup>



- “AAAAAAAA(A/C)AAUGGCU for Dicots (derived from 3643 genes)”
- “AAAAAAAAAUG and GCCGCCAUG are the most and the second most over-represented patterns”
- “We then found that such mixed sequences (e.g. GAAACCAUG or ACAGACAUG) are significantly suppressed in genes ( $P < 0.01$ )”

systems (45). In fact, in plants, the A residue at positions -1 to -4 is most favourable in the translational efficiency

# Addition of efficient kozak sequences in front of each gene



**A**

### Cassette 1



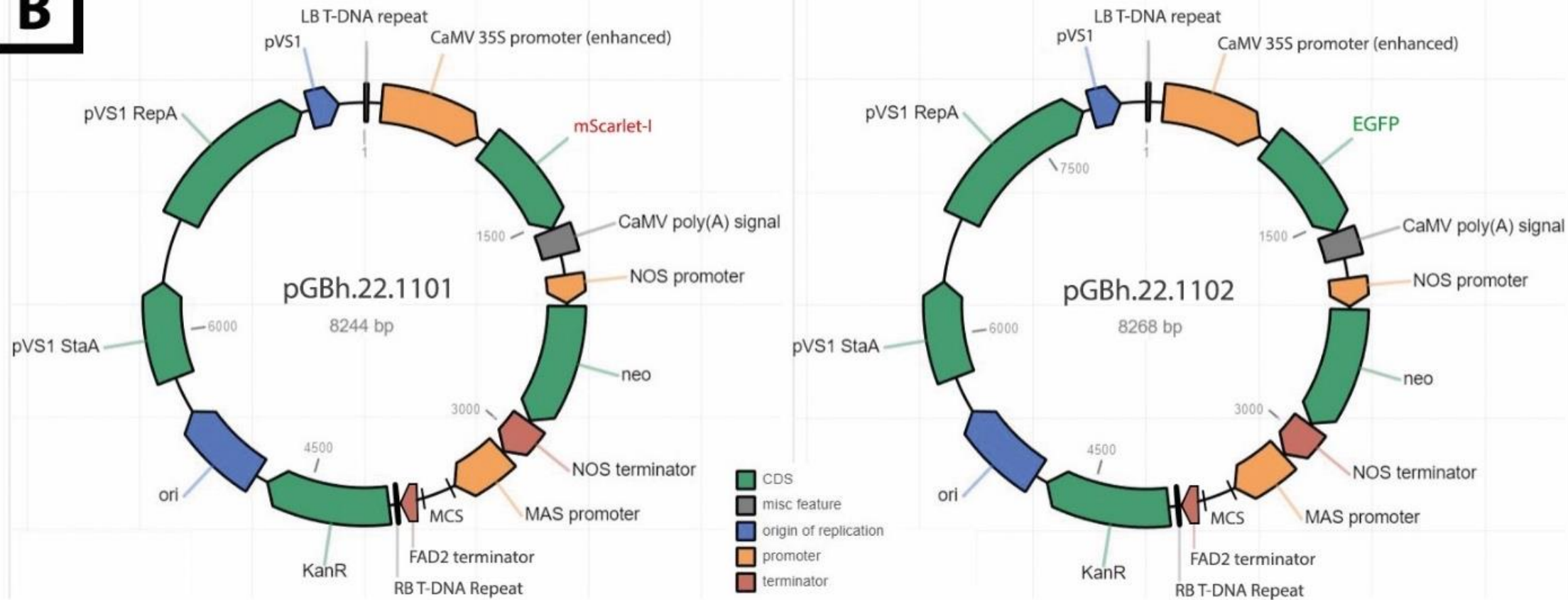
### Cassette 2



### Cassette 3





**B**

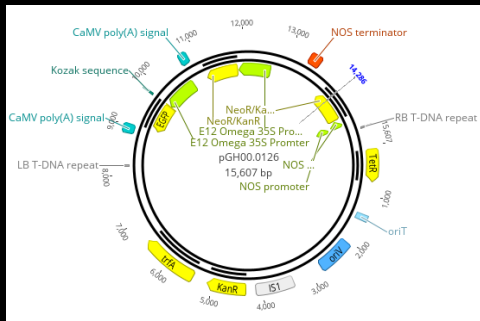
### Binary vector with:

1. No redundant genetic parts
2. No superfluous DNA
3. Every genetic component flanked by a unique restriction site
4. Restriction enzymes must be of the highest efficiency available
5. Higher translational efficiency

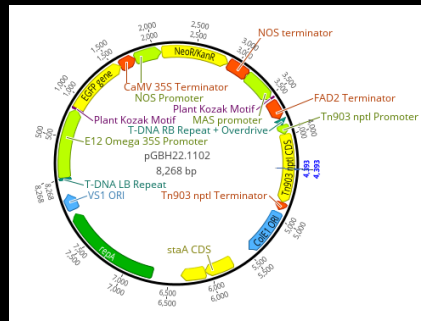
3 cassettes within T-DNA region  
Only ~8.2kbp!

Can the binary vector influence stable transformation efficiency?

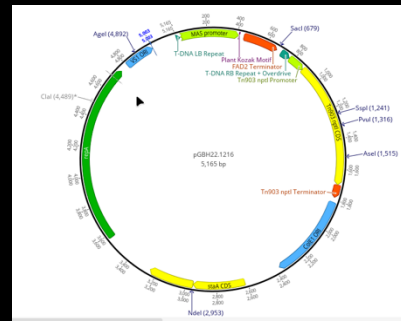
# Cacao Stable Transformation Experiments



'p126'  
EGFP



'p1102'  
EGFP



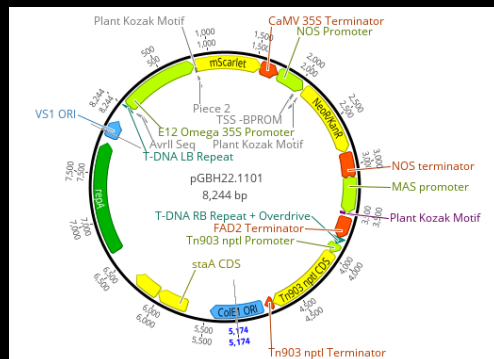
'p1216'  
Empty  
Vector



Standard transformation experiment

75 PSU Sca6 secondary somatic embryo cotyledons/vector

Cultured 24 weeks

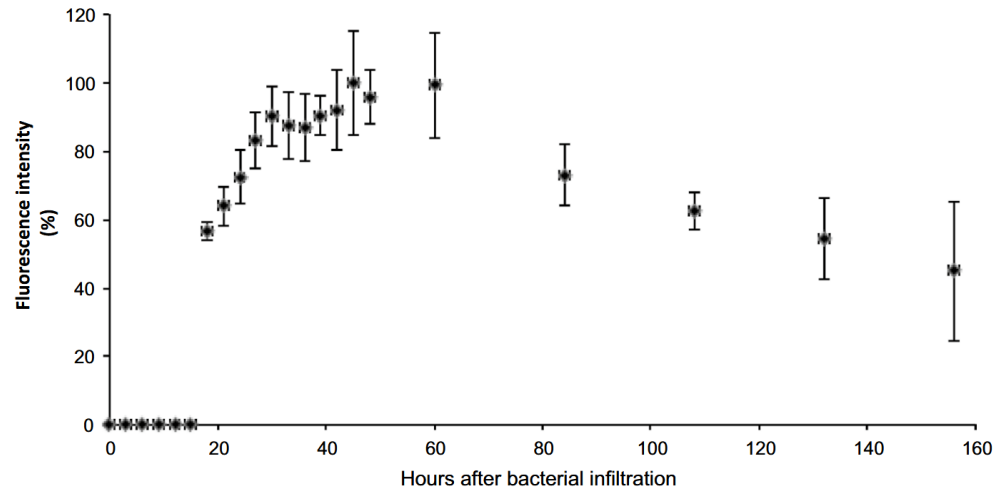


'p1101'  
mScarlet

Standard transformation experiment

~120 LEC2-GR secondary somatic embryo cotyledons

Cultured 24 weeks



**Fig. 3** Time course of EGFP fluorescence intensity after infiltration of leaf tissue with *Agrobacterium*. Fluorescence is expressed as a percentage of the intensity measured at hour 45, the peak time point. *Error bars* represent standard deviation calculated from three biological replicates

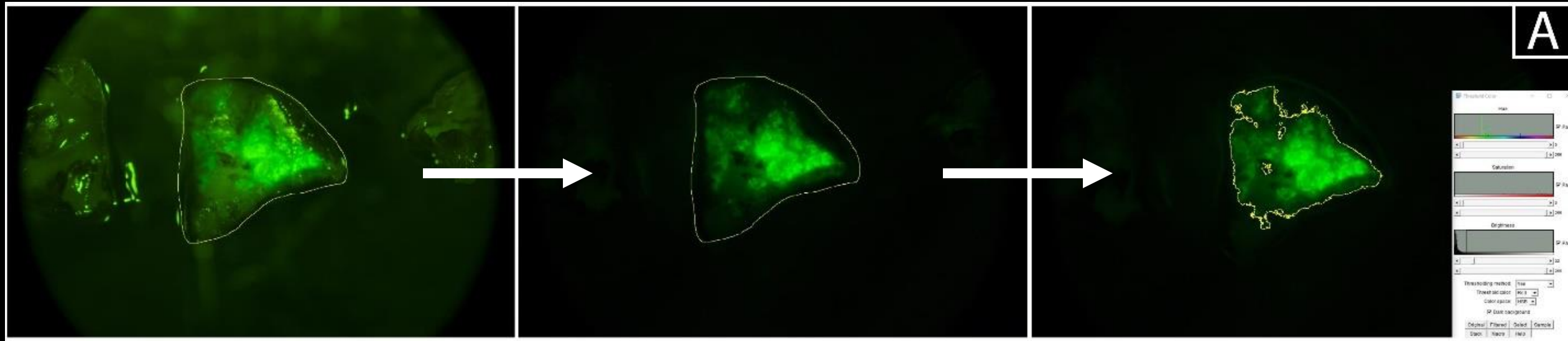
### Metrics collected:

- 1) Stable normalized fluorescence
- 2) # Recovered stable transgenic SEs

Transient expression 'spike' stabilizes after 6 days



# Tissues Imaged at 6 days ACI



Each explant manually traced

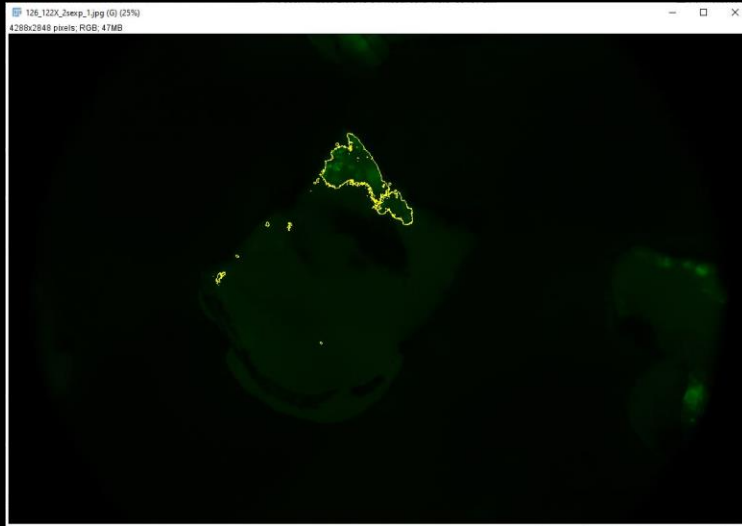
Explant Area

Fluorescence Area

Measurements taken for transformed tissue (Area ,IntDen,etc.)

Threshold value set by p1216 EV Control  
Method = YEN, Brightness minimum = #32

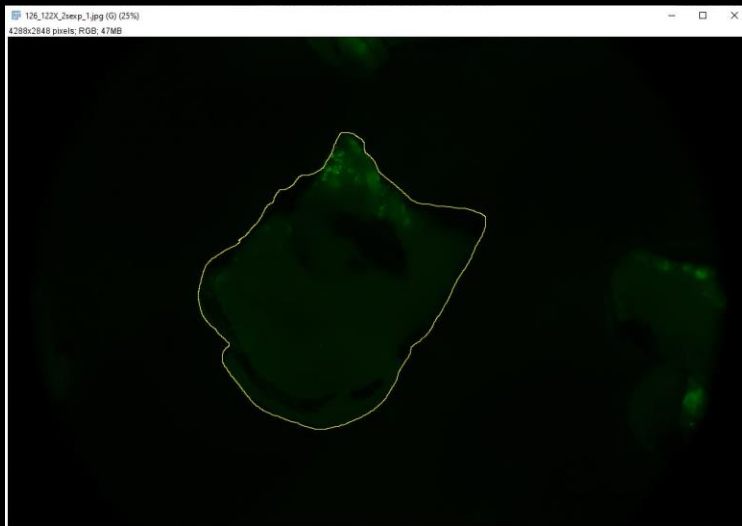
# What % area of explants was transformed?



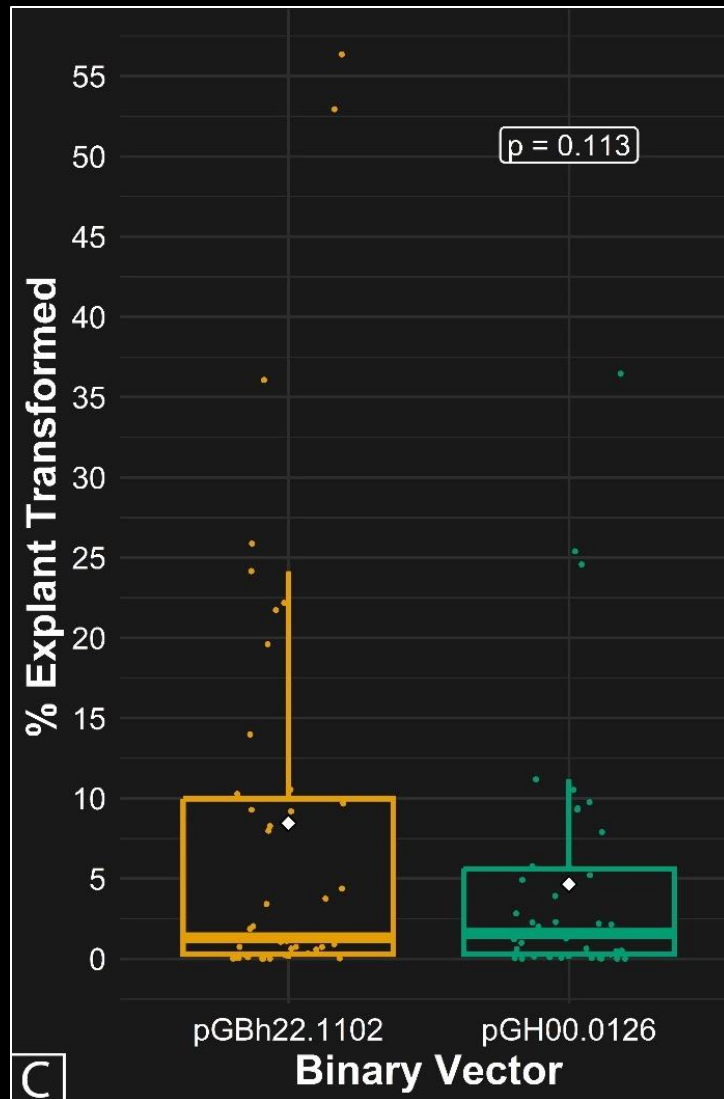
Area of tissue transformed

x 100

Total Area of Explant



# % Area of Explants Transformed



Average Area of Explant Transformed:  
p1102 ~6.99% of explant transformed  
p126 ~3.35% of explant transformed

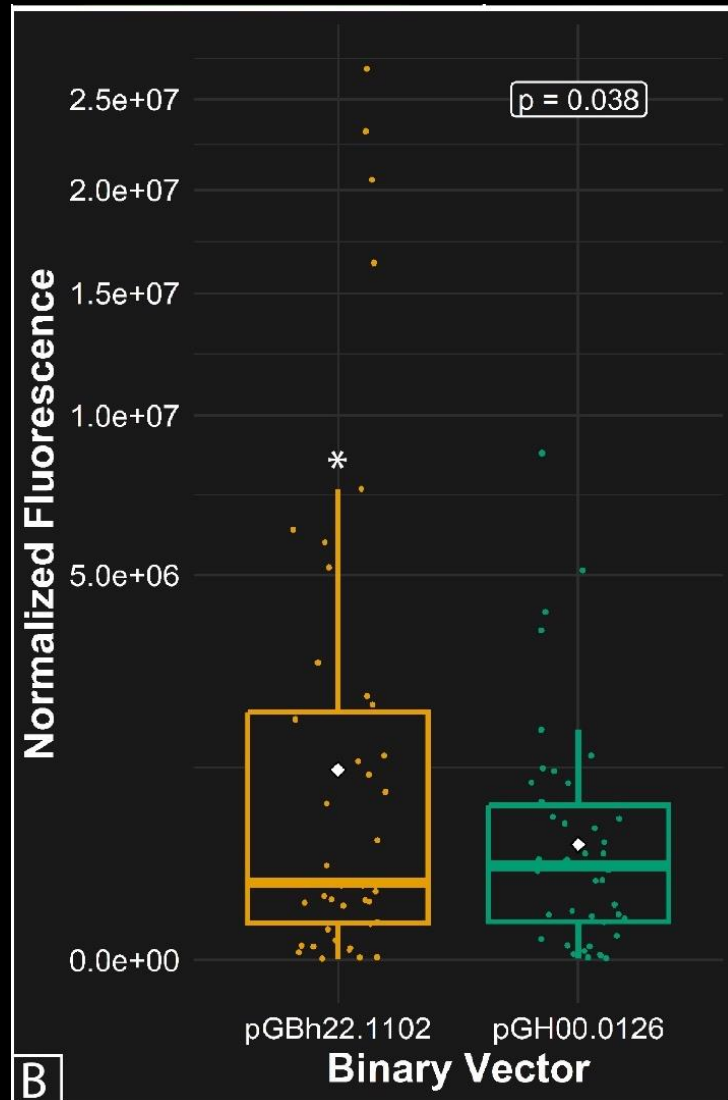
ANOVA,  $\alpha = 0.05$

**Accept null hypothesis** - p1102 and p126

The two means not significantly different

There is no significant difference in the area of tissues transformed due to binary vector

# Stable Normalized Fluorescence



ANOVA,  $\alpha = 0.05$

Reject null hypothesis - p1102 and p126

The two means are significantly different

p1102 ~**3.49-fold** greater fluorescence compared to p126

More EGFP molecules are being translated from p1102-transformed cells

- More cells are being transformed
- More cells are integrating the T-DNA
- More T-DNA copies are being integrated
- Higher translational efficiency

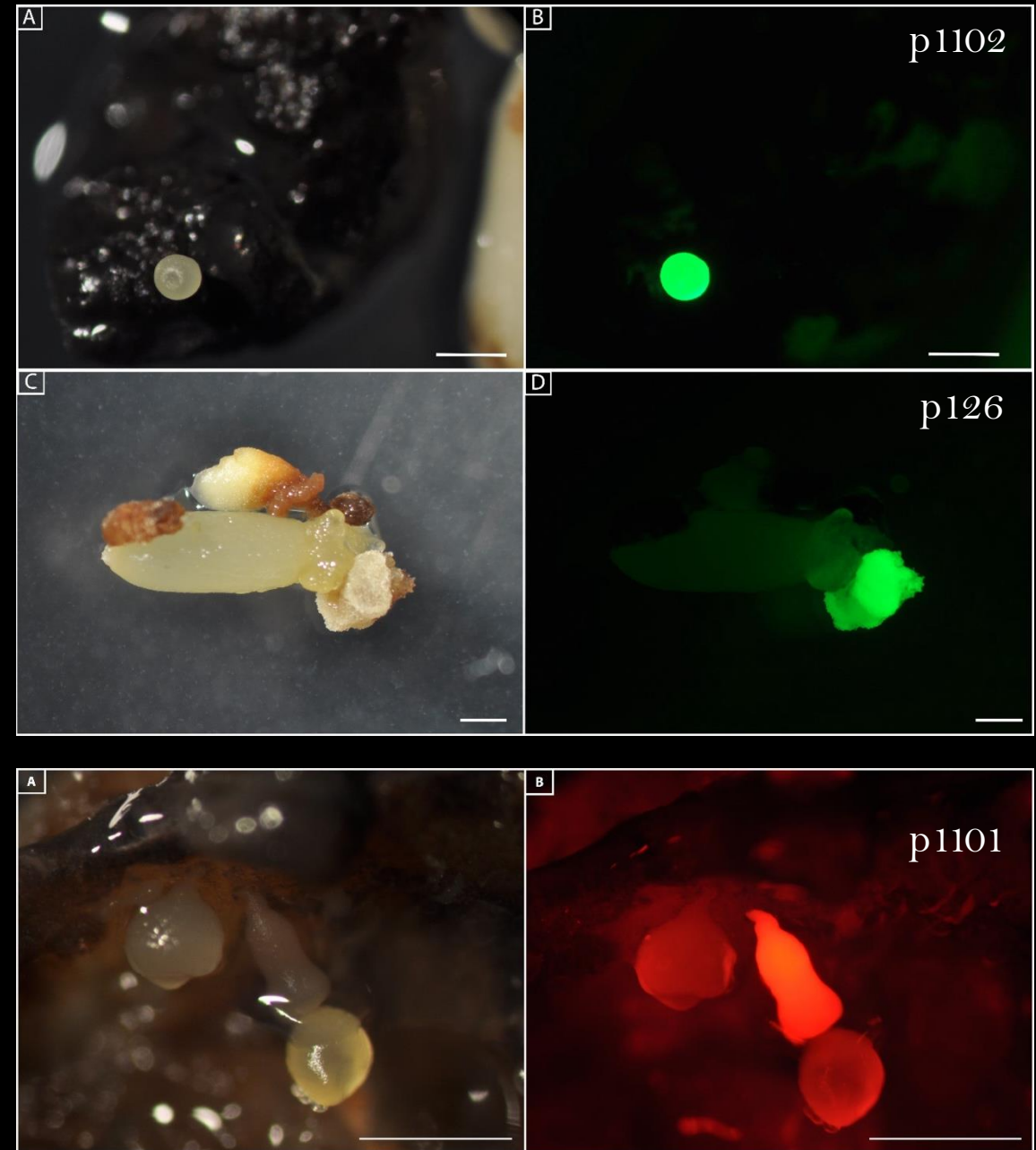
Does increased stable transformation increase the # of stable transgenics?

No increase in the efficiency % for recovering stable transgenics

p1102 ~  $1/75 = 1.3\%$

p126 ~ no transgenic SEs

p1101 ~  $1/125 = 0.08\%$



Scale bars represent 1mm



## **Discussion:**

- Binary vector backbone alone improved transient transformation efficiency by  $\sim 18\%$  compared to a pCambia based binary vector backbone
- A T-DNA region was designed and constructed to maximize ease of operability in molecular cloning and stable plant transformation work
- Stable transformation of cacao cotyledons was improved by  $\sim 3.49$ -fold using the newly designed T-DNA region within the pLSU-based vector
- Recovery of stable transgenic cacao SEs was not improved

## **Future Directions:**

- Test in additional plant species and tissue types
- Systematically determine the source of increased T-DNA transfer

Chapter 4:

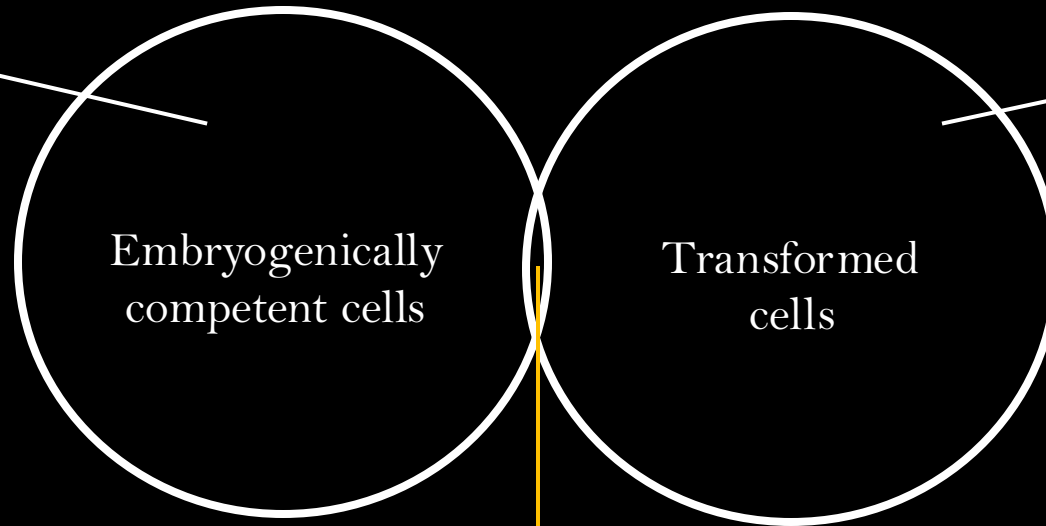
Ectopic Expression of Developmental Regulator  
Genes and Characterization of Cacao  
Transformation and Somatic Embryogenesis

Developmental Regulator Genes:

Totipotency-promoting  
Embryo-identity

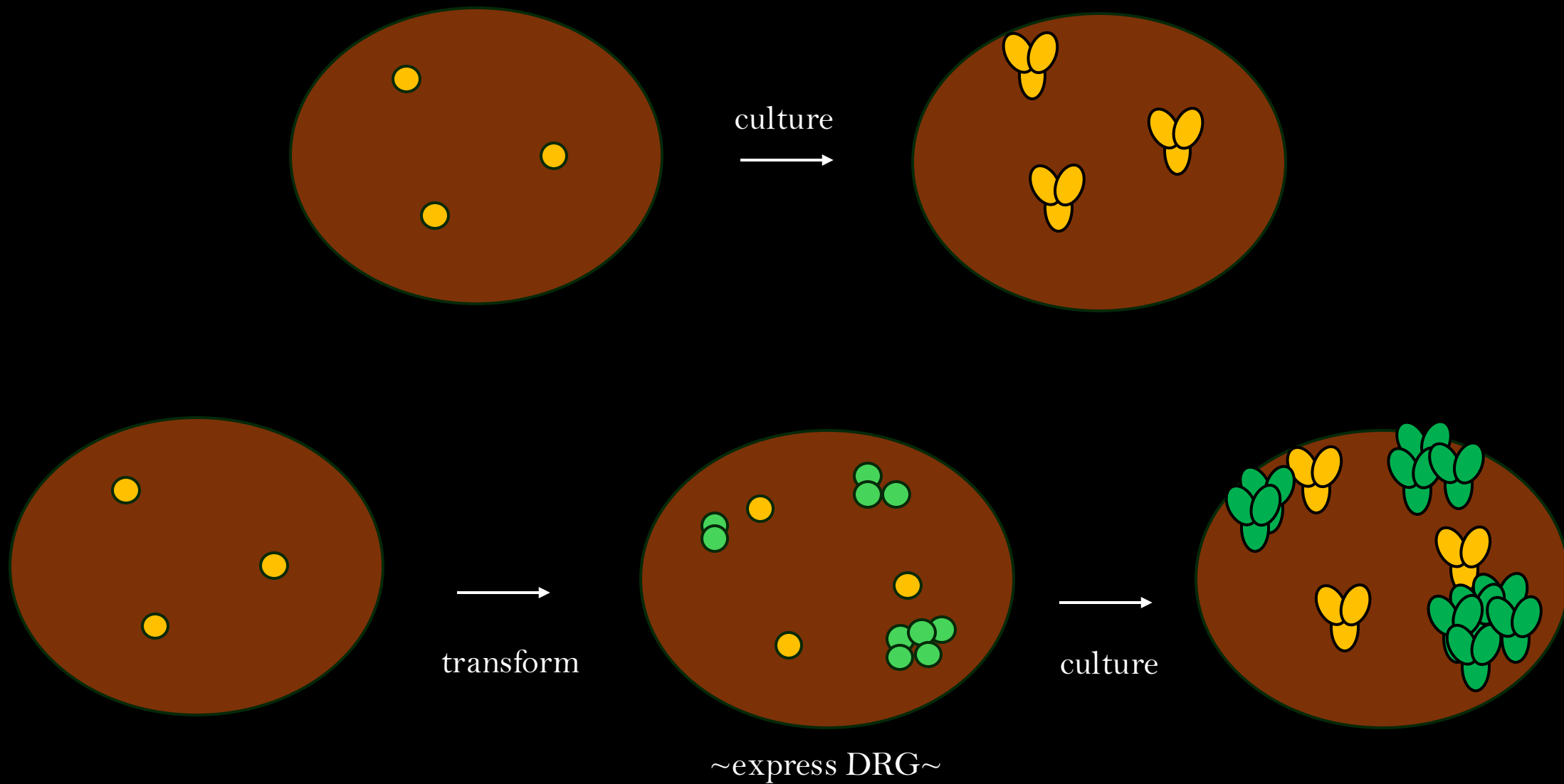
Binary Vector

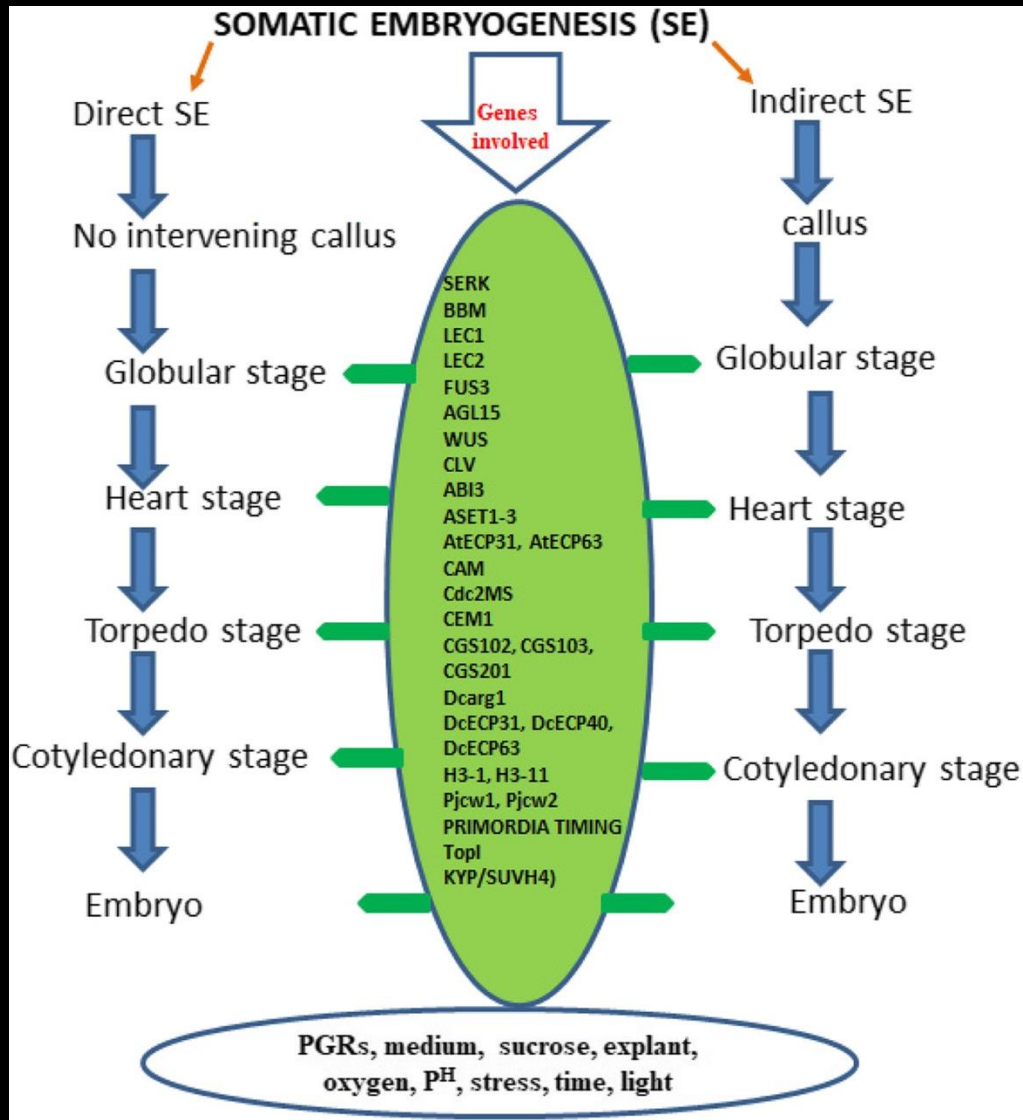
Transient transformation  
Stable transformation



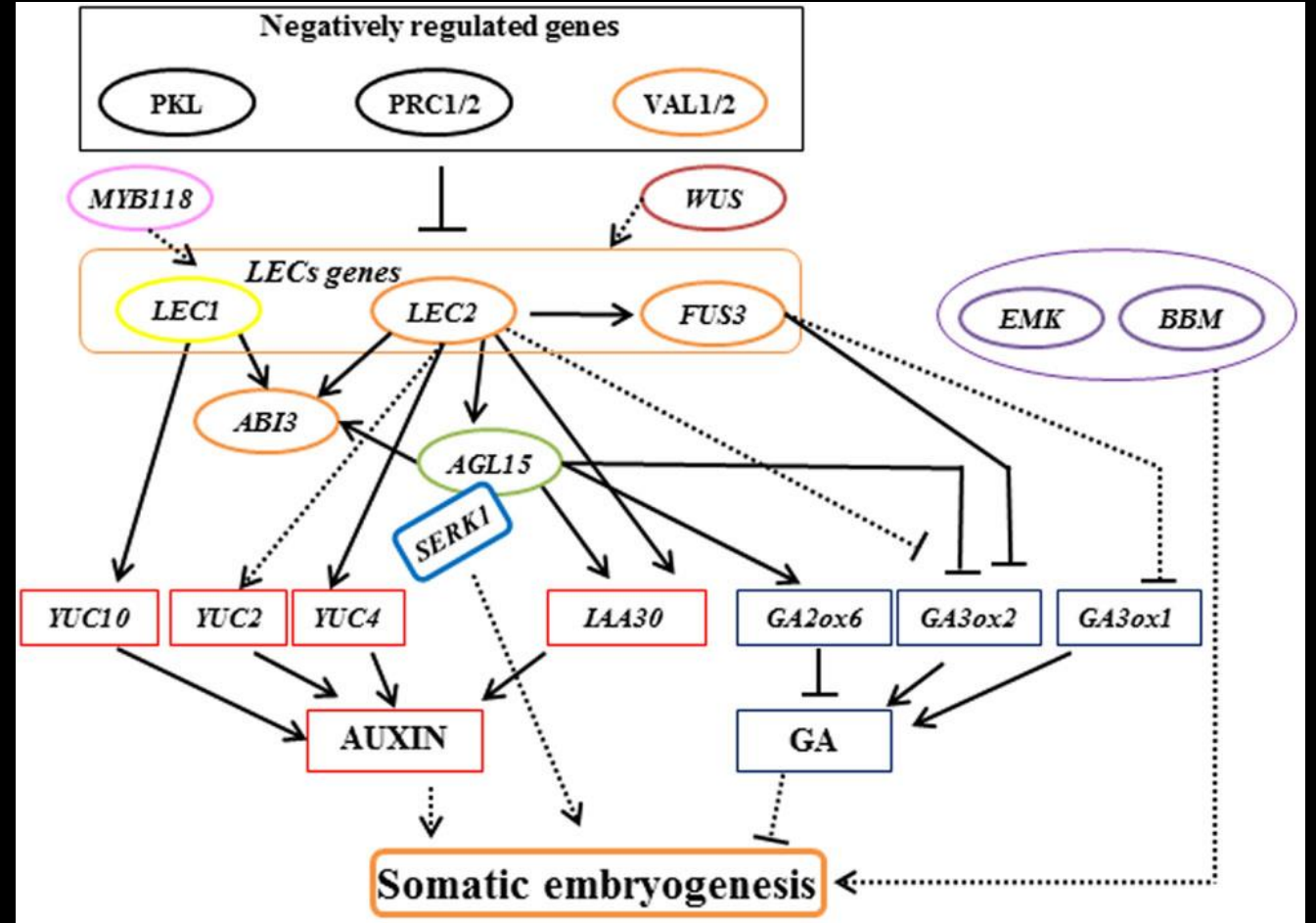
Recovery of a transgenic cacao SE

# Positive Selection Strategy





(Gulzar *et al.*, 2020)



(Guan *et al.*, 2016)

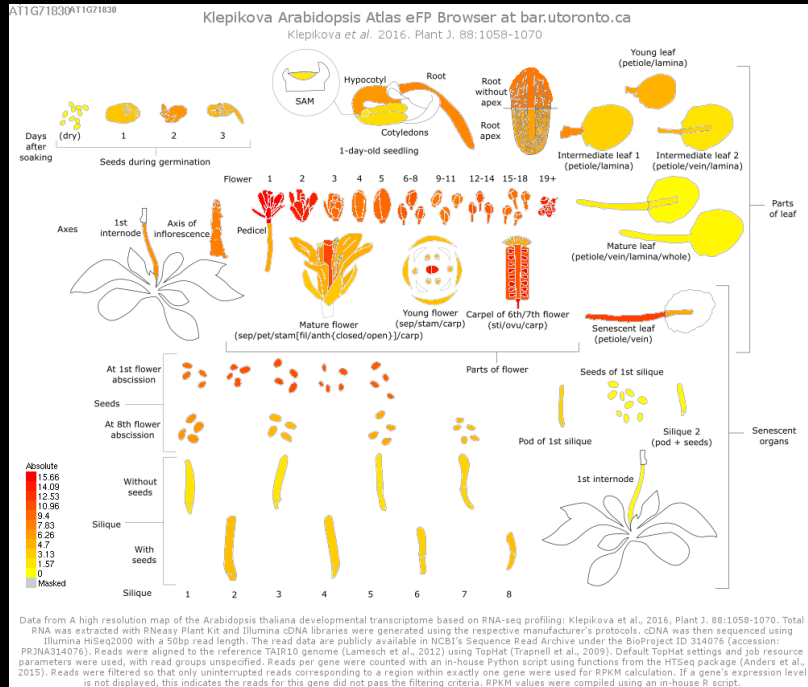
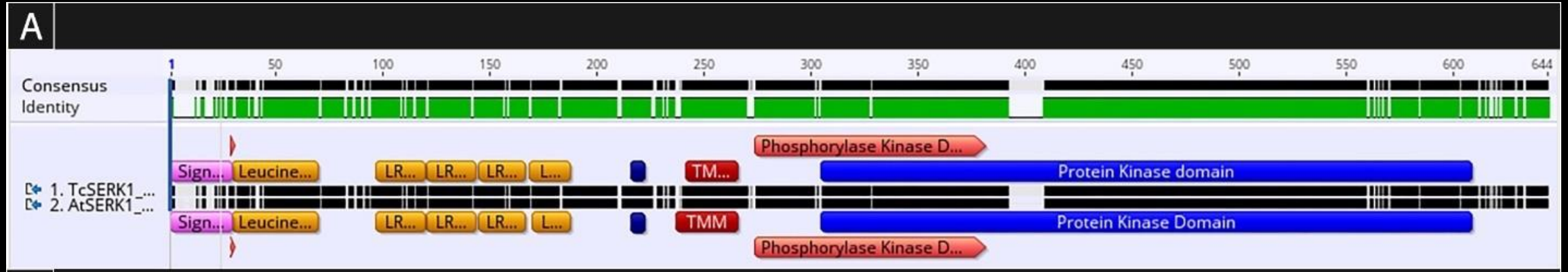


| <b>Gene Name</b>  | <b>Function</b>   | <b>Expression Profile</b>   | <b>Ectopic Expression</b>   | <b>References</b>  |
|---|---|---|---|--|
| <b>Somatic Embryogenesis Receptor-like Kinase 1 (SERK1)</b> | Auxin perception and biosynthesis induction. Expression of downstream SE-related homeobox genes.              | Strongly in globular somatic embryos of cacao and embryogenic clusters. Globular zygotic embryos.<br>Apical meristem. Floral tissues. | Embryonic tissue formation from non-embryonic cells. 3-to-4-fold increase in SE forming calli in <i>A. thaliana</i> . | (Hecht, Vielle-Calzada, Hartog, Ed D. L. Schmidt, et al., 2001; de Oliveira Santos et al., 2005; Hu, Xiong and Yang, 2005; Garcia et al., 2019b, 2019a)  |
| <b>Plethora 5 / Aintegumenta 5/ Embryomaker (PLT5)</b>      | Confers embryonic identity to cells. Suppression of cell differentiation. Maintenance of stem cells in roots. | Strongly in developing embryos. Root apical meristem. During wounding and callus formation.   | Increased embryogenic calli formation by 3 -to 4-fold. SE regeneration on <i>A. thaliana</i> cotyledons.              | (Tsuwamoto, Yokoi and Takahata, 2010; Ikeuchi et al., 2017; Radhakrishnan et al., 2020; Kerstens et al., 2022; Lian et al., 2022; Luo et al., 2023)      |
| <b>Wound Inducing Dedifferentiation 1 (WIND1)</b>           | Cell reprogramming towards pluri/toti-potency. Promotes cytokinin signaling and shoot formation.              | Expressed throughout vegetative tissues. Induced strongly at wound sites especially in margins in de-differentiating cells.           | Hormone-free callus growth and somatic embryo regeneration.<br>Shoot formation.                                       | (Iwase, Ohme-Takagi and Sugimoto, 2011, p. 201; Iwase et al., 2015b, 2021; Lup et al., 2016)   |
| <b>Wuschel (WUS)</b>  | Promotes stem cell proliferation. Activator in floral patterning. Activates cytokinin signaling.              | Small number of cells in the lower part of the central zone of the shoot and floral apical meristem.                                  | Increased SEs regenerated from embryogenic calli. Hormone-free induction of SEs in multiple species.                  | (Klaus F. X. Mayer et al., 1998; Zuo et al., 2002b; Rashid, Yamaji and Kyo, 2007; Arroyo-Herrera et al., 2008; Bouchabké-Coussa et al., 2013)            |
| <b>Wuschel-related homeobox 9 (WOX9)</b>                    | Establish embryo apical-basal polarity. Establish meristematic tissue. Promote cell proliferation.            | Early SE in pro-embryogenic structures. Two-cell stage of zygotic embryogenesis. Dividing cells. Basal region of meristems.           | Stimulates development of SEs. Callus formation.<br>Stimulates regeneration.  | (Haecker et al., 2004; van der Graaff, Laux and Stefan A Rensing, 2009; Fambrini, Usai and Pugliesi, 2022; Krasnoperova et al., 2023; Long et al., 2023) |

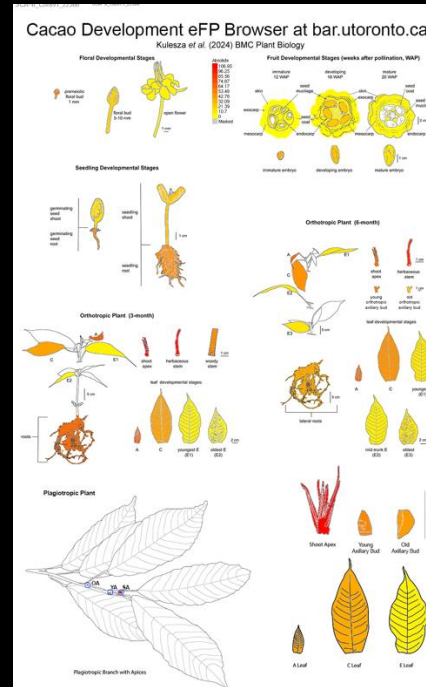
# Cacao ortholog identification

- Alignments to functionally identified gene from *Arabidopsis thaliana*
- Phylogenetic tree
- Gene expression profile from *Arabidopsis* and cacao atlases

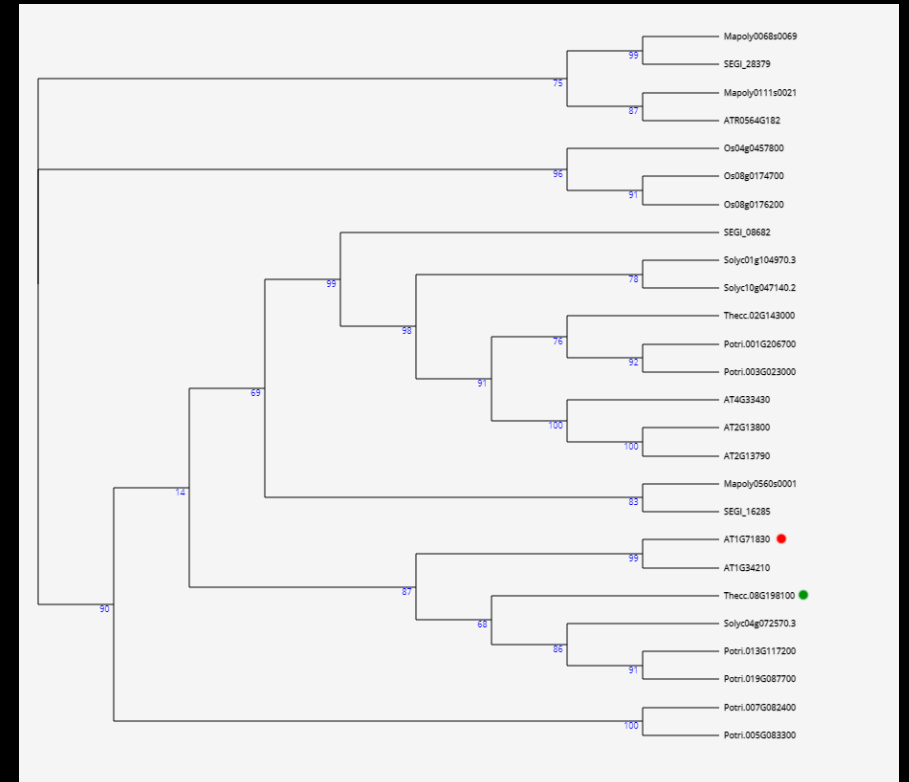
# TcSERK1



(Klepikova et al., 2016)

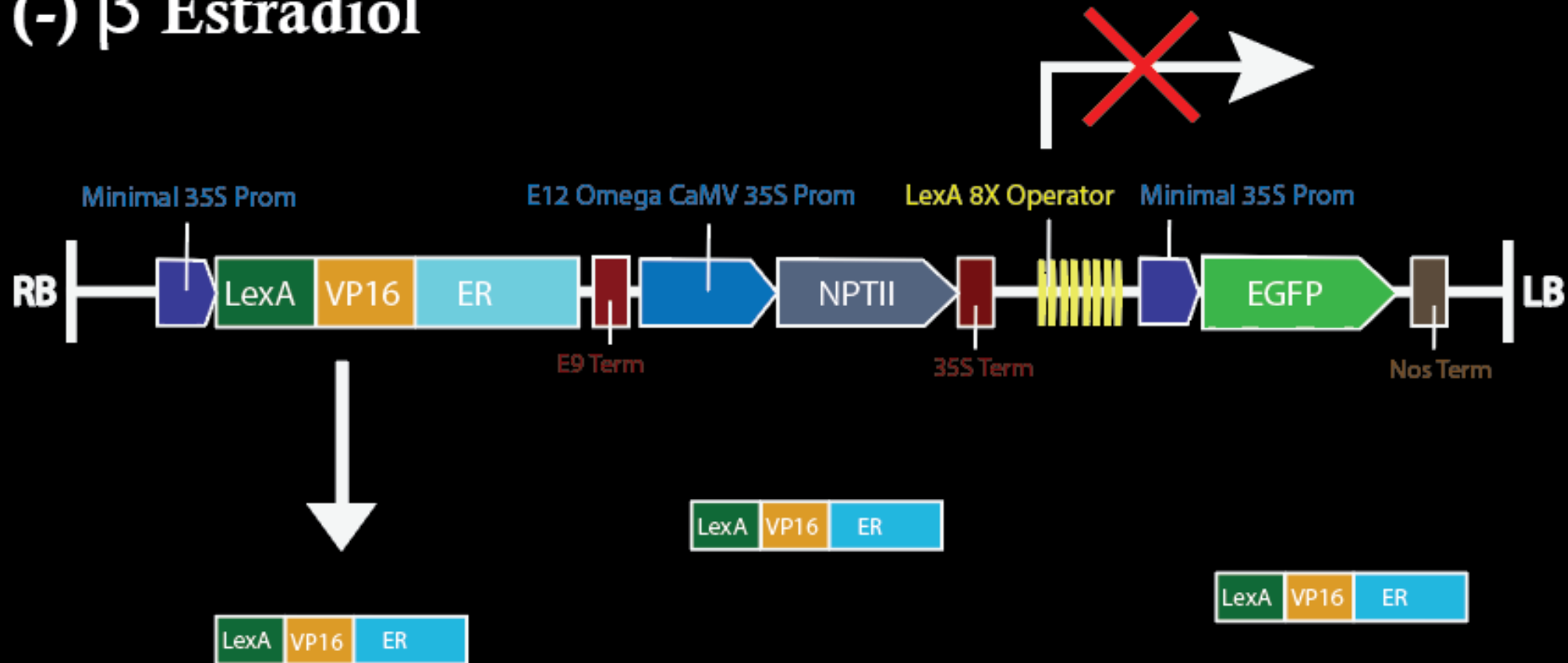


(Kulesza et al., 2024)



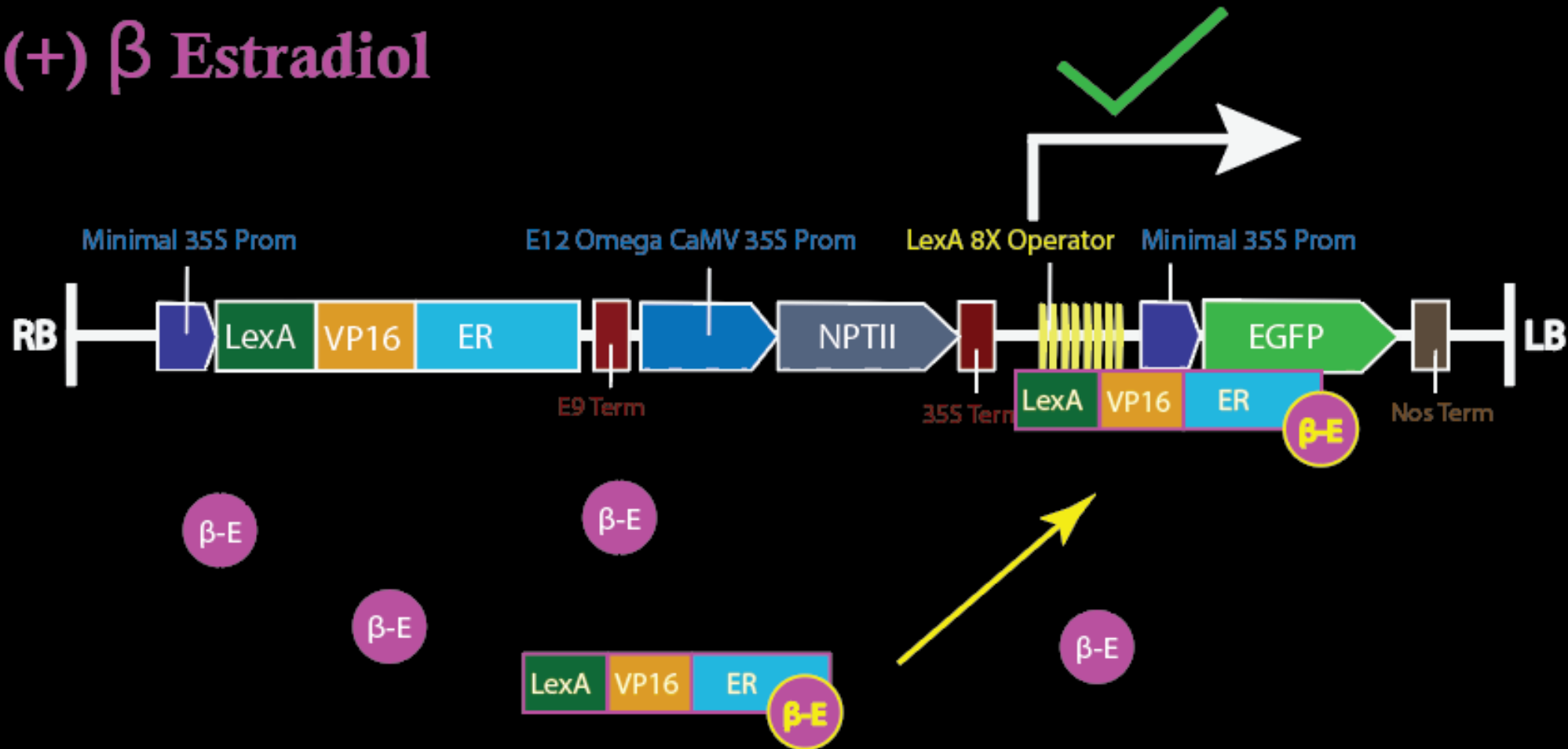
# XVE $\beta$ -Estradiol Inducible System

(-)  $\beta$  Estradiol



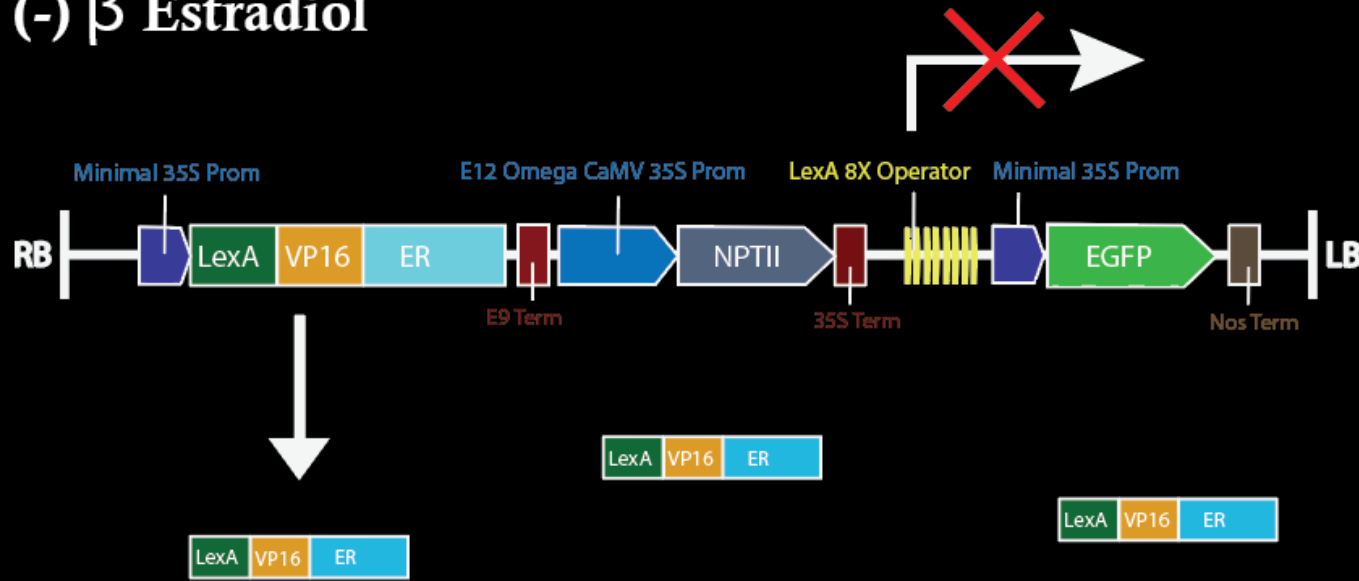
# XVE $\beta$ -Estradiol Inducible System

(+)  $\beta$  Estradiol



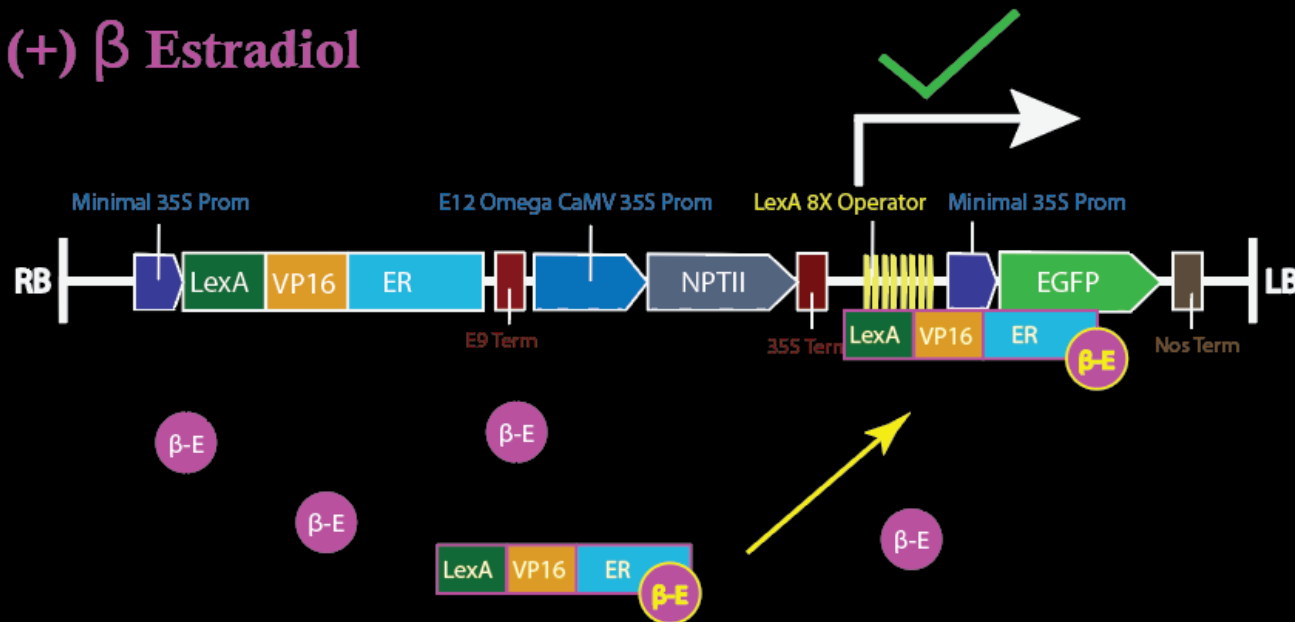


# (-) $\beta$ Estradiol



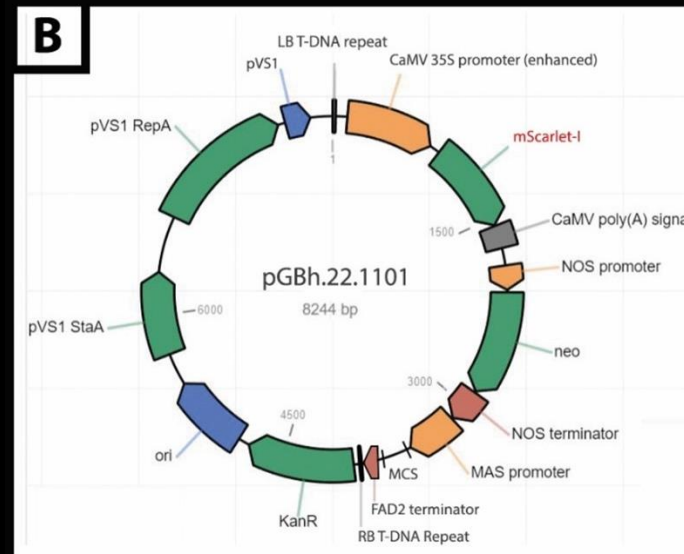
No  $\beta$ -Estradiol  
=  
no expression

# (+) $\beta$ Estradiol



Yes  $\beta$ -Estradiol  
=  
gene expressed

# XVE System Inserted into new vector



‘pXVE-EGFP’

EGFP under the control of  
XVE-inducible system

# Functionally testing the XVE-system: Tobacco *Agro*-mediated Transient Expression Assay

$\beta$ -Estradiol concentrations: (0 $\mu$ M, 5 $\mu$ M, 10 $\mu$ M, 50 $\mu$ M, 100 $\mu$ M)

Vectors Tested:

pXVE-EGFP, p1102 (constitutive positive control), p1216 (empty vector control)



Infiltrated leaf

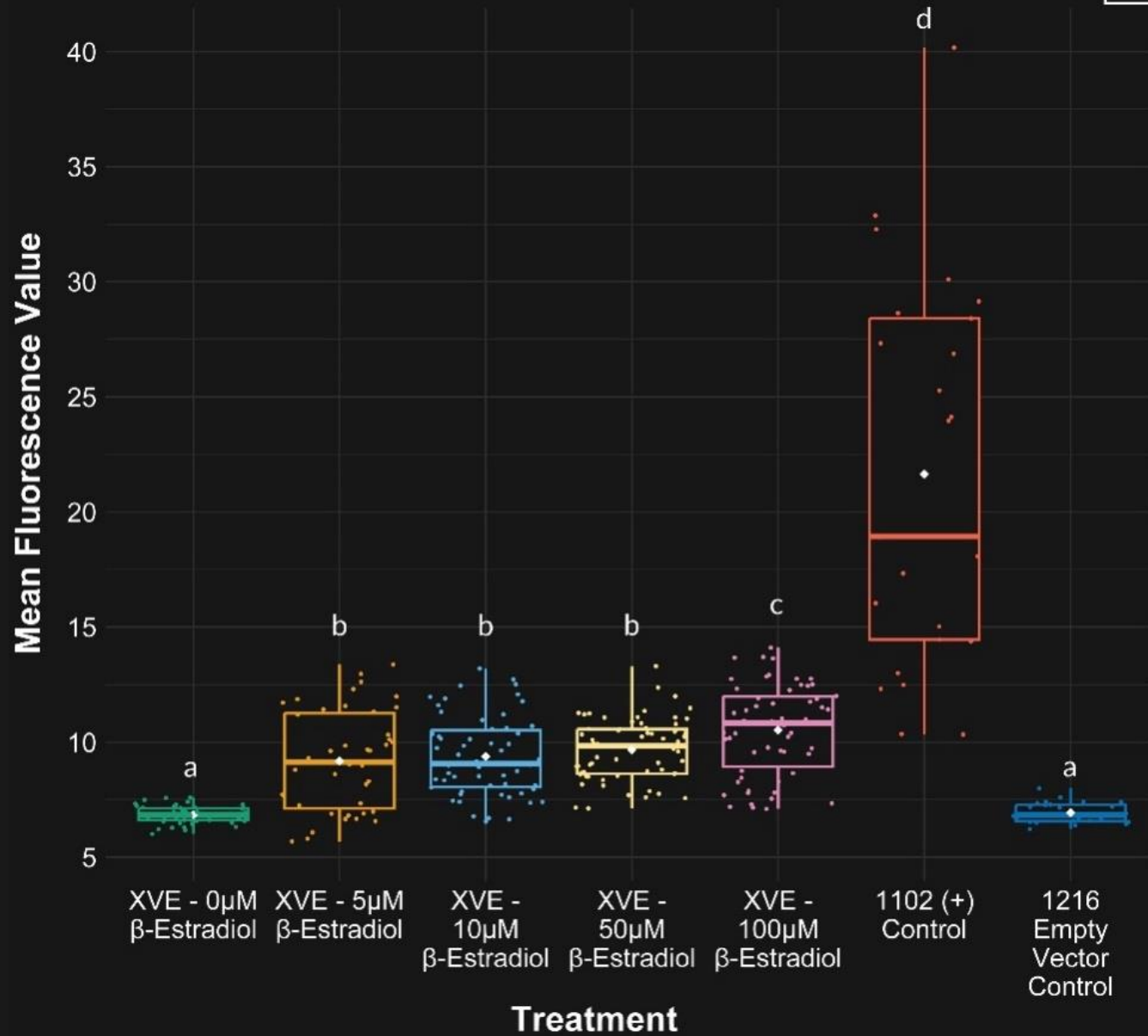


3 plants infiltrated / treatment



Imaging after 72 hours

B



Expression saturates at  $\sim 5\mu\text{M}$

Mild increase in mean fluorescence at  $100\mu\text{M}$

$0\mu\text{M}$  ~ p1216 empty vector  
'Non-Leaky'

Expression saturates at  $\sim 5\mu\text{M}$

# Cacao Secondary SE Cotyledon Transformation Experiment

## Vector being transformed:

Five XVE-DRG Constructs

-7 replicate plates (10 expl/plate)

p1101 (control vector)

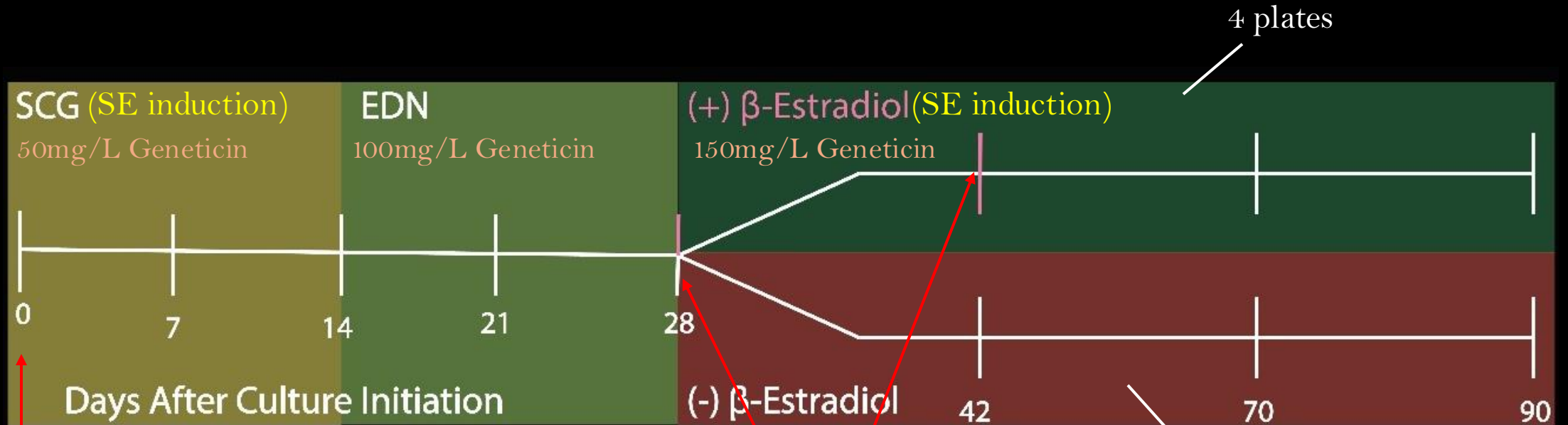
-6 replicate plates (10 expl/plate)

p1216 (fluorescence normalization)

- 3 replicate plates (10 expl/plate)



# Timeline:



Transformation  
of constructs

2-week  $\beta$ -Estradiol  
induction

# Measurements Taken

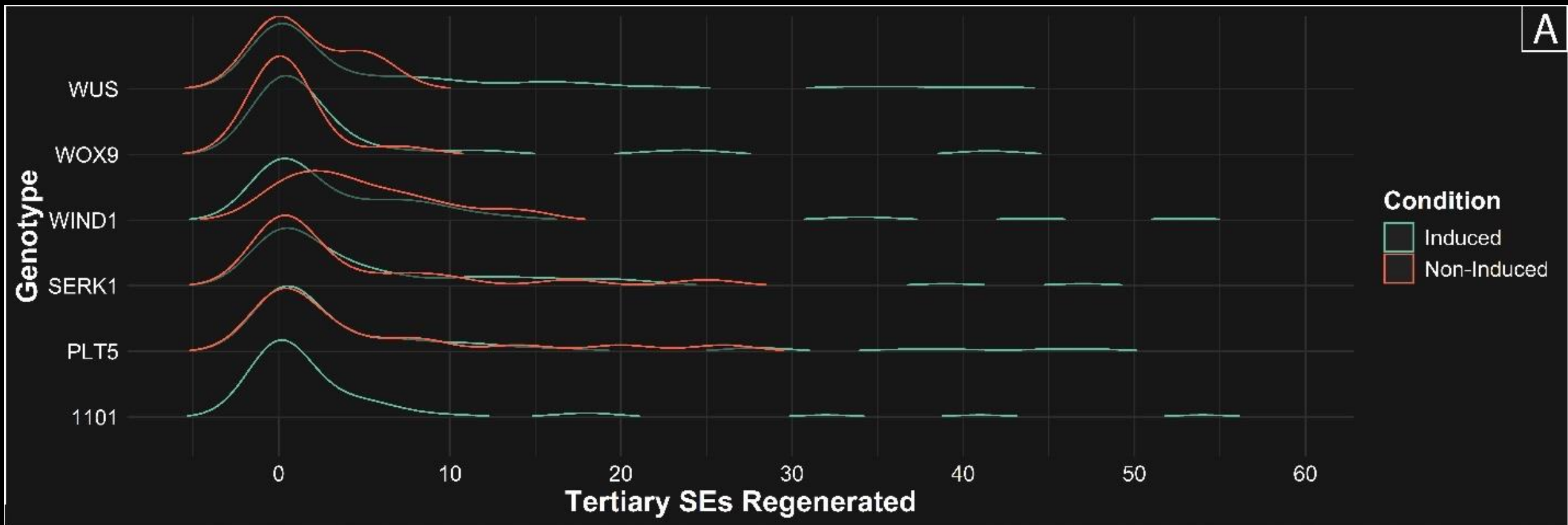
- Brightfield and fluorescence image taken of every explant (~400)
- 7 timepoints over 3 months

## ImageJ:

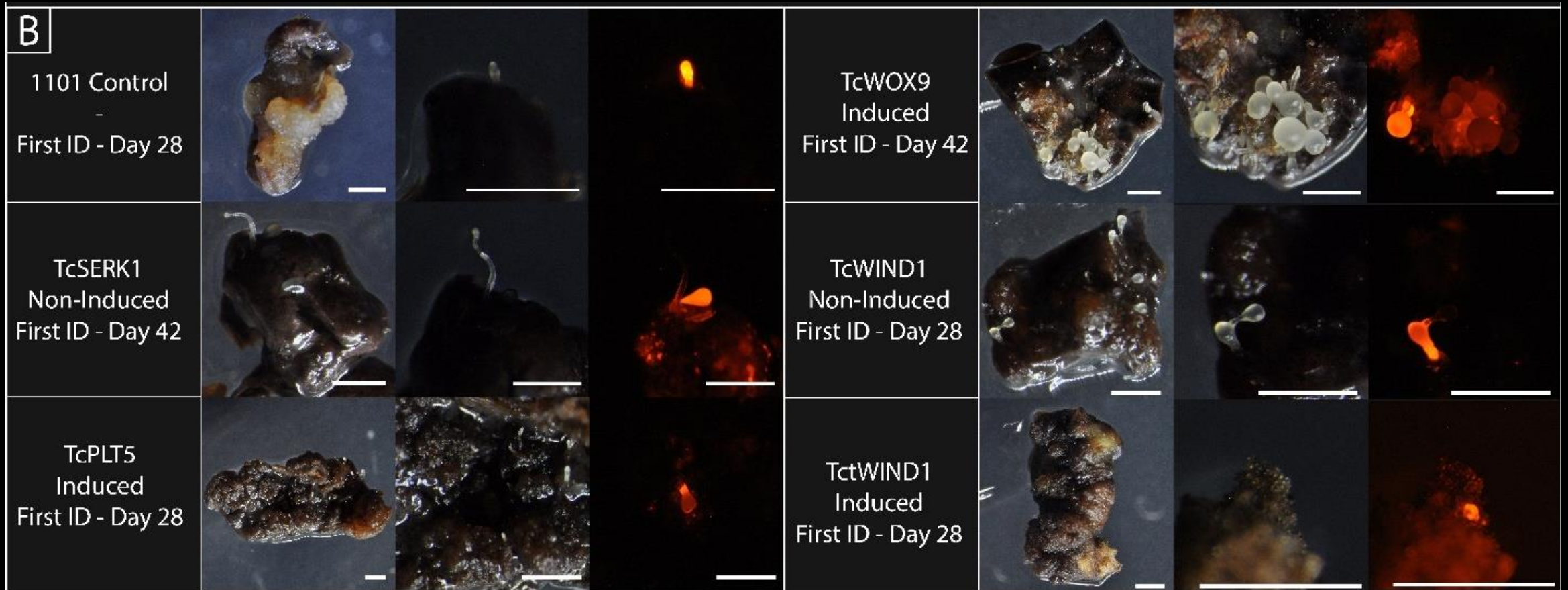
- Explant area
- Explant normalized fluorescence

## Metrics calculated:

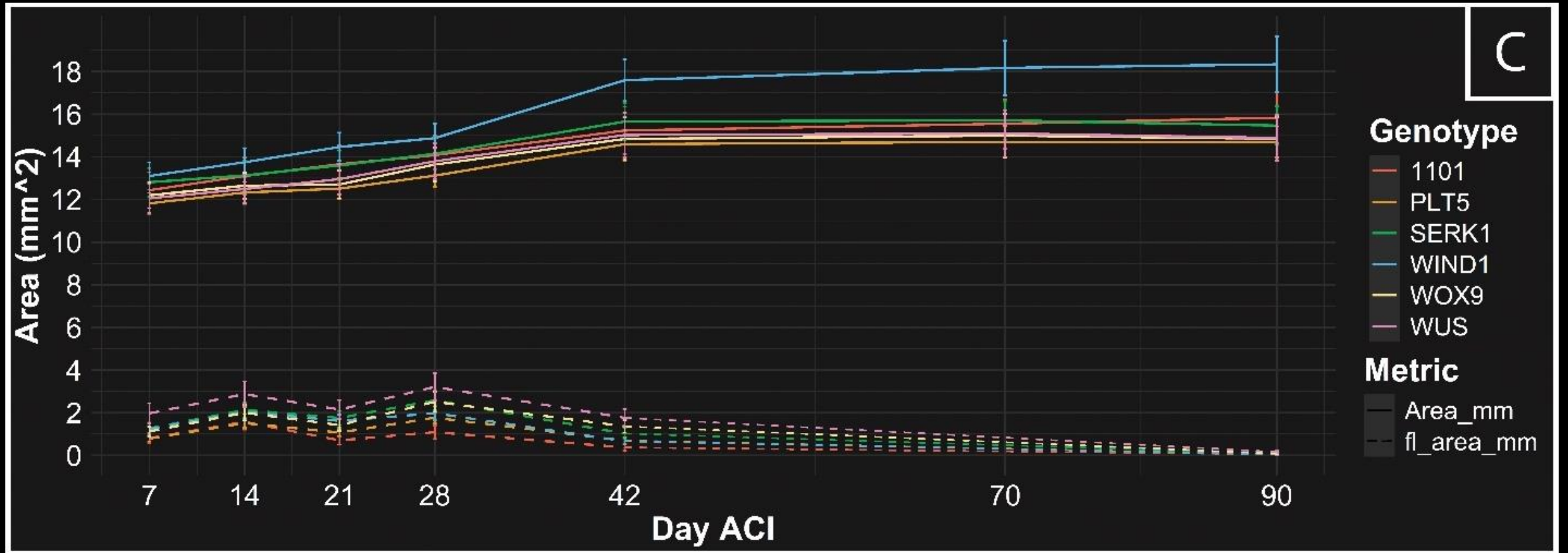
- Normalized fluorescence
- % Explant transformed (coverage)
- Total Growth of tissue
- **Tertiary SEs regenerated**



No statistical difference in # of TSEs regenerated

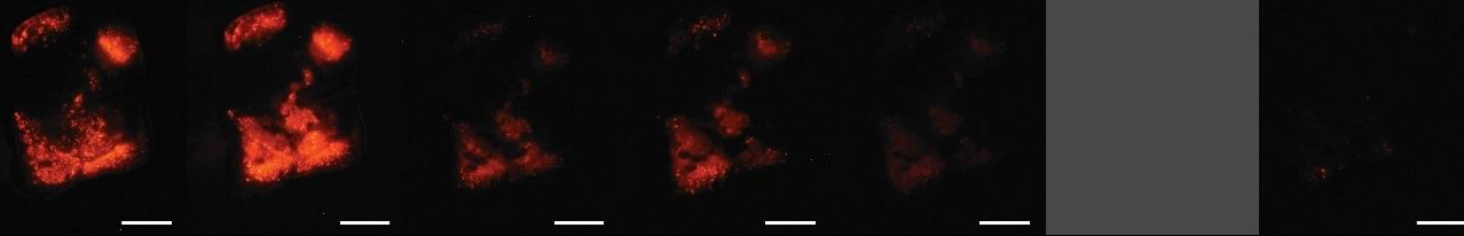
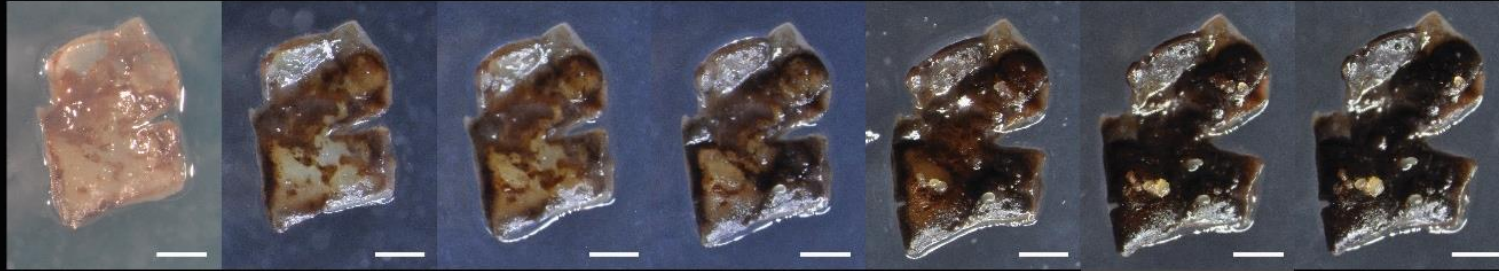


No increase in # transgenic TSEs regenerated



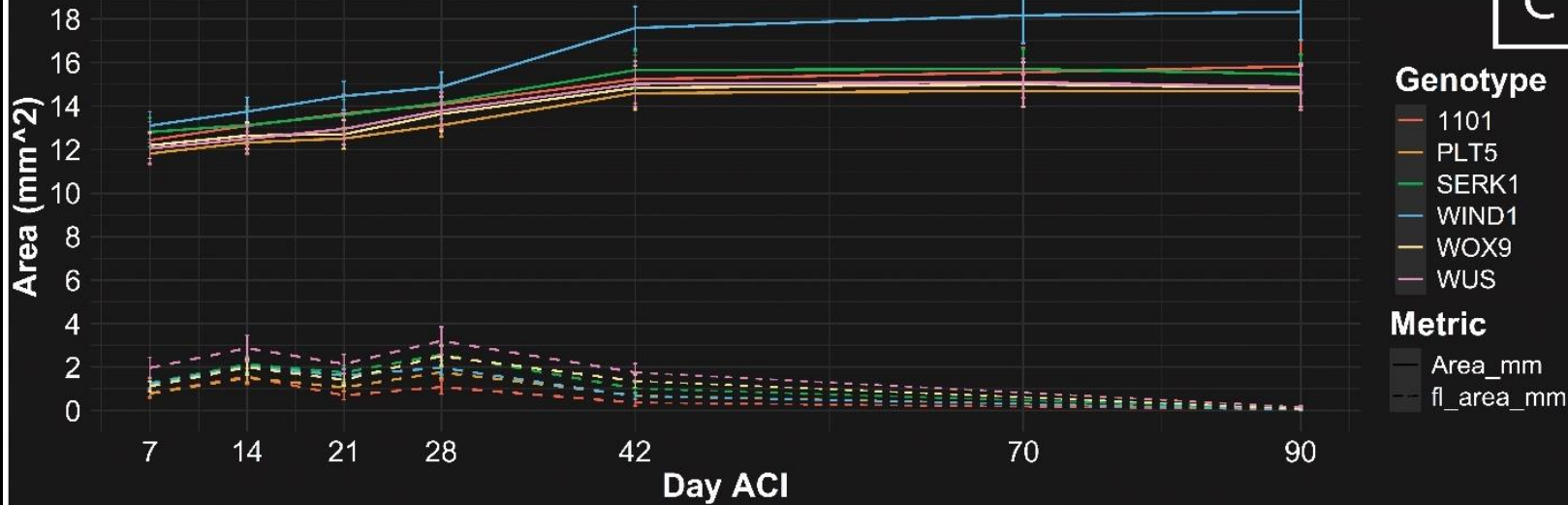
Tissues grow ~4.4% /week until the geneticin selection concentration of 150mg/L



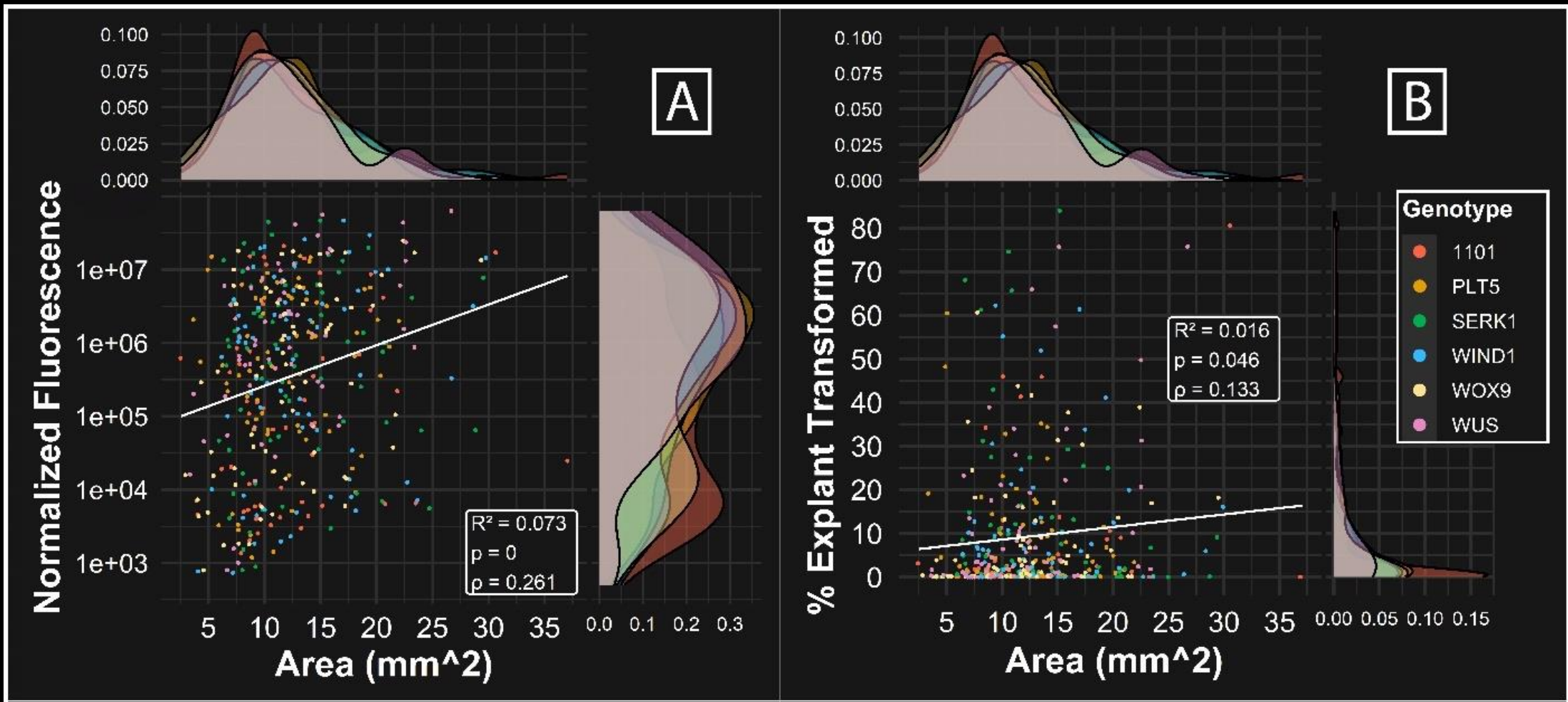
**B**

Day 7      Day 14      Day 21      Day 28      Day 42      Day 70      Day 90

Fluorescence coverage averages  $\sim 9.5\%$  of total explant area

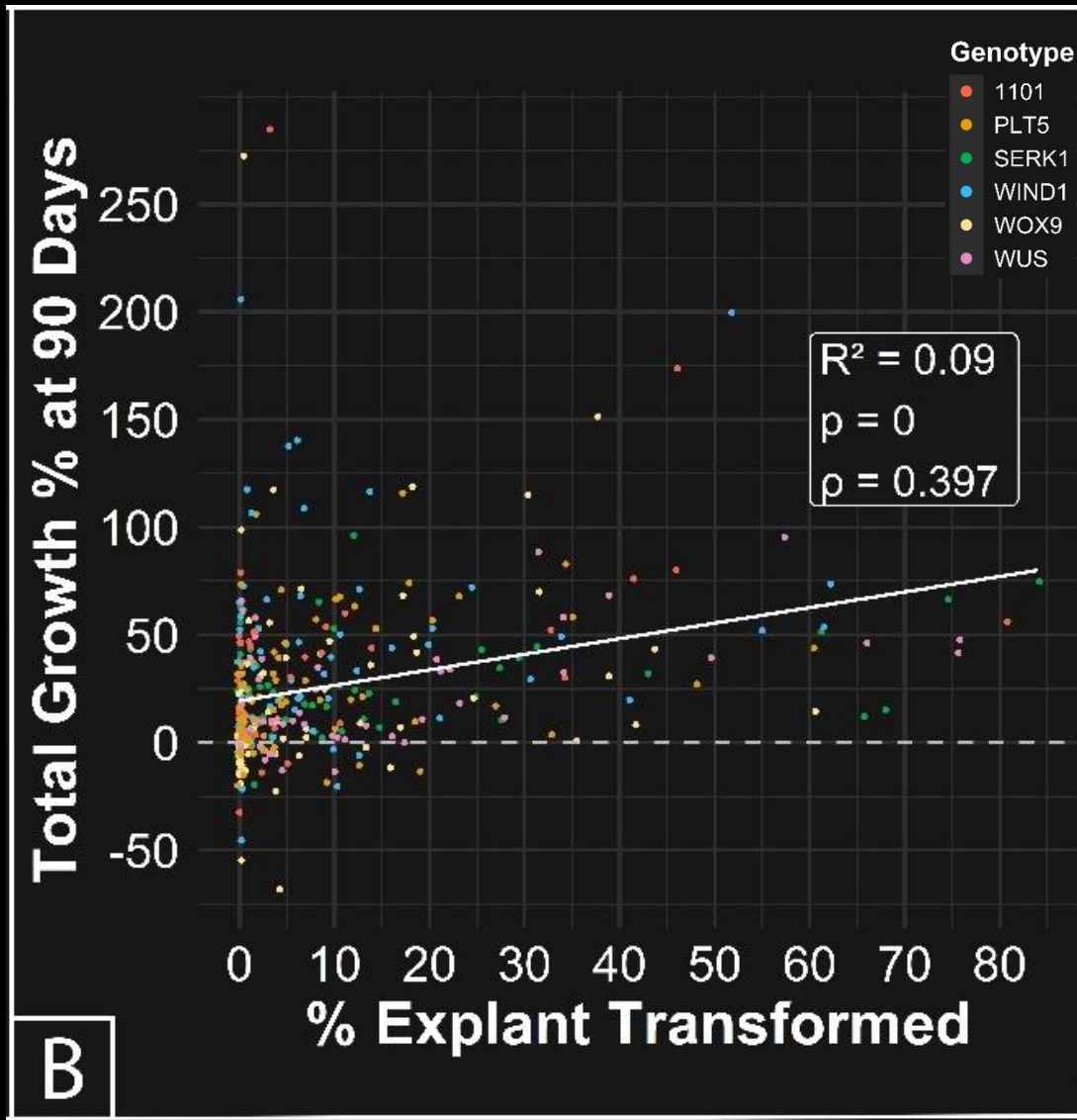
**C**

Fluorescence expression begins declining after 28 days



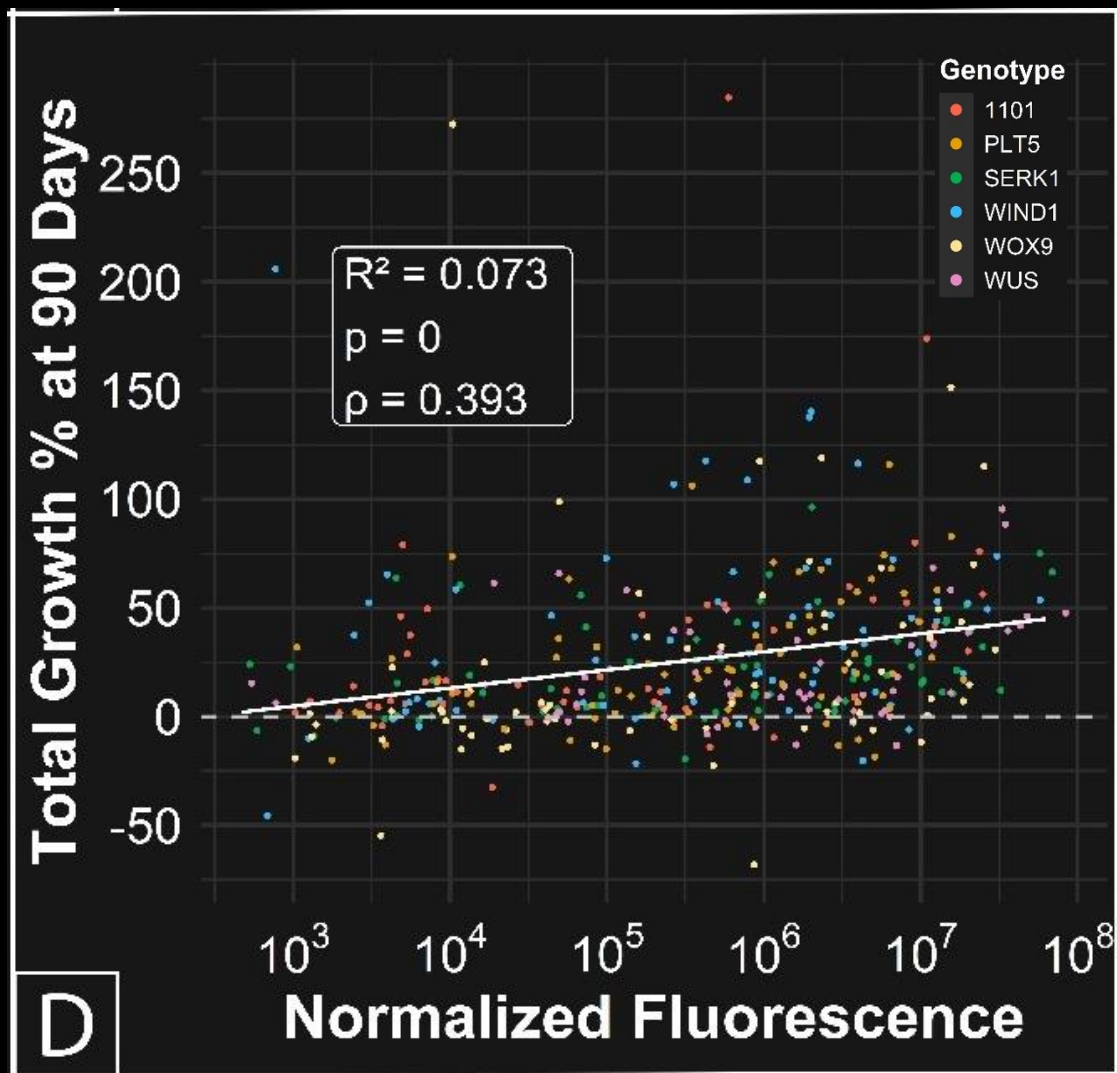
Slight positive monotonic relationship between the initial size of an explant and its' normalized fluorescence

Low positive monotonic relationship between explant size & its' transformation coverage



Positive monotonic relationship between transformation coverage & an explants' total growth

Higher transformation coverage = more cells transformed with selectable marker resistance gene

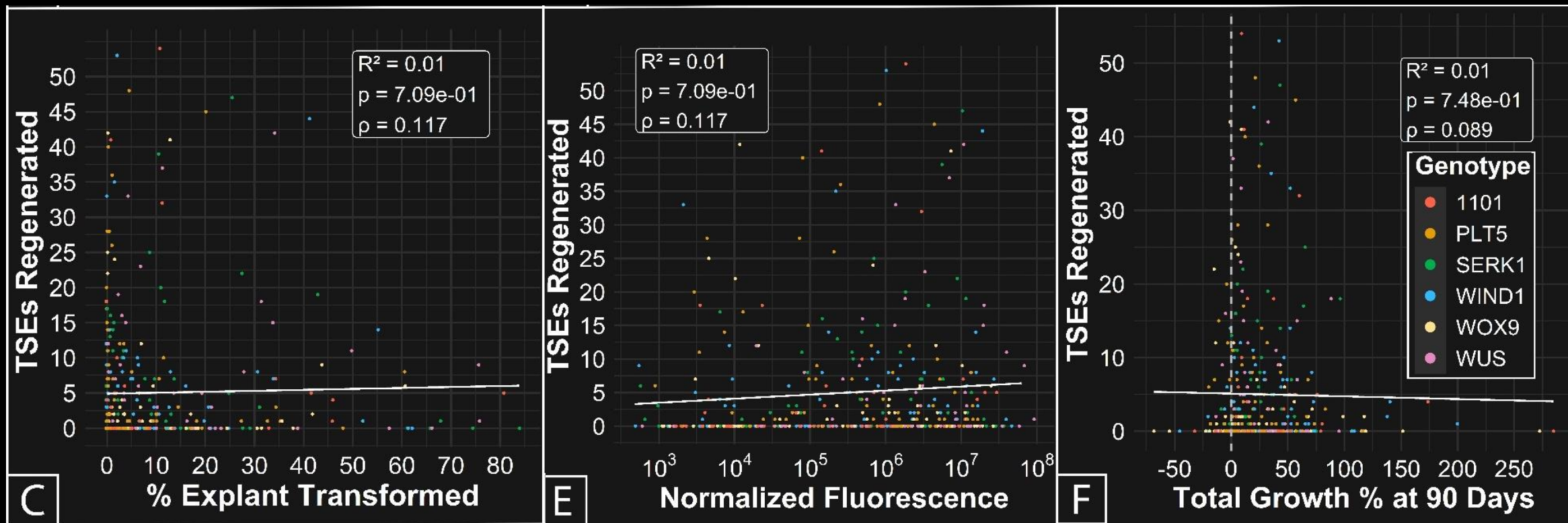


Positive monotonic relationship  
between normalized fluorescence &  
an explants' total growth

Higher expression of T-DNA =  
more molecules of selectable marker  
resistance protein

Selection cassette functioning





Transformation coverage, normalized fluorescence, total growth have no impact on the number of TSEs regenerated



## **Discussion:**

- 5 developmental regulator genes were bioinformatically identified, and cloned into an improved stable transformation vector containing an XVE inducible system
- The XVE-EGFP construct was functionally tested and deemed 'non-leaky' in a tobacco leaf transient expression assay
- A DRG pulse 1-month ACI was insufficient to affect regeneration of TSEs and transgenic TSEs in a cacao stable transformation experiment
- Correlating transformation coverage/normalized fluorescence against tissue growth provides an indication that the NPTII resistance cassette is functioning
- An upper threshold of geneticin selection was identified to be 150mg/L for stable cacao cotyledon transformation
- Explant size has no impact on its transformation coverage
- Further optimization to the transformation and regeneration are needed to improve the regeneration of transgenic SEs

## **Future Directions:**

- Further studies to determine to test the functionality of XVE-DRG constructs
- Optimization of DRG expression times for positive selection
- Combinations of ectopic expression of DRGs for positive selection

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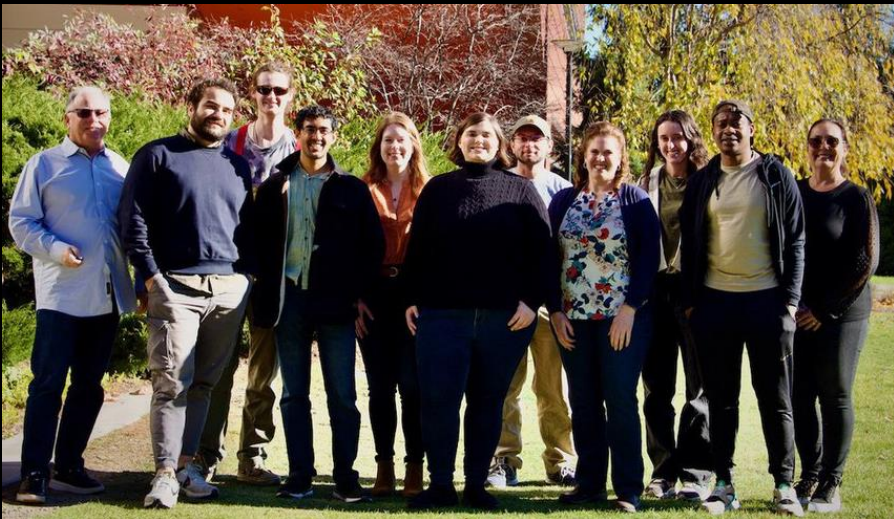
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Questions?

