

1 Running head: Fewer crown roots improve N capture in maize

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6 **Low crown root number enhances nitrogen acquisition from low nitrogen soils in**  
7 **maize (*Zea mays* L.).**

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9 Summary: low crown root number improves nitrogen acquisition in maize by enhancing  
10 deep soil exploration in low N soils.

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20 Abstract

21 In developing nations, low soil nitrogen (N) availability is a primary limitation to crop  
22 production and food security, while in rich nations, intensive N fertilization is a primary  
23 economic, energy, and environmental cost to crop production. It has been proposed that  
24 genetic variation for root architectural and anatomical traits enhancing exploitation of  
25 deep soil strata could be deployed to develop crops with greater N acquisition. Here we  
26 provide evidence that maize (*Zea mays* L.) genotypes with few crown roots (crown root  
27 number: CN) have greater N acquisition from low N soils. Maize genotypes differed in  
28 their CN response to N limitation in greenhouse mesocosms and in the field. Low CN  
29 genotypes had 45% greater rooting depth in low N soils than high CN genotypes. Deep  
30 injection of <sup>15</sup>N-labeled nitrate showed that low CN genotypes acquired more N from  
31 deep soil strata than high CN genotypes, resulting in greater photosynthesis and total  
32 nitrogen content. Under low N, low CN genotypes had greater biomass than high CN  
33 genotypes at flowering (85% in the field study in the US and 25% in South Africa). In the  
34 field in the US, 1.8x variation in CN was associated with 1.8x variation in yield reduction  
35 by N limitation. To our knowledge, this is the first report of the utility of CN for nutrient  
36 acquisition. Our results indicate that CN deserves consideration as a potential trait for  
37 genetic improvement of nitrogen acquisition from low N soils.

38 Keywords: *Zea mays* L., crown root number, CN, mesocosm, nitrogen, <sup>15</sup>N

39 **Introduction**

40 Maize (*Zea mays* L.) is one of the world's most important crops and is a staple food in  
41 Latin America and Africa. Maize production requires a large amount of fertilizer,  
42 especially nitrogen. In the USA, N fertilizers represent the greatest economic and energy  
43 costs for maize production (Ribaud et al., 2011). However, on-farm studies across the  
44 North-central USA revealed that more than half of applied N is not taken up by maize  
45 plants and is vulnerable to losses from volatilization, denitrification, and leaching, which  
46 pollute air and water resources (Cassman, 2002). Conversely, in developing countries  
47 suboptimal nitrogen availability is a primary limitation to crop yields and therefore food  
48 security (Azeez et al., 2006). Increasing yield in these areas is an urgent concern since  
49 chemical fertilizers are not affordable (Worku et al., 2007). Cultivars with greater  
50 nitrogen acquisition from low N soils could help alleviate food insecurity in poor nations  
51 as well as reduce environmental degradation from excessive fertilizer use in developed  
52 countries.

53 The two major soil N forms available to plants are ammonium and nitrate. Nitrate is the  
54 main N form in most maize production environments (Miller and Cramer, 2004). Nitrate  
55 is highly mobile in soil and the spatiotemporal availability of soil N is rather complex. In  
56 the simplest case nitrogen fertilizers applied to the soil and/or nitrogen released from  
57 mineralization of soil organic matter are rapidly converted to nitrate by soil microbes.  
58 After irrigation and precipitation events, nitrate moves with water to deeper soil strata.  
59 Leaching of nitrate from the root zone has been shown to be a significant cause of low  
60 recovery of N fertilizer in commercial agricultural systems (Cassman et al., 2002; Raun  
61 & Johnson, 1999). Differences in root depth influence the ability of plants to acquire N.  
62 Studies using <sup>15</sup>Nitrogen (<sup>15</sup>N) labeled nitrate placed at different soil depths showed that  
63 only plants with deep rooting can acquire N sources from deep soil strata, which would  
64 otherwise have been lost through leaching (Kristensen & Thorup-Kristensen, 2000;  
65 Kristensen & Thorup-Kristensen, 2004). Therefore selection for root traits enhancing  
66 rapid deep soil exploration could be used as a strategy to improve crop N efficiency.

67 The maize root system consists of embryonic and post-embryonic components. The  
68 embryonic root system consists of two distinct root classes: a primary root and a variable

69 number of seminal roots formed at the scutellar node. The post-embryonic root system  
70 consists of roots that are formed at consecutive shoot nodes and lateral roots, which are  
71 initiated in the pericycle of all root classes. Shoot-borne or nodal roots that are formed  
72 below ground are called “crown roots” whereas those that are formed above ground are  
73 designated “brace roots” (Hochholdinger, 2009). While the primary root and seminal  
74 roots are essential for the establishment of seedlings after germination, nodal roots and  
75 particularly crown roots make up most of the maize root system and are primarily  
76 responsible for soil resource acquisition later in development (Hoppe et al., 1986).

77 Lynch (2013) proposed an ideotype for superior N and water acquisition in maize called  
78 “Steep, Cheap and Deep (SCD)”, which integrates root architectural, anatomical, and  
79 physiological traits to increase rooting depth and therefore the capture of N in leaching  
80 environments. One such trait is crown root number (CN). CN is an aggregate trait  
81 consisting of the number of belowground nodal whorls and the number of roots per  
82 whorl. The crown root system dominates resource acquisition during vegetative growth  
83 after the first few weeks and remains important during reproductive development  
84 (Hochholdinger et al., 2004). CN in maize ranges from 5 to 50 under fertile conditions  
85 (Trachsel et al., 2011). At the low end of this range, crown roots may be too spatially  
86 dispersed to sufficiently explore the soil. There is also a risk of root loss to herbivores  
87 and pathogens. If roots are lost in low N plants, there may be too few crown roots left to  
88 support the nutrient, water, and anchorage needs of the plant. At the high end, a large  
89 number of crown roots may compete with each other for water and nutrients as well as  
90 incur considerable metabolic costs for the plant (Fig 1). The SCD ideotype proposes that  
91 there is an optimal number of crown roots (CN) for N capture in maize (Lynch, 2013).  
92 Under low N conditions, resources for root growth and maintenance are limiting, and  
93 nitrate is a mobile resource that can be captured by a dispersed root system. Optimal CN  
94 should tend toward the low end of the phenotypic variation to make resources available  
95 for development of longer, deeper roots rather than more crown roots. According to the  
96 SCD ideotype, in low N soils, maize genotypes with fewer crown roots could explore  
97 soils at greater depth resulting in greater nitrogen acquisition, growth, and yield than  
98 genotypes with many crown roots.

99 The objective of this study was to test the hypotheses that: (i) low CN genotypes have  
100 greater rooting depth than high CN genotypes in low N soils; (ii) low CN genotypes are  
101 better at acquiring deep soil N than high CN genotypes; (iii) low CN genotypes have  
102 greater biomass and yield than high CN genotypes in low N conditions.

## 103 **Results**

### 104 **N stress effects on CN**

105 In mesocosms, nitrogen limitation reduced crown root number by 26% ( $p < 0.001$ ) at 28  
106 days after planting (DAP). The CN ranged from 3 to 9 under low N conditions. The six  
107 genotypes responded differently to N limitation. OHW3, OHW74, OHW 61, and  
108 IBM133 showed significant reduction in CN whereas OHW 170 and IBM 123  
109 maintained their CN under low N conditions (Fig 2). Nitrogen limitation reduced the  
110 average crown root whorl number from 2.75 to 2.13 ( $p < 0.05$ ; Fig 3A). Nitrogen  
111 limitation did not affect the number of roots in the first whorl but significantly reduced  
112 the number of roots of the second, third, and fourth whorl, particularly low CN genotypes  
113 (Fig 3B, supplemental Fig S1).

114 At the field site in the USA (US2011), N limitation reduced CN by 21% at flowering.  
115 The CN ranged from 24 to 44 under low N conditions. The genotypes responded  
116 differently to N limitation. Nitrogen limitation reduced CN in genotypes NYH76,  
117 NYH57, and NYH212, but did not significantly affect CN in the three IBM lines (Fig  
118 4A). At the field site in South Africa in 2011 (SA2011), the CN ranged from 21.5 to 35.5  
119 under low N conditions. The six genotypes were grouped as high or low CN based on the  
120 mean difference in CN under low N conditions. The high CN genotypes consisted of  
121 IBM123, OHW3, and OHW170. The low CN genotypes consisted of IBM133, OHW61,  
122 and OHW74. Means comparison showed that no genotype had a significant decrease of  
123 CN under N limitation (Fig 4B), but ANOVA grouping genotypes into the two categories  
124 of high CN or low CN showed a significant reduction of CN by N limitation ( $p < 0.05$ ),  
125 with high CN genotypes having 10 more crown roots than low CN genotypes under low  
126 N conditions. A different set of genotypes was planted at the field site in South Africa in  
127 2012 (SA2012). In 2012 the CN ranged from 30 to 46.5 under low N conditions. There

128 was no significant effect of N stress on the average CN of these genotypes. Nitrogen  
129 limitation affected CN in only one genotype, IBM165, and in this instance actually  
130 increased CN (Fig 4C).

### 131 **CN effects on rooting depth and N acquisition**

132 In mesocosms, the genotypes were grouped into high CN and low CN genotypes based  
133 on the average value of CN. The high CN genotypes consisted of OHW 170, OHW3, and  
134 IBM133; the low CN genotypes consisted of OHW61, OHW74, and IBM123. We found  
135 that most low CN genotypes had greater rooting depth than high CN genotypes under low  
136 N conditions (Fig 5A;  $p < 0.05$ ). Primary roots, seminal roots, and crown roots of low CN  
137 genotypes had greater rooting depth ( $p < 0.05$ ) than those of high CN genotypes (Fig 5B).

138 In SA2011 N limitation slightly increased maximum rooting depth ( $D_{95}$ ) from 30.5 to  
139 37.2 cm but the effect was not significant. Low CN genotypes had significantly greater  
140 rooting depth than high CN genotypes (Fig 5C) under low N conditions. The low CN  
141 genotypes had a  $D_{95}$  value of 34.4 cm whereas for high CN genotypes the  $D_{95}$  value was  
142 26.7 cm ( $p < 0.05$ , Fig 5C). In UA2011 and SA2012 Low CN genotypes again had  
143 significantly greater rooting depth than high CN genotypes (Fig 6A, supplemental Fig  
144 S2). To investigate whether low CN genotypes were better at acquiring N from deep soil  
145 strata, we injected  $^{15}\text{N}$ -labelled nitrate in the soil at a depth of 50 cm at SA2012. One  
146 week after the  $^{15}\text{N}$  application we found that low CN genotypes had greater  $^{15}\text{N}$  content  
147 in shoot tissues than high CN genotypes under low N conditions (Fig 6B).

### 148 **CN effects on plant growth and yield**

149 In mesocosms N limitation reduced shoot mass by an average of 45%. Shoot biomass and  
150 leaf photosynthetic rate were affected by CN (Table I, II, supplemental table S1).  
151 ANCOVA and correlation analyses showed that under low N conditions, plants with low  
152 CN had greater leaf photosynthetic rates, canopy photosynthetic rates, tissue N content,  
153 and shoot mass, than plants with high CN (Table I,II). There was no significant  
154 relationship between these variables and CN under high N conditions (data not shown).



155 In the field trials N limitation reduced shoot mass by an average of 20% in SA2011 and  
156 by 24% in SA2012. ANCOVA and correlation analyses showed that under low N  
157 conditions, low CN genotypes had greater leaf photosynthetic rates, tissue N content, and  
158 shoot dry weight than plants with high CN at SA2011 (Table I,III, supplemental table  
159 S2). There was no significant relationship between these variables and CN under high N  
160 conditions (data not shown).

161 In US2011 N limitation reduced shoot mass by 34% at flowering (8 weeks after  
162 planting). Grain yield was reduced by 39% in low N soils. ANCOVA and correlation  
163 analyses showed that under low N conditions, low CN genotypes had greater tissue  
164 nitrogen content and shoot dry weight than high CN genotypes (Table I,III, supplemental  
165 table S2). Low CN genotypes had greater percent grain yield than high CN genotypes  
166 under low N conditions (Fig 7). Genotypic variation of 1.8x in CN was associated with  
167 1.8x variation in yield reduction by N limitation (Fig 7).

## 168 **Discussion**

169 We demonstrate that low crown root number (CN) improves nitrogen acquisition by  
170 enhancing deep soil exploration in low N soils. Genotypes differed in their CN response  
171 to N limitation (Figs 2,4). Maize lines with low CN had greater rooting depth than high  
172 CN genotypes (Figs 5,6) and acquired more <sup>15</sup>N labeled nitrate applied in deep soil in the  
173 field (Fig 6). Low CN genotypes had greater tissue nitrogen content and shoot biomass  
174 than high CN genotypes under low N conditions in all environments tested (Fig 6, Table  
175 I). Finally, low CN genotypes had greater percent grain yield than high CN genotypes in  
176 the field under low N conditions (Fig 7).

177 This study is focused on the physiological utility of CN for N acquisition in low N  
178 environments. The use of monogenic mutants is not suitable for this study, since CN is a  
179 quantitative trait controlled by several alleles in unknown ways (Burton, 2010). To date  
180 genes controlling the development of root architecture such as RTCS and RL have been  
181 identified (Jenkins, 1930; Hetz et al., 1996; Hochholdinger et al., 2004). However  
182 mutations in these genes affect the development of other root classes (*rtcs*) and plant  
183 vigor (*rl*) and thus are not desirable for our purpose. In this study, we selected near

184 isophenic lines from maize recombinant inbred lines (RILs) that vary in CN but are  
185 similar in other phenotypic traits such as root angle and branching. RILs are suitable for  
186 this study because they are closely related genotypes with highly similar genetic  
187 backgrounds, thereby minimizing the risk of effects from genetic interactions, epistasis,  
188 and pleiotropy, which may confound the interpretation of results from comparisons of  
189 unrelated lines (Zhu et al., 2005; Zhu et al., 2006). In addition, each experiment consisted  
190 of RILs from different populations representing high and low CN. The fact that our  
191 results were consistent among different experiments with different set of RILs indicates  
192 that the utility of CN for N capture is independent of the specific genotypic context.

193 In the greenhouse we used mesocosms to create nitrogen leaching environments  
194 comparable to conditions in well-drained agricultural soils. The mesocosms also permit a  
195 detailed investigation of root distribution by depth since entire root systems can be  
196 excavated. Gaudin et al. (2011) reported that maize responded to N limitation by  
197 increasing the length of individual crown roots while reducing CN (Gaudin et al., 2011).  
198 These results are consistent with those of Tian et al (2008), who demonstrated that high  
199 nitrate inhibits maize root elongation and is accompanied by decreasing IAA levels in the  
200 roots (Tian et al., 2008). In our study, we found that not all maize genotypes reduced CN  
201 in response to N limitation. For example, genotypes such as IBM133, OHW3, OHW61,  
202 and OHW74 significantly reduced CN in the mesocosms under low N conditions but  
203 maintained their CN in the field (Fig 2,4). These results indicate that CN response to N  
204 limitation depends on genotypes and environments. In the mesocosms where CN was  
205 significantly reduced by N limitation, we found that reduced CN was attributable to  
206 decreased crown root whorl number and decreased number of roots per whorl (Fig 3A,  
207 3B). Nitrogen stress did not affect the number of roots of the first whorl, which is the  
208 earliest to emerge from the stem node, suggesting that plants may exhaust seed N  
209 reserves prior to or during the development of the second whorl crown roots.

210 We found that high CN genotypes had shallower primary, seminal, and crown roots than  
211 low CN genotypes under low N conditions (Fig 5). This result supports the hypothesis  
212 that there exist tradeoffs between the number of crown roots and growth of different root  
213 classes. These results are consistent with reports in other crop species. In wheat and

214 barley, the removal of nodal roots stimulates the growth and activity of the seminal roots  
215 (Krassovsky, 1926). In common bean, increased carbon allocation to adventitious roots  
216 was related to decreased allocation to tap and basal roots, which affected total root length,  
217 soil exploration, and P acquisition under suboptimal P conditions (Walk et al., 2006), and  
218 removal of a specific root class led to an increase in the relative proportion of the  
219 remaining root classes (Rubio and Lynch, 2007). In maize the majority of axial roots in  
220 the root system are crown roots. The diameter of crown roots of the third whorl and  
221 subsequent nodes are much larger than that of the primary and seminal roots, and these  
222 roots are thus a greater sink for photosynthates. High CN genotypes must maintain the  
223 growth and development of many crown roots, which would constrain the growth and  
224 elongation of crown roots and other root classes, resulting in shallower root systems  
225 compared to those of low CN genotypes (Fig 5,6). In addition, competition among roots  
226 within the root system for soil resources is greater in high CN genotypes, especially for a  
227 mobile resource like nitrate. The effect of reduced CN on soil exploration and N  
228 acquisition could result from reduced root competition for internal and external resources,  
229 as proposed by Lynch (2013).

230 We investigated the ability of low CN genotypes to take up N from deep soil layers in the  
231 field in SA2012 by injection of <sup>15</sup>N-labelled nitrate in the soil at 50 cm depth within a  
232 planting row adjacent to the plants. We found that low CN genotypes had greater <sup>15</sup>N  
233 uptake than high CN genotypes (Fig 6B). Soil nitrate analysis showed that nitrate was  
234 indeed more abundant in deep soil layers than in topsoil at the time of harvest (data not  
235 shown), thus, deep-rooting low CN genotypes are able to acquire N deep in the soil  
236 profile better than high CN genotypes. The ability to explore soils at greater depth and  
237 acquire N from N source in deep soils means that low CN plants have greater usage of N  
238 and thus have better N efficiency than high CN genotypes. Low CN plants could also  
239 reduce N leaching, thereby reducing environmental pollution.

240 Photosynthesis directly influences growth and yield of crop plants (Gastal and Lemaire,  
241 2002). The rate of photosynthesis depends on content of N in the leaf tissue because  
242 photosynthetic proteins, including Rubisco and light harvesting complex proteins,  
243 represent a large proportion of total leaf N (Evans, 1983). We found that low CN

244 genotypes had greater tissue N content, which resulted in greater photosynthetic rates,  
245 and shoot biomass than high CN genotypes in greenhouse and field studies (Table  
246 I,II,III). In US2011, 1.8x genotypic variation in CN was associated with 1.8x variation in  
247 yield loss due to N limitation (Fig 7). This is important especially for developing  
248 countries where yield of maize is less than 10% of its yield potential (Lynch, 2007).

249 Considering the range of reported CN in field-grown plants of 5-50 (Trachsel et al., 2010)  
250 and 10-32 (Bayuelo-Jiménez et al., 2011), our range of CN (20-45) falls between the  
251 medium to high range of phenotypic variation observed in maize. We propose that in  
252 extremely low CN phenotypes, roots may be too spatially dispersed to sufficiently  
253 acquire soil resources and such plants may be susceptible to lodging (Hetz et al., 1996).  
254 Additionally, plants with very low CN may be at risk of root loss due to herbivores and  
255 pathogens. This is particularly important for low-input agroecosystems where root  
256 survivorship is low. In this case the optimum number of CN would be large enough to  
257 allow rapid recovery from root damage but not too large to compete for internal and  
258 external resources. The optimum range of CN is likely to be dependent upon soil type and  
259 the severity of biotic and abiotic stresses. We anticipate that the optimum range of CN is  
260 also at the low end of the range of variation under drought and is likely to be greater in  
261 low density plantings, in fine-textured soils with slow leaching, and in soils with  
262 suboptimal availability of immobile nutrients such as phosphorus (P) and potassium (K),  
263 which are abundant in the topsoil. Greater CN may be beneficial to plants in low-input  
264 systems in which N continues to be available in the topsoil as a result of mineralization of  
265 organic matter (Poudel et al., 2001). However, many low-input systems are subject to  
266 drought in addition to suboptimal N availability. In this case, low CN enhancing deep soil  
267 exploration may be preferable to high CN since low CN supports deep root system so the  
268 shallow portion of deep roots can acquire shallow N resources while the deep portion can  
269 explore deep soil for water resources.

270 Functional-structural modeling could be helpful in identifying optimum CN for specific  
271 environments as well as studying interactions between CN and other root traits. Recently,  
272 York et al. (2013) used the functional-structural plant model *SimRoot*, to observe  
273 interactions between CN and root cortical aerenchyma (RCA). They found that the

274 synergistic effects of CN and RCA on plant growth were greater than the additive effects  
275 by 32% at medium N and by 132% at medium P (York et al., 2013). In addition, an  
276 optimum number of crown roots can also interact with other traits enhancing deep soil  
277 exploration, such as steep root growth angle and few but long root branches, and may  
278 synergistically enhance resource acquisition under drought and suboptimal availability of  
279 mobile nutrients (Lynch, 2013).

280 The concept of optimum CN enhancing root growth and soil exploration under water and  
281 nutrient limiting conditions supports the rhizoeconomic paradigm, which considers the  
282 benefits and the costs of root traits as direct metabolic costs and as trade-offs and risks  
283 (Lynch and Ho, 2005; Nord and Lynch, 2009). We suggest that the optimum CN concept  
284 can be applied to other crop species in which nodal roots represent a major portion of the  
285 root system such as rice (*Oryza sativa*), wheat (*Triticum aestivum* L.) and barley  
286 (*Hordeum vulgare* L.) (Krassovsky, 1926; de Dorlodot et al., 2007; Coudert et al., 2010).  
287 Our results are entirely supportive of the CN component of the SCD ideotype (Lynch  
288 2013). The SCD ideotype applies to both water and N capture, since both of these soil  
289 resources are often localized in deep soil strata under limiting conditions. The fact that  
290 CN affects rooting depth and therefore N capture suggests that this trait should also be  
291 useful for water capture from drying soil, especially in terminal drought scenarios (Lynch  
292 2013).

293 Genotypic differences in crown root number have been reported in several crop species  
294 including maize and its relatives within *Zea* (Bayuelo-Jiménez et al., 2011; Burton et al.,  
295 2013; Lynch, 2013; Trachsel et al., 2010). Moreover, CN is a heritable trait (Jenkins,  
296 1930) and genes affecting CN expression have been identified (Jenkins, 1930; Hetz et al.,  
297 1996; Taramino et al., 2007) making CN a feasible target for plant breeding. To our  
298 knowledge, this is the first report of the utility of CN for improving nutrient acquisition.  
299 Our results support the hypothesis that CN affects rooting depth and soil N acquisition,  
300 and thus merits investigation as a potential element of more N-efficient cultivars.

## 301 **Materials and Methods**

### 302 **Greenhouse mesocosm study**

#### 303 **Plant materials**

304 Based on the results of screening experiments in mesocosms in the USA and in the field  
305 in South Africa, recombinant Inbred Lines (RILs) IBM123 and IBM133 from the  
306 intermated B73 and Mo17 (IBM) population (Lee *et al.*, 2002; Sharopova *et al.*, 2002)  
307 and OHW3, OHW61, OHW74, and OHW170 from the cross between OH43 and W64a  
308 (OHW) contrasting in crown root number were selected for this study.

#### 309 **Experimental design**

310 The greenhouse experiment was a randomized complete block design. The factors were  
311 two nitrogen regimes (high and low nitrogen conditions), six RILs, and four replicates.  
312 Planting was staggered one week between replicates with time of planting as a block  
313 effect.

#### 314 **Growth conditions**

315 Plants were grown during October 13 to December 8, 2010 in a greenhouse located on  
316 the campus of The Pennsylvania State University in University Park, PA, USA (40°48'N,  
317 77°51'W), with a photoperiod of 14/10 h at 28/24 °C (light/darkness). Seeds were soaked  
318 for 1 h in a fungicide solution containing benomyl (Benlate fungicide, E.I. DuPont and  
319 Company, Wilmington, DE, USA) and 1.3 M metalaxyl (Allegiance fungicide, Bayer  
320 CropScience, Monheim am Rhein, Germany) and then were surface-sterilized in 10%  
321 NaOCl for 1 min. The seeds were pre-germinated in rolled germination paper (Anchor  
322 Paper Company, St. Paul, MN, USA) soaked with 0.5 mM CaSO<sub>4</sub> and placed in darkness  
323 at 28°C in a germination chamber for two days. At planting, the plants were transferred to  
324 mesocosms consisting of PVC cylinders 15.7 cm in diameter and 160 cm in height. The  
325 mesocosms were lined with transparent high-density polyethylene film to facilitate root  
326 sampling at harvest. The growth medium consisted of a mixture (volume based) of 50%  
327 medium size (0.3 to 0.5 mm) commercial grade sand (Quikrete Companies Inc.,  
328 Harrisburg, PA, USA), 35% horticultural vermiculite, 5% Perlite (Whittemore  
329 Companies Inc., Lawrence, MA, USA) and 10% topsoil. The topsoil was collected from

330 the Russell E. Larson Agricultural Research Center in Rock Springs, PA (Fine, mixed,  
331 semiactive, mesic Typic Hapludalf, pH  $\approx$  6.7, silt loam). Thirty-three liters of the mixture  
332 were used in each mesocosm to ensure the same bulk density of the medium. One day  
333 before planting, the mesocosms were saturated with 5 liters of a nutrient solution adjusted  
334 to pH 6. The nutrient solution for the high N treatment consisted of (in  $\mu$ M): NO<sub>3</sub> (7000),  
335 NH<sub>4</sub> (1000), P (1000), K (3000), Ca (2000), SO<sub>4</sub> (500), Mg (500), Cl (25), B (12.5), Mn  
336 (1), Zn (1), Cu (0.25), Mo (0.25) and FeDTPA (100). For the low N treatment, NO<sub>3</sub> and  
337 NH<sub>4</sub> were reduced to 70 and 10  $\mu$ M, respectively, and K<sub>2</sub>SO<sub>4</sub> was used to replace K and  
338 SO<sub>4</sub>. Each mesocosm received two seeds and after 4 days they were thinned to one plant  
339 per mesocosm. Plants were watered with 75 ml of deionized water every 2 days. Soil  
340 solutions were collected at 20 cm depth intervals weekly using a micro-sampler 2.5 mm  
341 in diameter and 9 cm in length (Soilmoisture Equipment CORP., Santa Barbara, CA,  
342 USA). The solutions were stored at -80 °C until processing. The concentrations of nitrate  
343 in the solutions were determined using the vanadium (III) chloride protocol according to  
344 Doane et al. (2003).

#### 345 **Root harvest**

346 The plants were harvested at 28 days after planting. At harvest a polyethylene liner in  
347 each mesocosm was carefully removed and placed on a root washing station. The liners  
348 were divided into 20 cm segments starting from the base of the shoot. Media were  
349 carefully removed and the deepest layer reached by the roots was recorded for primary,  
350 seminal, and crown root classes. CN in each nodal whorl and root branching were  
351 counted. The roots were cut, separated from each segment, and preserved in 75% EtOH.  
352 Total root lengths were obtained by scanning and analyzing using the *WinRhizo* software  
353 (WinRhizo Pro, Régent Instruments, Québec City, Québec, Canada).

#### 354 **Shoot dry weight and plant nitrogen status**

355 One day prior to harvest, leaf gas exchange of the first and the second youngest fully  
356 expanded leaves was measured with a Licor-6400 Infrared Gas Analyzer (Li-Cor  
357 Biosciences, Lincoln, NE, USA) using a red-blue light at PAR intensity of 1200  $\mu$ mol  
358 photons m<sup>-2</sup> s<sup>-1</sup> and constant CO<sub>2</sub> concentration of 400 ppm. Shoot carbon assimilation  
359 was measured with a Licor-6200 Infrared Gas Analyzer (Li-Cor Environmental Inc,

360 Lincoln, NE, USA). In short, a 36.5 liter (28x 28 x 46.5 cm) transparent acrylic chamber  
361 was placed around a plant. The base of the chamber was split to fit a stem of a plant. The  
362 air space around the stem and the base of the chamber was filled with modeling clay and  
363 sponges to separate the shoot from the growth media. The chamber connected to the Li-  
364 6200 with polyethylene tubing 0.03 liter in volume. Carbon dioxide exchange was  
365 measured for two minutes for each plant. Shoots were dried at 60 °C for 72h prior to dry  
366 weight determination. The shoots were ground and 2 to 3 mg of ground tissue was taken  
367 for tissue nitrogen analysis using an elemental analyzer (SeriesII CHNS/O Analyzer  
368 2400, PerkinElmer).

## 369 **Field studies**

### 370 **Field conditions, experimental design, and plant materials**

371 Experiments were carried out during February to April in 2011 (SA2011) and 2012  
372 (SA2012) at Alma, Limpopo province, South Africa (24°33' 00.12 S, 28°07'25.84 E,  
373 1235 masl) and during June - October in 2011 (US2011) at the Hancock Agricultural  
374 research station of the University of Wisconsin in Hancock, WI, USA (44°07'56".74 N,  
375 89°30'43".96 W, 331 masl). The soils at the experimental sites were a Clovelly loamy  
376 sand (Typic Ustipsamment) in Alma and a Plainfield loamy sand (mixed, mesic Typic  
377 Udipsamment) in Hancock. In SA2011 and SA2012 N fertilizers were applied at the rate  
378 of 30 kg N/ha for 5 times until flowering resulting in 150 kg N ha<sup>-1</sup> in total for well-  
379 fertilized plots. The low N plots received 30 kg N ha<sup>-1</sup> only at the beginning of the  
380 growing season. In US2011 the well-fertilized plots were amended with 103 kg N ha<sup>-1</sup> at  
381 planting and at four weeks after planting resulting in a total of 206 kg N ha<sup>-1</sup> while the  
382 low N plots were amended with 34 kg N ha<sup>-1</sup> at the beginning of the cropping season  
383 only. In all environments, soil nutrient levels of other macro- and micronutrients were  
384 adjusted to meet the requirements for maize production as determined by soil tests. Pest  
385 control and irrigation were carried out as needed.

### 386 **Plant material**

387 The same six RILs used in the greenhouse experiment were used in SA2011. Different  
388 sets of genotypes were planted at US2011 and SA2012. These genotypes were selected



389 based on previous screening in US field (Saengwilai et al., unpublished). Seven RILs  
390 consisting of IBM1, IBM9, IBM13, IBM77, IBM133, IBM165, and IBM187 were used  
391 in SA2012. RILs from the IBM populations; IBM10, IBM85, IBM218 and from the cross  
392 between NY821 and H99 (NyH) population; NYH76, NYH57, NYH212 were used in  
393 US2011. In each location the experiment was arranged in a split-plot design replicated  
394 four times with high and low N treatments. Four sections adjacent to each other in the  
395 field containing both high and low N treatments were assigned as blocks. Genotypes were  
396 randomly assigned to five-row plots. Each row was 4.5 m long. The distance between  
397 rows was 75 cm and within a row was 23 cm, resulting in a planting density of 6 plants  
398 m<sup>-2</sup>. The plants were harvested at flowering, 9 weeks after planting in SA2011 and  
399 SA2012 and 8 weeks after planting in US2011.

#### 400 **Root harvest**

401 Evaluation of crown roots was carried out based on shovelomics (Trachsel et al., 2011).  
402 Three representative plants were selected for excavation in each plot. The selection was  
403 based on height, presence of bordering plants, and general appearance that represented  
404 individuals in the plot. At harvest roots were excavated using spades. A large portion of  
405 soil was removed from roots by carefully shaking. The remaining soil was removed by  
406 soaking the roots in diluted commercial detergent followed by vigorously rinsing at low  
407 pressure with water. Because three representative roots within a plot usually appear to be  
408 homogeneous, only one root was selected for phenotyping. Crown root number (CN) was  
409 measured by counting half of the root system. Assuming that the maize root system is  
410 symmetrical, CN was multiplied by two to obtain the total CN prior to data analysis. Data  
411 on other root traits such as root angle, diameter, and branching were also collected and  
412 included in the analyses when needed.

#### 413 **Rooting depth and <sup>15</sup>N injection**

414 Rooting depth was measured at flowering by soil coring (Giddings Machine Co.,  
415 Windsor, CO, USA). Soil cores were taken within a planting row midway between two  
416 plants. The diameter of soil cores was 5.1 cm. The cores were divided into 10 cm  
417 segments and roots were extracted from each soil segment. Root lengths were obtained  
418 by scanning and analysis using *WinRhizoPro* (Régent Instruments, Québec, Québec City

419 Canada). Percentages of root length at each depth were calculated in each soil core.  
420 Depth above which 95% ( $D^{95}$ ) of root length is located was calculated by linear  
421 interpolation between the cumulative root lengths (Trachsel et al.,2013).

422 The ability of roots to acquire N in deep soil layers was studied by deep injection of  
423  $^{15}\text{NO}_3^-$  in SA2012. PVC pipes with a length of 75 cm and a diameter of 5 cm were used  
424 for  $^{15}\text{NO}_3^-$  injection. Three representative plants were selected and the injections were  
425 done at a midway between adjacent plants within a planting row. Each plot received two  
426 injections. Prior to the injections, a soil auger was used to excavate a cylinder of soil to a  
427 depth of 50 cm. A PVC pipe was inserted into the hole and the  $^{15}\text{NO}_3^-$  solution was  
428 poured into the hole. Each plot had 5 mL of  $\text{K}^{15}\text{NO}_3^-$  solution ( $0.46 \text{ mg } ^{15}\text{N mL}^{-1}$ , 98%  
429  $^{15}\text{N}$  enriched) injected into each of two holes. Following the injection each hole was filled  
430 with sand to prevent roots from growing down the hole. Seven days after  $^{15}\text{NO}_3^-$   
431 injection, the shoot biomass of the selected plant was harvested for  $^{15}\text{N}$  and total N  
432 analysis.

#### 433 **Shoot dry weight and tissue nitrogen content**

434 In SA2011 and SA2012 one day prior to harvest, leaf gas exchange of the ear leaves was  
435 measured with a Licor-6400 Infrared Gas Analyzer (Li-Cor Biosciences, Lincoln, NE,  
436 USA) using a red-blue light at PAR intensity of  $1800 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$  and constant  
437  $\text{CO}_2$  concentration of 360 ppm. In all experiments, shoots were dried at  $60^\circ\text{C}$  prior to dry  
438 weight determination. The leaves and stems were ground and 2-3 mg of ground tissue  
439 were analyzed for tissue nitrogen content using an elemental analyzer (SeriesII CHNS/O  
440 Analyzer 2400, PerkinElmer).  $^{15}\text{N}$  in plant tissue was analyzed using a PDZ Europa  
441 ANCA-GSL elemental analyzer interfaced to a PDZ Europa 20-20 isotope ratio mass  
442 spectrometer (Sercon Ltd., Cheshire, UK) at the Stable Isotope Facility, University of  
443 California at Davis, USA (<http://stableisotopefacility.ucdavis.edu/>).

#### 444 **Statistical analysis**

445 Statistical analyses were performed using R version 2.15.1 (R Development Core Team  
446 2012). Linear mixed effect models were fit using the function lme from the package nlme  
447 (Pinheiro et al., 2012) and two-way ANOVA were used for comparisons between high

448 and low CN groups (or individual genotypes), nitrogen levels and the interaction between  
449 these main effects. ANCOVA was performed using the lm function to test effects of CN  
450 and N treatments on response variables. The protected least significant difference post  
451 hoc ( $\alpha=0.05$ ) test and Tukey's Honest Significant Difference method ( $\alpha=0.05$ ) were used  
452 for multiple comparison tests.

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455 Snyder, Bill Kojis, Curtis Frederick, and Johan Prinsloo for the management of the  
456 experiments in Hancock and Alma.

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- 545
- 546

547 **Figure legends**

548 Figure 1. Visualization of maize root system of low and high crown root (CN) genotypes  
549 at 40 d after germination. Crown roots are colored in blue and seminal roots are in red.  
550 The number CN is 8 in the low CN genotypes and 46 in the high CN genotype (image  
551 courtesy of Larry M. York).

552 Figure 2. Crown root number of maize 28 days after planting under high N and low N  
553 conditions in soil mesocosms. Data shown are means of 4 replicates  $\pm$  SE of the mean.  
554 Means with the same letters are not significantly different ( $p < 0.05$ )

555 Figure 3. Crown root whorl number (3A) and crown root number per whorl (3B) of maize  
556 28 days after planting under high N and low N conditions in soil mesocosms. Data shown  
557 are means of six genotypes (i.e. IBM133, IBM123, OHW3, OHW61, OHW74, and  
558 OHW170) with 4 replicates  $\pm$  SE of the means. Means with the same letters are not  
559 significantly different ( $p < 0.05$ )

560 Figure 4. Crown root number of maize at flowering under high N and low N conditions at  
561 the fields in USA in 2011 (4A), and in South Africa in 2011 (4B) and 2012 (4C). Data  
562 shown are means with 4 replicates  $\pm$  SE of the means. Means with the same letters are not  
563 significantly different ( $p < 0.05$ )

564 Figure 5 Rooting depth of six RILs at 28 DAP in soil mesocosms (5A), depth of primary,  
565 seminal, and crown roots at 28 DAP under low N conditions in soil mesocosms compared  
566 between high and low CN within the same root class (5B) and  $D_{95}$  of maize at 9WAP  
567 under low and high N conditions at SA2011 field (5C). Data shown are means of 4  
568 replicates  $\pm$  SE of the mean. Different letters represent significant differences ( $p < 0.05$ ).

569 Figure 6 Correlations between 6A) crown root number and rooting depth ( $R^2=0.53$ ,  
570  $p=0.04$ ), 6B)  $^{15}\text{N}$  in shoot ( $R^2=0.35$ ,  $p=0.02$ ), and 6C) shoot dry weight ( $R^2=0.16$ ,  $p=0.02$ )  
571 at flowering under low N conditions in the field in South Africa (2012).

572 Figure 7 Correlation between crown root number and grain yield (% of yield under high  
573 N) ( $R^2=0.19$ ,  $p=0.02$ ) under low N conditions in the field in the USA.

574 Supplemental Figure S1 Crown root number per first (S1A), second (S1B), and third  
575 (S1C) whorl of maize 28 days after planting under high N and low N conditions in soil  
576 mesocosms. Data shown are means with 4 replicates  $\pm$  SE of the means. Means with the

577 same letters are not significantly different ( $p < 0.05$ )  
578 Supplemental Figure S2 Correlations between crown root number and rooting depth  
579 ( $R^2=0.68$ ,  $p=0.04$ ) at flowering under low N conditions in the field in USA.  
580



581 Table I. Summary of correlation analysis (correlation coefficient and significant levels)  
 582 between crown root number and parameter measured under low N conditions in six  
 583 maize genotypes in soil mesocosms at 28 days after planting and in the field in South  
 584 Africa and USA in 2011.  
 585

Parameter	Mesocosms	Field	
		South Africa	USA
Canopy photosynthetic rate	0.26*	-	-
Leaf photosynthetic rate	0.34*	0.31*	-
Tissue nitrogen content	0.23*	0.13*	0.13*
Shoot dry weight	0.23*	0.49**	0.22*

586 \*p<0.05, \*\*p<0.01

587 Table II. Summary of ANCOVA model (F-value and degrees of freedom) of shoot traits  
 588 at 28 day after planting as influenced by CN and N treatment in six maize RILs in  
 589 greenhouse mesocosms.

Effect	Shoot weight	Photosynthesis Rate	Carbon Assimilation	Tissue N Content
CN	22.31 (1,43)***	9.48 (1,43)**	6.51 (1,43)*	16.55 (1,44)***
N treatment	23.78 (1,43)***	31.62 (1,43)***	75.66 (1,43)***	29.15 (1,44)***
CN:N treatment	4.89 (1,43)*	14.08 (1,43)***	0.20 (1,43)	2.79 (1,44) <sup>a</sup>
R <sup>2</sup>	0.66	0.53	0.63	0.49

590 †p<0.1, \*p<0.05, \*\*p<0.01, \*\*\*p<0.001, <sup>a</sup>p=0.10. Degrees of freedom shown as (numerator, denominator)

591 Table III. Summary of ANCOVA model (F-value and degrees of freedom) of shoot traits  
 592 at flowering as influenced by CN and N treatment in six maize RILs in SA2011 and  
 593 US2011 and in seven maize RILs in SA2012.

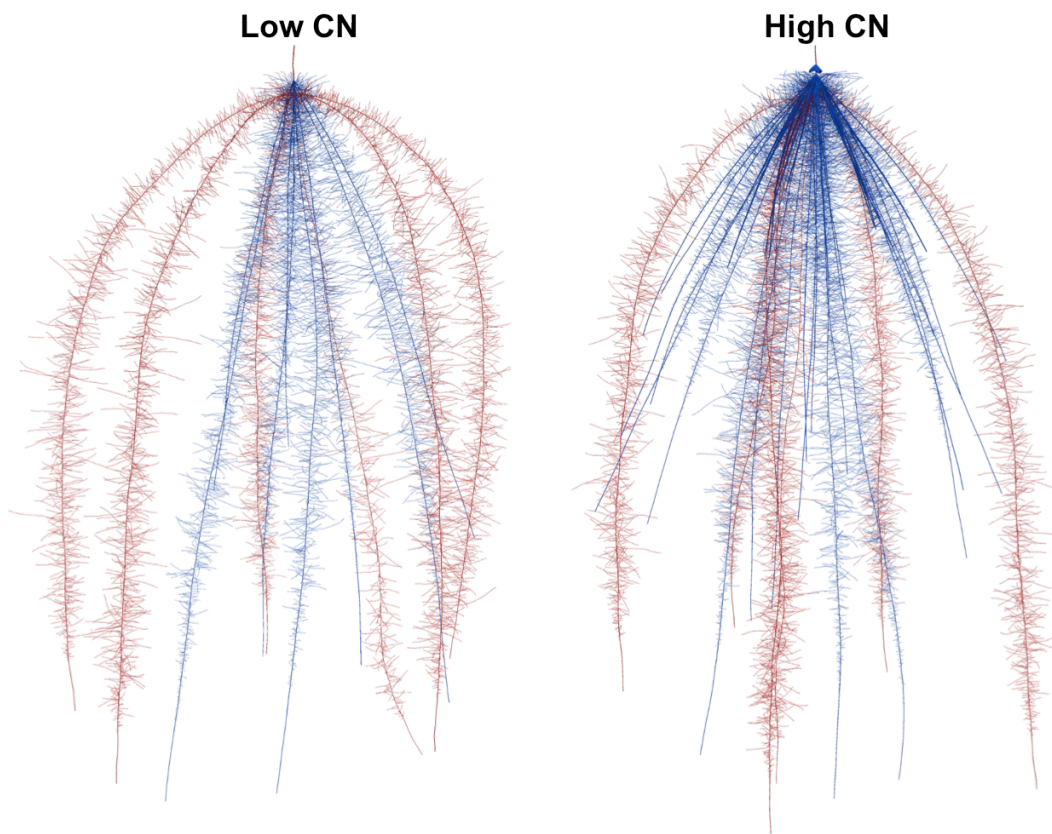
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Effect	Shoot weight SA2011	Shoot weight SA2012	Shoot weight US2011	Yield US2011
CN	3.19 (1,44) †	0.89 (1,52)	0.84 (1,44)	21.37 (1,44)***
N treatment	63.28 (1,44)***	33.53 (1,52)***	22.39 (1,44)***	14.34 (1,44)***
CN:N treatment	1.10 (1,44)	3.05 (1,52) †	3.62 (1,44) †	2.67 (1,44) <sup>a</sup>
R <sup>2</sup>	0.59	0.39	0.34	0.49

595 †p<0.1, \*p<0.05, \*\*p<0.01, \*\*\*p<0.001, <sup>a</sup>p=0.10. Degrees of freedom shown as (numerator, denominator)

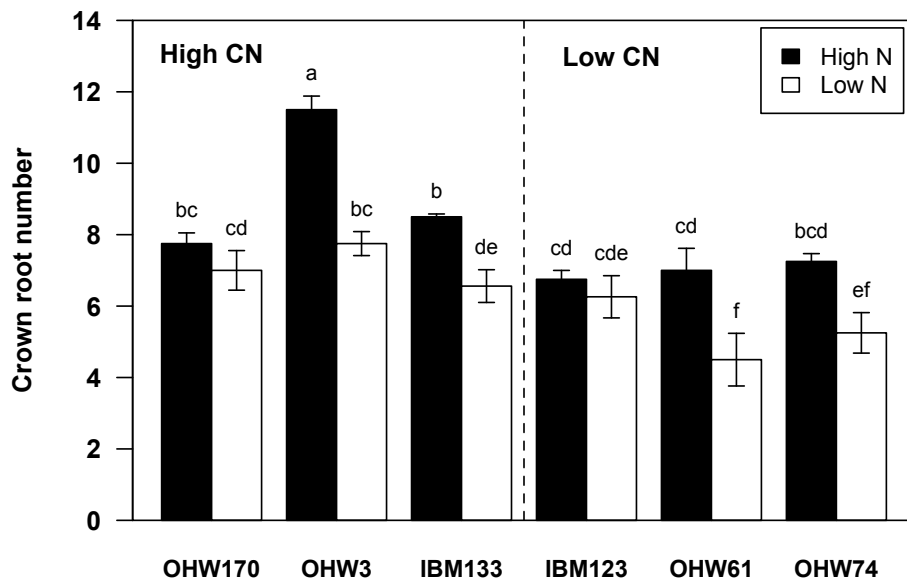
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602 courtesy of Larry M. York).



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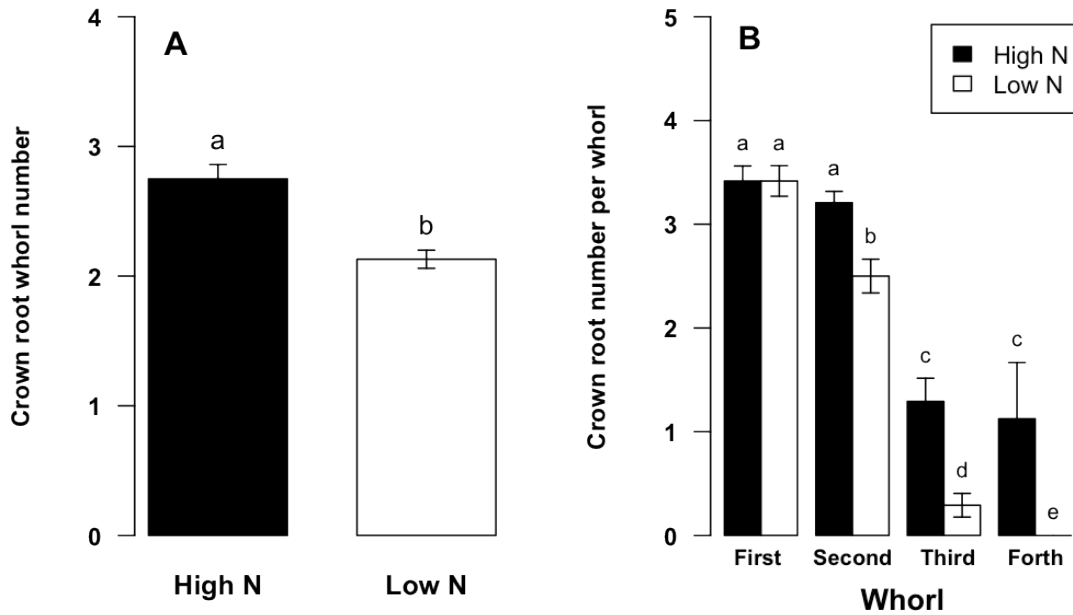
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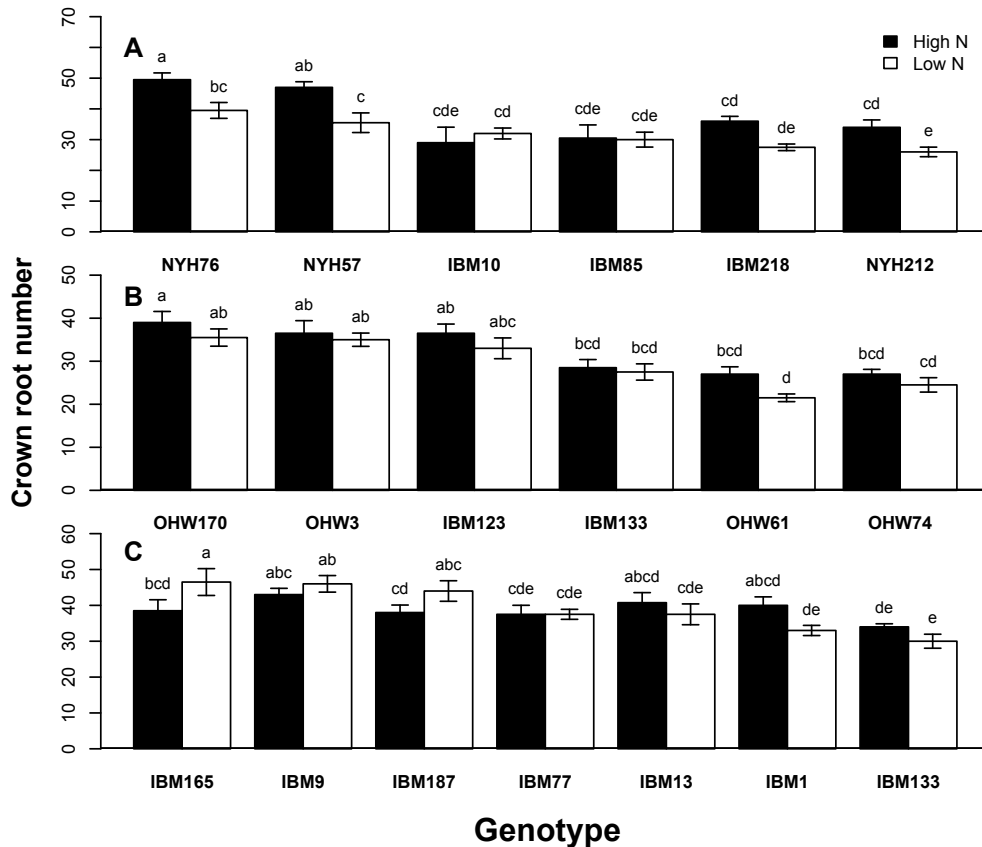
608 Means with the same letters are not significantly different ( $p < 0.05$ )

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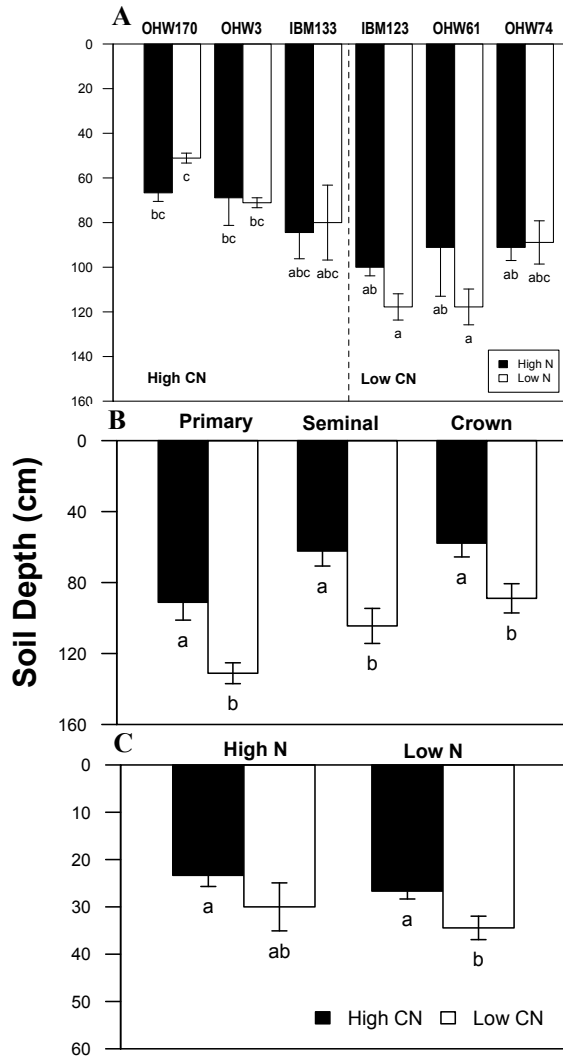
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616 OHW170) with 4 replicates  $\pm$  SE of the means. Means with the same letters are not  
617 significantly different ( $p < 0.05$ ).



618

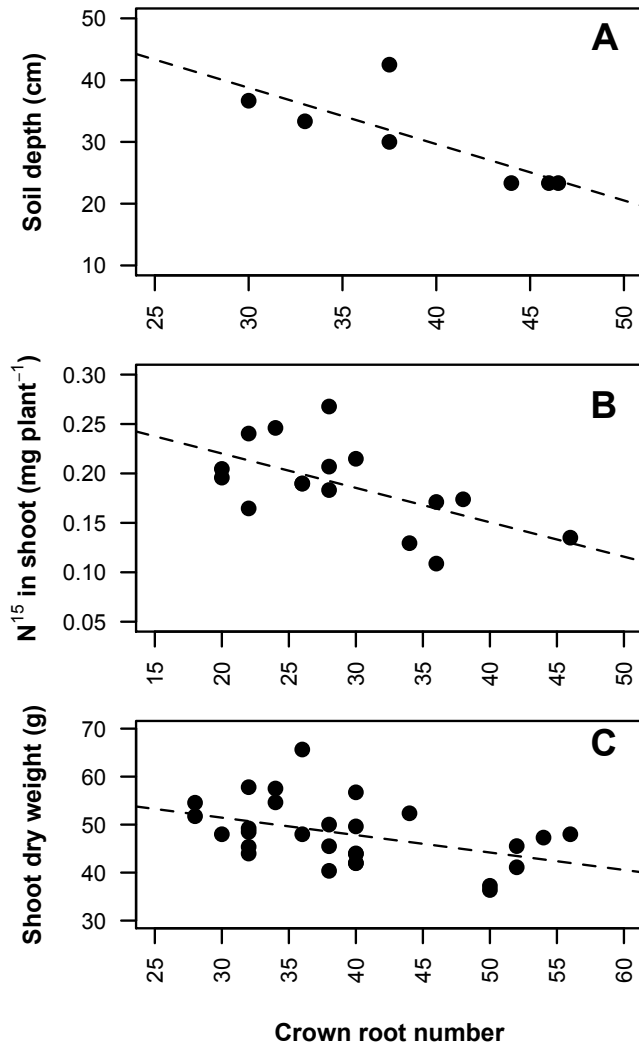
619 Figure 4. Crown root number of maize at flowering under high N and low N conditions in  
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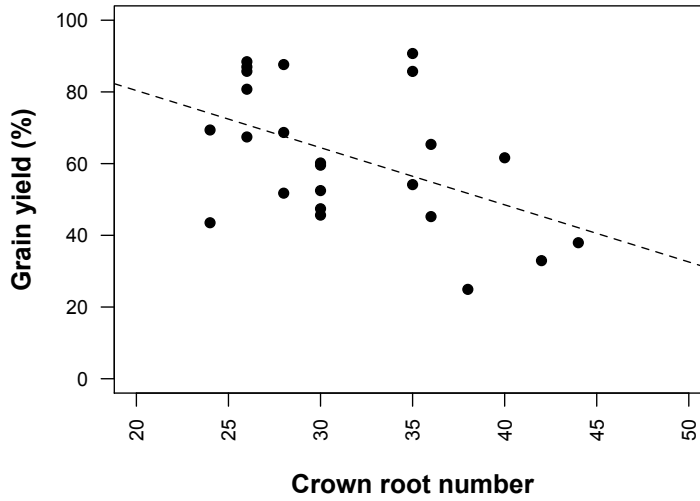
624 Figure 5 Rooting depth of six RILs at 28 DAP in soil mesocosms (5A), depth of primary,  
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 627 under low and high N conditions in the SA2011 field study (5C). Data shown are means  
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631

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634 at flowering under low N conditions in the field in South Africa (2012).



635

636 Figure 7 Correlation between crown root number and grain yield (% of yield under high

637 N) ( $R^2=0.19$ ,  $p=0.02$ ) under low N conditions in the field in the USA.

638